

# Supplement to $L^2$ Estimates for the $\bar{\partial}$ Operator on a Stein Manifold

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## Abstract

A revised version of the  $L^2$  estimate of my previous note and an alternative proof of the approximation theorem on a Stein manifold are given.

### 1. Review of the $\bar{\partial}$ equation.

The setting is the same as my previous note [1], so we review briefly. Let  $\Omega$  be a Stein manifold of complex dimension  $n$ . Let  $\{\eta_\nu\}$  be a sequence of functions in  $C_c^\infty(\Omega)$  such that  $0 \leq \eta_\nu \leq 1$  and  $\eta_\nu = 1$  on any compact subset of  $\Omega$  when  $\nu$  is large. Choose a Hermitian metric  $ds^2 = h_{j\bar{k}} dz^j d\bar{z}^k$  on  $\Omega$  so that  $|\bar{\partial}\eta_\nu| \leq 1$  for  $\nu = 1, 2, \dots$ . Denote by  $dV$  the volume element defined by  $ds^2$ . Let  $\varphi$  be a real valued continuous function on  $\Omega$  and let  $L_{(p,q)}^2(\Omega, \varphi)$  be the weighted  $L^2$  space of  $(p,q)$  forms such that

$$\|f\|_\varphi^2 = \int |f|^2 e^{-\varphi} dV < \infty$$

where  $|\cdot|$  denotes the length with respect to  $ds^2$ . The  $\bar{\partial}$  operator defines linear, closed, densely defined operators on these spaces.

$$L_{(p,q)}^2(\Omega, \varphi) \xrightarrow{T} L_{(p,q+1)}^2(\Omega, \varphi) \xrightarrow{S} L_{(p,q+2)}^2(\Omega, \varphi).$$

In my previous note we give a  $C^\infty$  function  $\Psi$  on  $\Omega$  which satisfies

- (a)  $\Psi$  is strictly plurisubharmonic
- (b)  $\Psi \geq 0$  on  $\Omega$
- (c)  $\Omega_c = \{z \in \Omega \mid \Psi(z) < c\} \subset \subset \Omega$  for every  $c \in \mathbb{R}$
- (d)  $\|f\|_\Psi^2 \leq \|T^*f\|_\Psi^2 + \|Sf\|_\Psi^2 \quad f \in D_{(p,q+1)}(\Omega)$ .

And then we have the following existence theorem.

**THEOREM 1** [1]. *Let  $\varphi$  be any plurisubharmonic function on  $\Omega$ . For every  $g \in L_{(p,q+1)}^2$*

$(\Omega, \varphi)$  with  $\bar{\partial}g=0$ , there exists a solution  $u \in L^2_{(p,q)}(\Omega, \text{loc})$  of the equation  $\bar{\partial}u=g$  such that

$$\int |u|^2 e^{-\varphi - \Psi} dV \leq \int |g|^2 e^{-\varphi} dV.$$

## 2. Results

Denote by  $A=A(\Omega)$  the space of all entire holomorphic functions on  $\Omega$  with the Frechet topology of uniform convergence on all compact sets. The following is a revised version of Theorem 2 in [1].

**THEOREM 2 (Revised).** *Let  $\varphi$  be any plurisubharmonic function on  $\Omega$  and denote by  $A_\varphi$  the set of entire holomorphic functions  $u$  such that for some real number  $N$ ,*

$$\int |u|^2 e^{-\varphi - N\Psi} dV < \infty.$$

*Then the closure  $\text{cl}A_\varphi$  of  $A_\varphi$  in  $A$  contains all  $u \in A$  such that  $|u|^2 e^{-\varphi}$  is locally integrable, and  $\text{cl}A_\varphi$  is equal to  $A$  if and only if  $e^{-\varphi}$  is locally integrable.*

