

1 Food Chemistry (Research paper)

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3 **Transformation of tea catechins and flavonoid glycosides by treatment with**

4 **Japanese post-fermented tea acetone powder**

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13 **Abstract:** Japanese post-fermented teas are produced by a combination of aerobic and
14 anaerobic microbial fermentation of the leaves of tea plant. Recently, we revealed that
15 tea products contain characteristic polyphenols identical to the tea catechin metabolites
16 produced by mammalian intestinal bacteria, such as
17 (2*S*)-1-(3',4',5'-trihydroxyphenyl)-3-(2'',4'',6''-trihydroxyphenyl)-propan-2-ol (EGC-M1).
18 In the present study, degradation of epigallocatechin-3-*O*-gallate (EGCg) and
19 epigallocatechin (EGC) with acetone powder prepared from Japanese post-fermented
20 tea was examined. Under aerobic conditions, EGCg was hydrolyzed to EGC and gallic
21 acid, which were further converted to galocatechin (GC) and pyrogallol, respectively.
22 Under anaerobic conditions, EGCg was hydrolyzed to EGC, which was further
23 metabolized to GC, EGC-M1 and (4*R*)-5-(3,4,5-trihydroxyphenyl)-4-hydroxypentanoic
24 acid (EGC-M2). Gallic acid was degraded to pyrogallol and then further decomposed.
25 Anaerobic treatment of EGC with the acetone powder yielded EGC-M1, EGC-M2,
26 (4*R*)-5-(3,4,5-trihydroxyphenyl)- γ -valerolactone, and (4*R*)-5-(3,4
27 -dihydroxyphenyl)- γ -valerolactone. Furthermore, similar anaerobic treatment of rutin
28 and hesperidin yielded 3,4-dihydroxyphenylacetic acid and
29 3-(3,4-dihydroxyphenyl)propanoic acid, respectively.

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31 **Keywords:** Post-fermented tea; anaerobic fermentation; acetone powder; catechin
32 metabolites; polyphenol

33

34 **1. Introduction**

35 Teas are produced from the leaves of *Camellia sinensis* (L.) O. Kuntze (Theaceae), and
36 are generally classified by their manufacturing process into four categories:
37 unfermented (green), fermented (black), semi-fermented (oolong) and post-fermented
38 (dark tea, ripe Pu-erh tea, and related products). Black and oolong tea production
39 includes a process called “tea fermentation”, in which constituents of fresh tea leaves
40 are chemically altered by enzymes originally contained in the leaves (Roberts, 1962;
41 Tanaka, Matsuo, & Kouno, 2010). In contrast, the post-fermented teas (PFT) are
42 produced by aerobic or anaerobic microbial fermentation of heat-processed green tea
43 leaves (Gong, Watanabe, Yagi, Etoh, Sakata, Ina, & Liu, 1993; Okada, Takahashi, Ohara,
44 Uchimura, & Kozaki, 1996b). In a previous study, we looked at the chemical
45 constituents of Chinese PFT produced by aerobic microbial fermentation of green tea,
46 and demonstrated that the major characteristic constituents are polymeric polyphenols
47 derived from tea catechins (Jiang, Shii, Matsuo, Tanaka, Jiang, & Kouno, 2011). On the
48 other hand, Japanese PFT produced by anaerobic microbial fermentation of green tea
49 contains (2*S*)-1-(3',4'-dihydroxyphenyl)-3-(2",4",6"-trihydroxyphenyl)-propan-2-ol
50 (EC-M), and
51 (2*S*)-1-(3',4',5'-trihydroxyphenyl)-3-(2",4",6"-trihydroxyphenyl)-propan-2-ol (EGC-M1),
52 which are identical to the tea catechin metabolites formed by mammalian intestinal
53 bacteria (Takagaki & Nanjo, 2010; Wang, Meselhy, Li, Nakamura, Min, Qin, & Hattori,
54 2001; Meselhy, Nakamura, & Hattori, 1997; Tanaka, Nagai, Shii, Matsuo, & Kouno,
55 2011). Japanese PFT is mainly produced in Tokushima, Kochi and Ehime prefectures in
56 the Shikoku region. The PFT in Tokushima prefecture is produced by anaerobic
57 fermentation of green tea, and it was demonstrated that *Lactobacillus plantarum* and

58 *Klebsiella pneumoniae* are the dominant microorganisms involved in the fermentation
59 (Okada, Takahashi, Ohara, Uchimura, & Kozaki, 1996a). The PFT products in Kochi
60 and Ehime prefectures are produced by both aerobic and anaerobic fermentation
61 processes, and many aerobic fungi and anaerobic bacteria have been identified (Tamura,
62 Kato, Omori, Nanba, & Miyagawa, 1994). The HPLC profiles of these two types of
63 Japanese PFT are quite different: Tokushima-type contains catechin galloyl esters along
64 with the tea catechin metabolites. In the Ehime and Kochi-type, the galloyl esters are
65 completely hydrolyzed, and the resulting gallic acid is further converted to pyrogallol
66 (Tanaka et al., 2011). The aims of this study were 1) to confirm the constituents of PFT
67 produced in Ehime-prefecture, and 2) to examine degradation of tea catechins and
68 flavonoids by treatment with an acetone powder prepared from the PFT.

69

70 **2. Materials and methods**

71 *2.1. Materials*

72 The PFT produced in Ehime prefecture, Japan, was purchased in October, 2010.
73 Standard samples of tea catechins were separated from green tea and purified by
74 crystallization from water. (2*S*)-1-(3',4'-
75 Dihydroxyphenyl)-3-(2'',4'',6''-trihydroxyphenyl)-propan-2-ol and (2*S*)-1-(3',4',5'-
76 trihydroxyphenyl)-3-(2'',4'',6''-trihydroxyphenyl)-propan-2-ol were isolated from PFT
77 produced in Tokushima prefecture, Japan (Tanaka et al., 2011).

78

79 *2.2. Analytical Procedures*

80 UV spectra were obtained using a JASCO V-560 UV/VIS spectrophotometer
81 (Jasco Co., Tokyo, Japan). ¹H and ¹³C NMR spectra were recorded in acetone-*d*₆ + D₂O

82 (9:1, v/v), CD₃OD, or DMSO-*d*₆ at 27°C with a JEOL JNM-AL400 spectrometer (JEOL
83 Ltd., Tokyo, Japan) operating at a ¹H frequency of 400 MHz. Coupling constants are
84 expressed in Hz and chemical shifts are presented on a δ (ppm) scale. Column
85 chromatography was performed using Sephadex LH-20 (25–100 μm, GE Healthcare
86 Bio-Science AB, Uppsala), Diaion HP20SS (Mitsubishi Chemical, Japan), MCI gel
87 CHP 20P (75–150 μm; Mitsubishi Chemical, Tokyo, Japan) and Chromatorex ODS
88 (100–200 mesh; Fuji Silysia Chemical, Kasugai, Japan) columns. Thin layer
89 chromatography was performed on precoated Kieselgel 60 F₂₅₄ plates (0.2 mm thick,
90 Merck KGaA, Darmstadt, Germany) with toluene-ethyl formate-formic acid (1:7:1, v/v)
91 and CHCl₃-MeOH-water (14:6:1, v/v). Spots were detected using ultraviolet (UV)
92 illumination and by spraying with 2% ethanolic FeCl₃ or a 10% sulfuric acid reagent
93 followed by heating. Analytical HPLC was performed using a Cosmosil 5C₁₈-AR II
94 (Nacalai Tesque Inc., Kyoto, Japan) column (4.6 mm i.d. × 250 mm) with gradient
95 elution from 4–30% (39 min) and 30–75% (15 min) of CH₃CN in 50 mM H₃PO₄; the
96 flow rate was 0.8 mL/min, and detection was achieved using a Jasco photodiode array
97 detector MD-910.

98

99 2.3. *Extraction and separation*

100 PFT (64 g) was pulverized using a blender and extracted with 60% acetone in
101 H₂O (2 L) at rt for 18 h. After filtration, the filtrate was concentrated until acetone was
102 completely removed. The resulting precipitates were removed by filtration, and the
103 filtrate was fractionated by Diaion HP20SS column chromatography (5 cm i.d. × 25 cm)
104 with water containing increasing proportions of MeOH (0 – 100%, 10% stepwise, each
105 300 ml) to give 11 fractions: Fr. 1 (7.2 g), Fr. 2 (0.6 g), Fr. 3 (0.9 g), Fr. 4 (1.6 g), Fr. 5

106 (3.0 g), Fr. 6 (0.5 g), Fr. 7 (0.8 g), Fr. 8 (1.6 g), Fr. 9 (1.8 g), and Fr. 10 (6.3 g). A portion
107 (0.57 g) of Fr. 1 was separated by silica gel with CHCl₃-MeOH-H₂O, 40:10:1 and then
108 14:6:1, (v/v) to give lactic acid (107 mg). Fr. 2 and 3 were identified to be gallic acid
109 and pyrogallol, respectively, by comparison of ¹H NMR data and HPLC retention time
110 with those of authentic samples. Fr. 4 was separated by Sephadex LH-20 column
111 chromatography (3 cm i.d. × 20 cm) with EtOH to give (-)-gallocatechin (619 mg) as
112 colorless needles from H₂O, [α]_D²² +0.03 (c = 1.0, acetone), and pyrogallol (130 mg). Fr.
113 5 was found to be a mixture of (-)-epigallocatechin and
114 (2*S*)-1-(3',4',5'-trihydroxyphenyl)-3-(2'',4'',6''-trihydroxyphenyl)-propan-2-ol by HPLC
115 and TLC comparison with the authentic samples. Fr. 6 was applied to a Sephadex
116 LH-20 column (3 cm i.d. × 16 cm) with 60% MeOH to yield
117 catechin-(4α→8)-epigallocatechin (41 mg). Fr. 7 was subjected to Sephadex LH-20
118 column chromatography (3 cm i.d. × 20 cm) with EtOH to give 3-*O*-methylgallic acid
119 (43 mg) and (-)-catechin (146 mg) as colorless needles from H₂O, [α]_D -6.59 (c 1.0,
120 acetone). HPLC analysis of Fr. 8 revealed the presence of (-)-epicatechin and
121 (2*S*)-1-(3',4'-dihydroxyphenyl)-3-(2'',4'',6''-trihydroxyphenyl)-propan-2-ol. Fr. 9 was
122 subjected to Sephadex LH-20 column chromatography (3 cm i.d. × 20 cm) with EtOH
123 to give 3-*O*-methyl pyrogallol (12.4 mg), (-)-epigallocatechin-3-*O*-gallate (85 mg) and
124 dihydromyricetin (8.6 mg). HPLC analysis showed that Fr. 10 contained caffeine,
125 quercetin, and kaempferol.

126

127 *2.4. Determination of absolute configuration of lactic acid*

128 Lactic acid (20 mg) isolated from the PFT was dissolved in MeOH (1.0 mL)
129 and treated with an ether solution of diazomethane in an ice bath for 30 min. After

130 removal of solvent and excess reagent by evaporation, the residue was dissolved in
131 pyridine (0.5 mL) containing 4-methoxybenzoyl chloride (50 mg) at rt for 2 h. The
132 reaction mixture was partitioned between H₂O and diethyl ether, and the organic layer
133 was dried over Na₂SO₄. After filtration, the filtrate was concentrated and subjected to
134 silica gel chromatography with hexane-acetone (9:1, v/v) to give methyl
135 2-(4-methoxybenzoyl)-lactate (2 mg). The product was analyzed by CHIRALCEL
136 OJ-RH (4.6 mm × 150 mm, Daicel Chemical Industries, Ltd.) with 40% CH₃CN in 100
137 mM KPF₆ (pH 2), at 35°C, flow rate 0.8 mL/min. Retention times of the derivatives of
138 D- and L-lactic acid was obtained using the derivatives prepared from racemic methyl
139 lactate and L-methyl lactate in a similar manner (L-derivative: 11.6 min, D-derivative:
140 13.6 min).

141

142 *2.5. Determination of absolute configuration of gallocatechin.*

143 Gallocatechin (100 mg) isolated from PFT was methylated with
144 dimethylsulfate (1.0 mL), K₂CO₃ (1.0 g) in dry acetone (30 mL) under reflux for 1 h.
145 After removal of inorganic salts by filtration, the filtrate was concentrated and separated
146 by silica gel chromatography with toluene containing increasing proportions of acetone
147 (0 – 10%, 2% stepwise) to yield pentamethylate (16 mg) as colorless needles from
148 MeOH, mp 165-166°C, [α]_D²² +15.0 (c = 0.1, CHCl₃). The methylate (3.0 mg) was
149 treated with a mixture of dicyclohexylcarbodiimide (10.0 mg),
150 4-dimethylaminopyridine (5.0 mg) and
151 (*R*)-(+)- α -methoxy- α -trifluoromethylphenylacetic acid (5.0 mg) in CH₂Cl₂ (1.0 mL) at rt
152 for 12 h. The resulting mixture was separated by silica gel chromatography with
153 hexane-acetone (85:15, v/v) to give the (*R*)-MTPA ester (5.6 mg). The use of

154 (S)-(-)- α -methoxy- α -trifluoromethylphenylacetic acid gave the (S)-MTPA ester (4.5 mg).
155 $\Delta\delta$ (δ_S - δ_R): H-2 (-0.059), B-ring H-2, 6 (-0.116), H-4eq (-0.076), and H-4ax (+0.127).

156

157 2.6. Preparation of acetone powder

158 The PFT (20 g) was crushed using a mechanical blender and further pulverized
159 with a mortar and pestle. The powder was mixed with H₂O (40 mL) and placed in an
160 incubator (30°C) for 2 days. The wet powder was cooled in an ice bath, mixed with
161 acetone (0°C, 260 mL), and gently stirred at 0-4°C for 2 h. The resulting tea leaf powder
162 was collected by vacuum filtration, washed repeatedly with cooled acetone, and dried
163 under reduced pressure (14.1 g). The powder was stored at -20°C.

164

165 2.7. Aerobic and anaerobic treatment of tea catechins with acetone powder

166 For aerobic treatment, the acetone powder (250 mg) was added to an aqueous
167 solution (5 mL) of (-)-epigallocatechin (25 mg) and incubated at 30°C. After 24 h, 48 h,
168 and 72 h, aliquots (300 μ L) were taken and mixed with EtOH (700 μ L). The solution
169 was filtered through a membrane filter (0.45 μ m) and analyzed by HPLC.
170 (-)-Epigallocatechin was examined in a similar manner. For anaerobic treatment, the
171 acetone powder (100 mg) was added to an aqueous solution (1 mL) of
172 (-)-epigallocatechin-3-*O*-gallate (5 mg) and incubated at 37°C in a nitrogen atmosphere
173 for 10 days. After addition of EtOH (1.5 mL), the mixture was filtered through a
174 membrane filter (0.45 μ m) and analyzed by HPLC.

175

176 2.8. Anaerobic treatment of epigallocatechin with acetone powder

177 In a 50 mL vial, the acetone powder (1.0 g) was mixed with an aqueous

178 solution (8 mL) of (-)-epigallocatechin (50 mg). The vial was placed in a vacuum
179 desiccator and the air was completely replaced by nitrogen gas. The desiccator was
180 placed in an incubator (35°C) for 10 days. Then the solution was mixed with 80% EtOH
181 (22 mL) and stirred at rt for 4 h. After filtration, the filtrate was concentrated under
182 reduced pressure and separated by Diaion HP20SS (2 cm i.d. × 20 cm) with water
183 containing increasing proportions of MeOH (0 – 100%, 10% stepwise, each 50 mL) to
184 yield EGC-M2 (13 mg), EGC-M3 (8.4 mg), and a fraction containing EGC-M1 (10 mg).
185 The EGC-M2 (13 mg) was dissolved in 2% trifluoroacetic acid (0.5 ml) and applied to a
186 Sephadex LH-20 column (1 cm i.d. × 15 cm) equilibrated with water, and eluted with
187 MeOH to give EGC-M3 (8.2 mg). The fraction containing EGC-M1 was separated by
188 Sephadex LH-20 column chromatography (1 cm i.d. × 15 cm) with water containing
189 increasing proportions of MeOH (0 – 100%, 10% stepwise, each 10 mL) to afford
190 EGC-M1 (1.0 mg), EGC-M4 (1.2 mg), and a mixture of epigallocatechin and
191 gallocatechin (0.9 mg). EGC-M1: ¹H-NMR (acetone-*d*₆ + D₂O) δ: 6.25 (2H, s), 5.91
192 (2H, s), 3.99 (1H, m), 2.93 (1H, dd, *J* = 3, 14 Hz), 2.64 (1H, dd, *J* = 7, 14 Hz), 2.65 (1H,
193 dd, *J* = 4, 14 Hz), 2.47 (1H, dd, *J* = 8, 14 Hz). EGC-M2: ¹H-NMR (acetone-*d*₆ + D₂O) δ:
194 6.22 (2H, s, H-2',6'), 3.71 (1H, m, H-4), 2.53 (1H, dd, *J* = 7, 13 Hz, H-5), 2.42 (1H, dd,
195 *J* = 7, 13 Hz, H-5), 2.41, 2.29 (each 1H, m, H-2), 1.71, 1.54 (each 1H, m, H-3).
196 EGC-M3: ¹H-NMR (acetone-*d*₆ + D₂O) δ: 6.29 (2H, s, H-2',6'), 4.63 (1H, br quintet, *J* =
197 6 Hz, H-4), 2.79 (1H, dd, *J* = 6, 14 Hz, H-5), 2.66 (1H, dd, *J* = 7, 14 Hz, H-5), 2.44 (1H,
198 ddd, *J* = 8, 9, 18 Hz, H-2), 2.33 (1H, ddd, *J* = 5, 9, 18 Hz, H-2), 2.22, 1.93 (each 1H, m,
199 H-2). EGC-M4: ¹H-NMR (acetone-*d*₆ + D₂O) δ: 6.73 (1H, br s, H-2'), 6.71 (1H, d, *J* = 8
200 Hz, H-5'), 6.54 (1H, br d, *J* = 8 Hz, H-6'), 4.65 (1H, br quintet, *J* = 6 Hz, H-4), 2.83 (1H,
201 dd, *J* = 6, 14 Hz, H-5), 2.73 (1H, dd, *J* = 7, 14 Hz, H-5), 2.44 (1H, ddd, *J* = 8, 9, 18 Hz,

202 H-2), 2.32 (1H, ddd, $J = 5, 9, 18$ Hz, H-2), 2.21, 1.91 (each 1H, m, H-2).

203

204 2.8. Anaerobic treatment of rutin with acetone powder

205 Rutin (50 mg) was dissolved in H₂O (20 mL) by heating. After cooling, the
206 acetone powder (2.0 g) was added to the solution and placed in the vacuum desiccator.
207 After the air was replaced by nitrogen gas, the desiccator was placed in the incubator
208 (37°C) for 7 days. 80% EtOH (40 mL) was then added to the mixture and stirred at rt
209 for 5 h. Insoluble materials were removed by filtration, and the filtrate was concentrated
210 by rotary evaporation. The residue was separated by Diaion HP20SS (2 cm i.d. × 20 cm)
211 with 0.1% trifluoroacetic acid containing increasing proportions of MeOH (0 – 100%,
212 10% stepwise, each 50 mL) to yield 3,4-dihydroxyphenylacetic acid (6.7 mg) as an
213 amorphous powder, ¹H NMR (CD₃OD) δ: 6.74 (2H, br s, H-2', H-6'), 6.59 (1H, d, $J = 8$
214 Hz, H-5'), 2.38 (2H, s, H-2), ¹³C NMR (CD₃OD) δ: 172.9 (C-1), 145.9, 145.0 (C-3',
215 C-4'), 127.4 (C-1'), 121.9 (C-6'), 117.5, 116.5 (C-2', C-5'), 41.3 (C-2).

216

217 2.9. Anaerobic treatment of hesperidin with acetone powder

218 Hesperidin (100 mg) was dissolved in H₂O (20 mL) by heating. After cooling,
219 the acetone powder (2.0 g) was added to the solution and placed in the vacuum
220 desiccator. After the air was replaced by nitrogen gas, the desiccator was placed in the
221 incubator (37°C) for 7 days. Then, the product was separated in a manner similar to that
222 described for rutin to yield 3-(3,4-dihydroxyphenyl)propanoic acid (41.6 mg) as an
223 amorphous powder: ¹H NMR (acetone-*d*₆) δ: 6.67 (1H, d, $J = 2$ Hz, H-2'), 6.63 (1H, d, J
224 = 8 Hz, H-5'), 6.48 (1H, dd, $J = 2, 8$ Hz, H-6'), 2.63, 2.30 (each 2H, br t, $J = 6$ Hz, H-2,
225 H-3), ¹³C NMR (acetone-*d*₆) δ: 179.7 (C-1), 145.0, 143.2 (C-3', C-4'), 134.6 (C-1'), 120.0

226 (C-6'), 116.0, 115.8 (C-2', C-5'), 39.6, 31.9 (C-2, C-3).

227

228 **3. Results and discussion**

229 Previously we concluded that the major constituents of the PFT produced in the Ehime
230 prefecture are EGC-M1, EC-M, (-)-epigallocatechin (EGC), (-)-gallocatechin (GC),
231 pyrogallol, caffeine, (-)-catechin (Cat) and (-)-epicatechin (EC) (Fig. 1). In addition,
232 lactic acid, gallic acid, catechin-(4 α →8)-epigallocatechin (Hashimoto, Nonaka, &
233 Nishioka, 1989), 3-*O*-methylgallic acid, 3-*O*-methylpyrogallol, dihydromyricetin (Jeon,
234 Chun, Choi, & Kwon, 2008), quercetin, and kaempferol have also been identified in
235 PFT from the Ehime prefecture. The lactic acid was a mixture of the D and L-forms (2:
236 3), which was determined by chiral HPLC after methylation and
237 4-methoxybenzoylation. Configuration of (-)-catechin was confirmed by its optical
238 rotation, and that of (-)-gallocatechin was determined by comparison of the optical
239 rotation of the pentamethyl ether (Delle Monache, D'Albuquerque, De Andrade
240 Chiappeta, De Mello, 1992) and application of advanced Mosher's method (Kusumi,
241 Ohtani, Inoue, & Kakisawa, 1988). The significantly high concentration of
242 (2*S*,3*R*)-catechins compared with that in green tea strongly suggested occurrence of
243 epimerization at the benzylic C-2 positions of the original (2*R*,3*R*)-catechins during
244 fermentation. Production of EGC-M1 and EC-M apparently indicated occurrence of
245 reductive cleavage of the catechin C-rings. Furthermore, the absence of galloylated
246 catechin and the presence of a large amount of pyrogallol suggested occurrence of
247 hydrolysis of the galloyl esters and subsequent decarboxylation of gallic acid.

248 To confirm the chemical conversions of tea catechins, we prepared an acetone
249 powder of the PFT and examined its ability to metabolize epigallocatechin-3-*O*-gallate

250 (EGCg), which is the most abundant tea catechin in fresh tea leaf. In the PFT production
251 at Ehime prefecture, the heated tea leaves are first fermented under aerobic conditions;
252 therefore, EGCg was first treated with the acetone powder under aerobic conditions at
253 30°C, and the products were analyzed by reversed-phase HPLC (Fig. 2A). EGCg was
254 first hydrolyzed to give epigallocatechin (EGC) and gallic acid. This is probably owing
255 to the activity of tannase, which is typically isolated from *Aspergillus* sp (Tamura et al.,
256 1994; Sharma, Bhat, & Dawra, 1999; Murugan, Saravanababu, & Arunachalam, 2007).
257 Further aerobic fermentation for 2 days yielded pyrogallol and galocatechin (GC).
258 Production of pyrogallol from gallic acid was confirmed by treatment of gallic acid with
259 the acetone powder under similar conditions. Production of GC from EGC was slower
260 than hydrolysis (Fig. 2B) and production of EGC-M1 was not observed under aerobic
261 conditions.

262 After the aerobic fermentation process during the PFT production, the leaves
263 next undergo anaerobic fermentation. Under aerobic conditions, EGCg was converted to
264 EGC; therefore, degradation of EGC under anaerobic conditions was examined (Fig. 4).
265 Treatment of EGC with PFT acetone powder in a nitrogen atmosphere at 35°C for 10
266 days afforded EGC-M1 and several unknown products. Separation by column
267 chromatography using Diaion HP20SS and Sephadex LH-20 afforded EGC-M2,
268 EGC-M3 and EGC-M4 along with a mixture of EGC and GC. Comparison of the ¹H
269 NMR spectra revealed that the products were
270 (4*R*)-5-(3,4,5-trihydroxyphenyl)-4-hydroxypentanoic acid,
271 (4*R*)-5-(3,4,5-trihydroxyphenyl)- γ -valerolactone (Takagaki, et al., 2010; Kohri,
272 Matsumoto, Yamakawa, Suzuki, Nanjo, Hara, & Oku, 2001), and
273 (4*R*)-5-(3,4-dihydroxyphenyl)- γ -valerolactone (Li, Lee, Sheng, Meng, Prabhu, Winnik,

274 Huang, Chung, Yan, Ho, & Yang, 2000), respectively (Fig. 5). The structure of EGC-M1
275 was also confirmed by treatment of EGC-M1 with trifluoroacetic acid which afforded
276 EGC-M2. Although only EGC-M1 was isolated from the PFT products, the results
277 indicated that the PFT acetone powder is capable of producing EGC-M2, M3 and M4,
278 as the intestinal bacteria degrade EGCg (Takagaki, et al., 2010; Li, et al., 2000). Direct
279 anaerobic treatment of EGCg with PFT acetone powder afforded mainly EGC and GC,
280 and small peaks attributable to EGC-M1 and M2 were also detected upon HPLC
281 analysis (Fig. 3). However, peaks of gallic acid and pyrogallol were not detected.
282 Analysis of the total peak area of the HPLC suggested that a large part of the initial
283 EGCg decomposed to uncharacterized products, which were not detected under the
284 present HPLC conditions.

285 In addition to the tea catechins, reaction of rutin and hesperidin, which were
286 selected as the important flavonoids in vegetables and fruits, were examined. Treatment
287 of the flavonoids with the PFT acetone powder under anaerobic conditions afforded
288 3,4-dihydroxyphenylacetic acid (Booth, Murray, Jones, & DeEds, 1956) and
289 3-(3,4-dihydroxyphenyl)propanoic acid (Honohan, Hale, Brown, & Wingard, 1976),
290 respectively (Fig. 6). The degradation products were the same as those observed from
291 bacterial metabolism in the mammalian intestine. The results suggested that the PFT
292 acetone powder has the ability to metabolize the flavonoids, though the metabolites
293 were not detected from the original PFT.

294

295

296 **4. Conclusions**

297 In this study, we showed that the acetone powder of Japanese PFT has the

298 ability to produce compounds identical to the metabolites of polyphenols produced by
299 mammalian intestinal bacteria, though there are some differences between the
300 constituents of the original tea and the products obtained in our experiments. As
301 mentioned previously, the PFT of Ehime prefecture, which was used in this study, is
302 produced by a combination of aerobic and anaerobic fermentation. In the PFT, galloyl
303 esters are hydrolyzed and pyrogallol was produced from gallic acid. In contrast, lack of
304 an aerobic fermentation step in the production in the PFT from Tokushima prefecture is
305 reflected by the presence of catechin galloyl esters and the absence of pyrogallol. The
306 acetone powder produced from the Tokushima PFT did not show activity. It is well
307 known that pyrogallol and pyrogallol-type tea catechins, EGC and EGCg, have strong
308 antioxidative activity; however, the reactivity is reflected by its instability especially
309 under neutral and alkaline conditions. The oxidation-sensitive polyphenols are probably
310 stabilized by lactic acid which is produced by the anaerobic fermentation and is
311 responsible for the sour taste of the PFT. Lactic acid keeps the pH low and suppresses
312 the dissociation of the phenolic hydroxyl groups of the pyrogallol rings. The Japanese
313 PFT produced by anaerobic fermentation has been used for drinking in a local area of
314 Shikoku Island of Japan, and it is very interesting to note that some related tea products
315 are also known in Myanmar (lepet-so), Thailand (miang), and China (zhu-tong-cha)
316 (Namba, Nyein, Win, & Miyagawa, 1999; Tanasupawat, Pakdeeto, Thawai, Yukphan, &
317 Okada, 2007). It is well recognized that tea catechins have various health benefits;
318 however, it is also known that the direct absorption of catechins from the digestive tract
319 is not very high (Miyazawa, 2000; Zhu, Chen, & Li, 2000). Therefore, absorption of gut
320 bacterial metabolites with smaller molecular sizes is important, and this may partly be
321 responsible for the biological activities of tea catechins. Thus, the presence of EGC-M1

322 and EC-M in the Japanese PFT as the major polyphenols may be significant from the
323 viewpoint of nutritional science.

324

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404

405 **Figure Captions**

406 Fig. 1 HPLC profile of 60% EtOH extract of Japanese post-fermented tea.
407 Detection at 220 nm, Caf: caffeine, EC: epicatechin, EC-M:
408 (2*S*)-1-(3',4'-dihydroxyphenyl)-3-(2'',4'',6''-trihydroxyphenyl)-propan-2-ol, EGC:
409 epigallocatechin, EGC-M1: (2*S*)-1-(3',4',5'-trihydroxyphenyl)-3-
410 (2'',4'',6''-trihydroxyphenyl)-propan-2-ol, EGCg: epigallocatechin-3-*O*-gallate, Fl:
411 quercetin monoglycoside, GA: gallic acid, GC: gallocatechin, K: kaempferol, PG:
412 pyrogallol, Q: quercetin.

413

414 Fig. 2 HPLC profiles of aerobic treatment of epigallocatechin-3-*O*-gallate (A) and
415 epigallocatechin (B) with acetone powder.

416

417 Fig. 3 HPLC profile of products obtained by anaerobic treatment of
418 epigallocatechin-3-*O*-gallate.

419 EGC: epigallocatechin, EGCg: epigallocatechin-3-*O*-gallate, GC: gallocatechin,
420 EGC-M1: (2*S*)-1-(3',4',5'-trihydroxyphenyl)-3-(2'',4'',6''-trihydroxyphenyl)-propan-2-ol,
421 EGC-M2: (4*R*)-5-(3,4,5-trihydroxyphenyl)-4-hydroxypentanoic acid.

422

423

424 Fig. 4 HPLC profile of products obtained by anaerobic treatment of epigallocatechin.

425 EGC-M1: (2*S*)-1-(3',4',5'-trihydroxyphenyl)-3-(2'',4'',6''-trihydroxyphenyl)-propan-2-ol,
426 EGC-M2: (4*R*)-5-(3,4,5-trihydroxyphenyl)-4-hydroxypentanoic acid, EGC-M3:
427 (4*R*)-5-(3,4,5-trihydroxyphenyl)- γ -valerolactone, EGC-M4: (4*R*)-5-(3,4
428 -dihydroxyphenyl)- γ -valerolactone.

429

430 Fig. 5 Degradation of epigallocatechin-3-*O*-gallate on treatment with the Japanese
431 post-fermented tea acetone powder.

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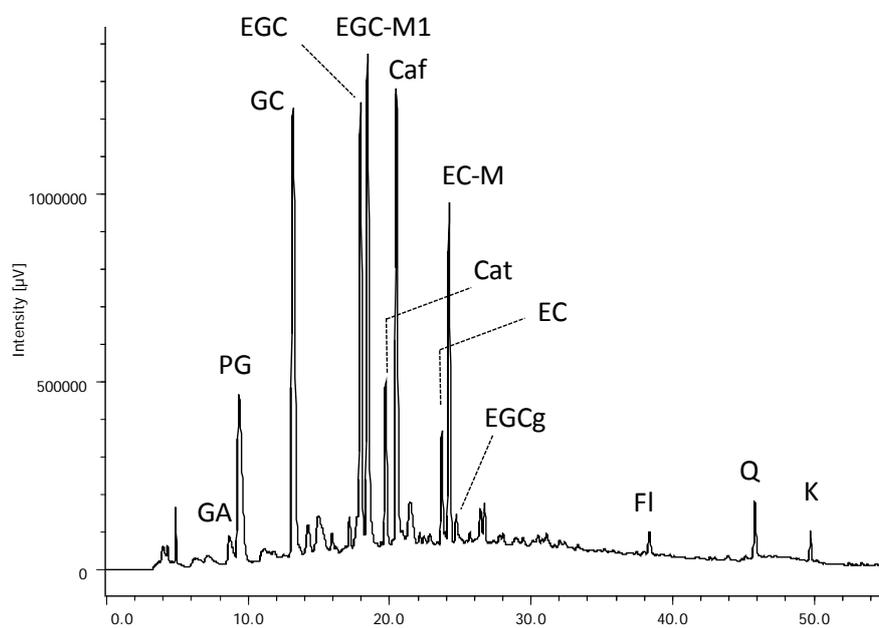
433 Fig. 6 Degradation of rutin and hesperidin on treatment with Japanese
434 post-fermented tea acetone powder.

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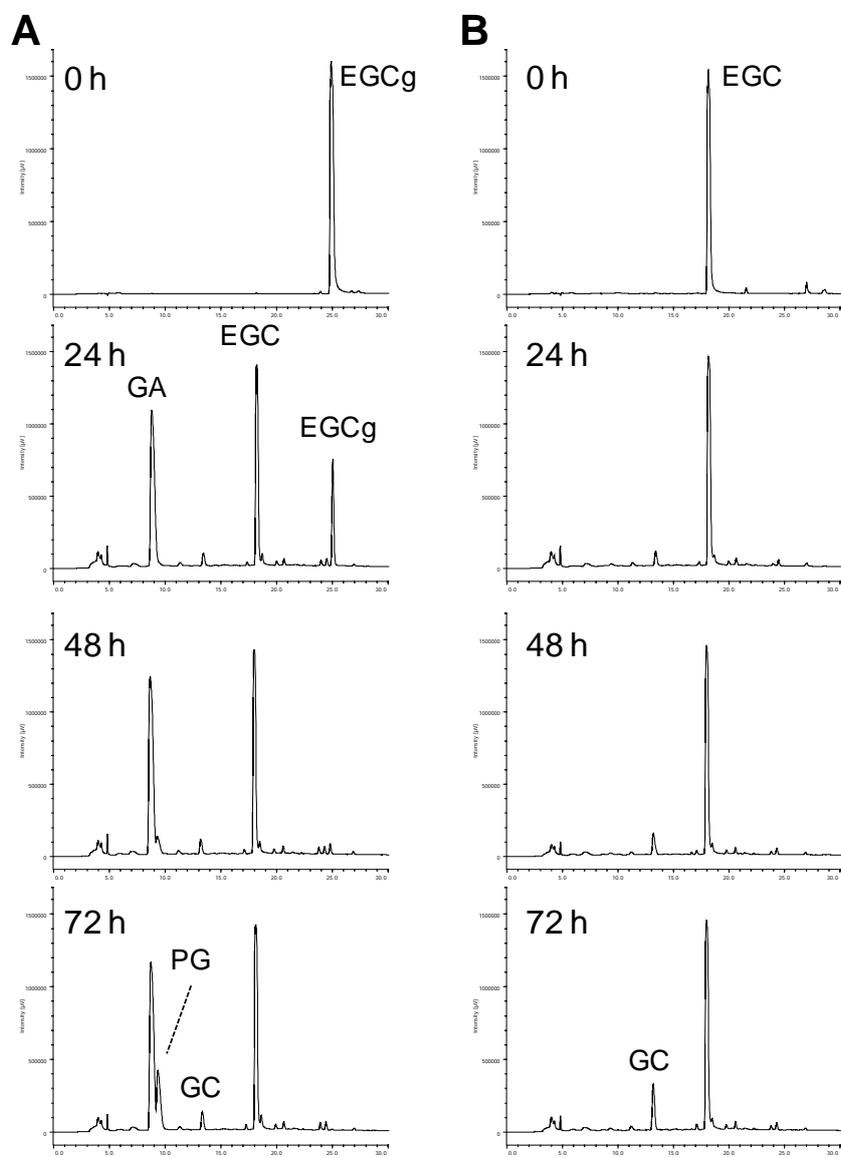
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Fig. 1

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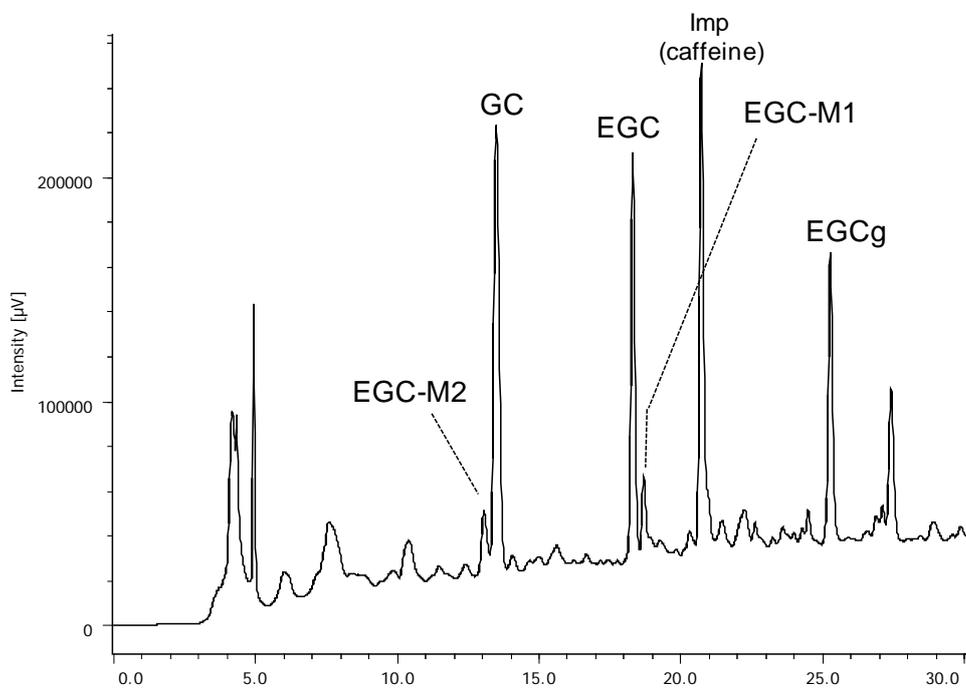
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Fig. 2

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Fig. 3

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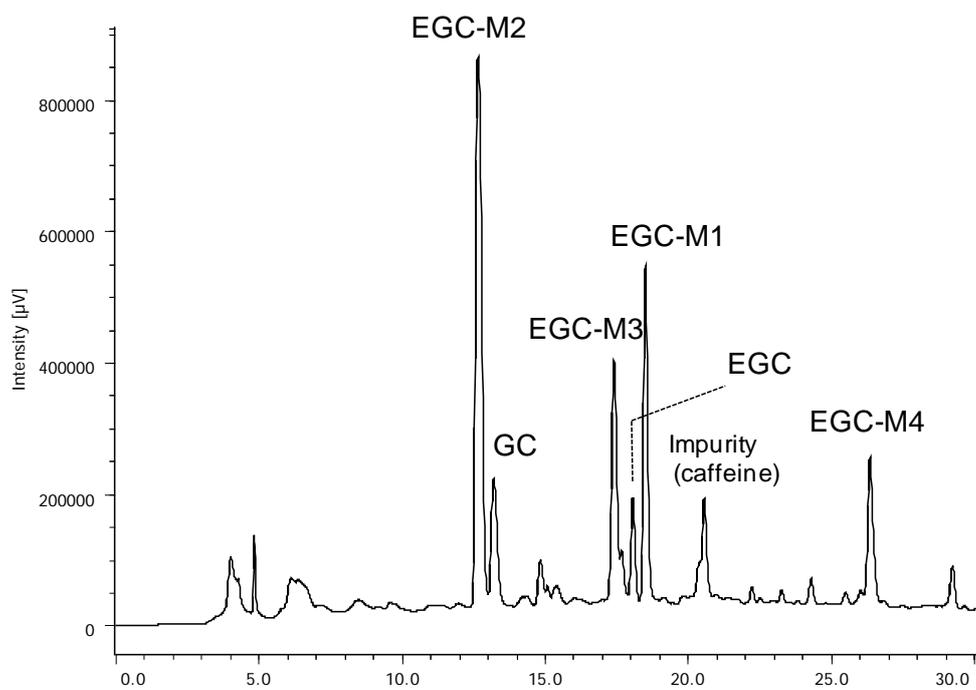
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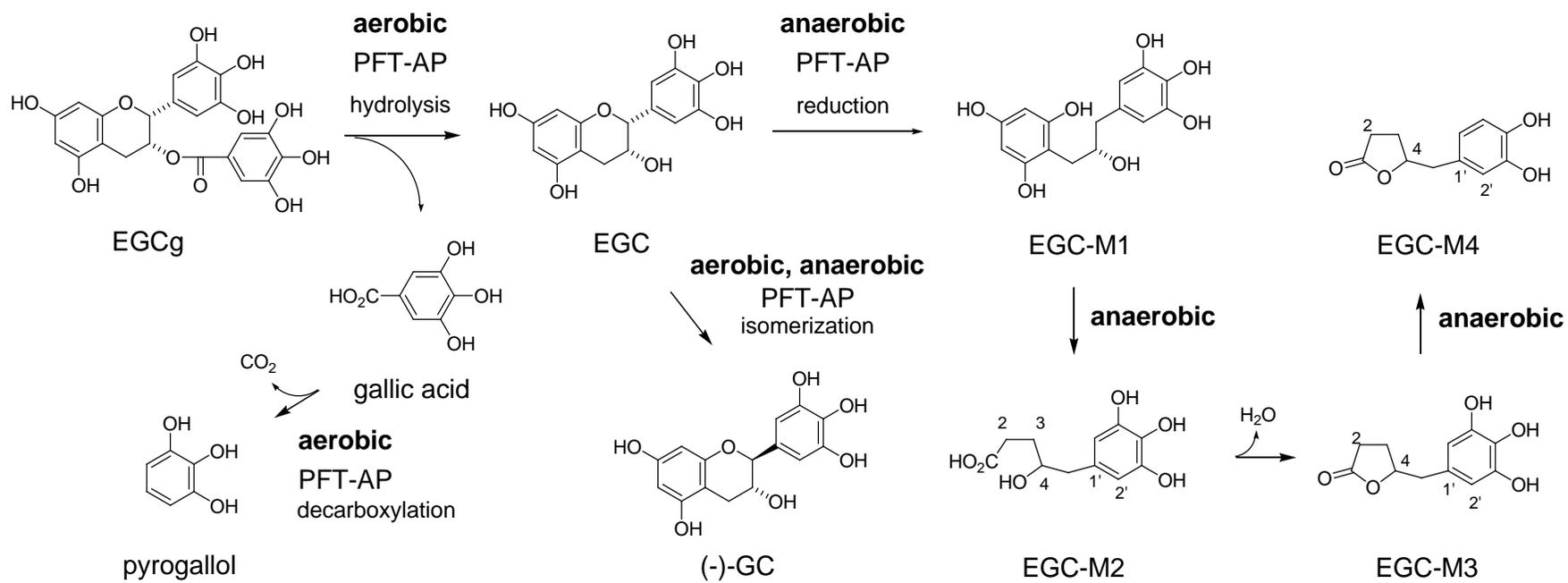
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Fig. 4

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Fig. 5

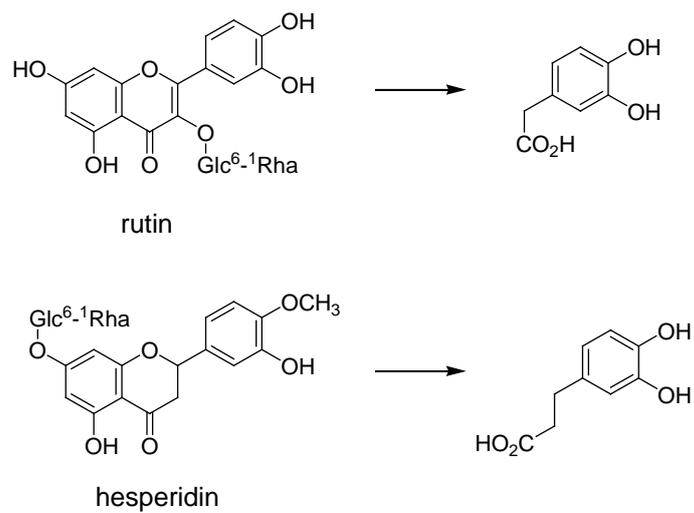
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Fig. 6