**PROOF.** Given an entire function  $U$  such that  $|U|^2 e^{-\varphi}$  is locally integrable we shall approximate  $U$  uniformly in a relatively compact set  $\Omega_R = \{z \in \Omega \mid \Psi(z) < R\}$  by functions in  $A_\varphi$ . To do so we choose a cut function  $\chi \in C_c^\infty(\Omega)$  so that  $\chi=1$  on  $\Omega_{R+1}$  and  $\chi=0$  on  $\Omega \setminus \Omega_{R+2}$ . Set  $V=\chi U$ . Then

$$V=U \text{ on } \Omega_R \text{ and } \bar{\partial}V=U\bar{\partial}\chi=0 \text{ on } \Omega_{R+1} \cup (\Omega \setminus \Omega_{R+2}).$$

To make norms small we set weight functions  $\varphi_t$  for  $t>0$  as

$$\varphi_t(z) = \varphi(z) + \max\{0, t(\Psi(z) - R - 1)\}.$$

Then  $\varphi_t$  is plurisubharmonic and

$$\begin{aligned} \int |\bar{\partial}V|^2 e^{-\varphi_t} dV &= \int_{\Omega_{R+2} \setminus \Omega_{R+1}} |U|^2 |\bar{\partial}\chi|^2 e^{-\varphi - t(\Psi - R - 1)} dV \\ &\leq \sup_{\Omega_{R+2}} |\bar{\partial}\chi|^2 \int_{\Omega_{R+2} \setminus \Omega_{R+1}} |U|^2 e^{-\varphi} e^{-t(\Psi - R - 1)} dV \longrightarrow 0 \text{ as } t \longrightarrow \infty \end{aligned}$$

since  $|U|^2 e^{-\varphi} \in L^1_{\text{loc}}$ . It follows from Theorem 1 that we can find a function  $U_t$  with  $\bar{\partial}u_t = \bar{\partial}V$  and

$$\int |u_t|^2 e^{-\varphi_t - \Psi} dV \leq \int |\bar{\partial}V|^2 e^{-\varphi_t} dV \longrightarrow 0 \text{ as } t \longrightarrow \infty.$$

In particular  $\bar{\partial}u_t=0$  on  $\Omega_{R+1}$  i.e.  $u_t$  is holomorphic in  $\Omega_{R+1}$ , and

$$\begin{aligned} \int_{\Omega_{R-1}} |u_t|^2 dV &= \int_{\Omega_{R+1}} |u_t|^2 e^{-\varphi-\Psi} e^{\varphi+\Psi} dV \\ &\leq \sup_{\Omega_{R+1}} e^{\varphi+\Psi} \int |u_t|^2 e^{-\varphi_t-\Psi} dV \longrightarrow 0 \end{aligned}$$

since  $\varphi_t = \varphi$  on  $\Omega_{R+1}$ . Hence

$$\sup_{\Omega_R} |u_t| \leq C \int_{\Omega_{R-1}} |u_t|^2 dV \longrightarrow 0, \text{ i.e.}$$

$u_t \longrightarrow 0$  uniformly on  $\Omega_R$ . We know that

$$V = (V - u_t) + u_t \text{ and } \bar{\partial}(V - u_t) = 0.$$

And we have

$$\int |V - u_t|^2 e^{-\varphi - N\Psi} dV \leq 2 \int |V|^2 e^{-\varphi - N\Psi} dV + 2 \int |u_t|^2 e^{-\varphi - N\Psi} dV.$$

The 1-st term in the right hand side converges since  $|U|^2 e^{-\varphi} \in L^1_{loc}$ . For the 2-nd term put  $N = 1 + t$ . Then  $\varphi_t + \Psi = \varphi + \Psi$

$$\leq \varphi + (1+t)\Psi \text{ on } \Omega_{R+1} \text{ and } \varphi_t + \Psi = \varphi + (1+t)\Psi - t(R+1)$$

$$\leq \varphi + (1+t)\Psi \text{ on } \Omega \setminus \Omega_{R+1} \text{ and so}$$

$$\int |u_t|^2 e^{-\varphi - (1+t)\Psi} dV \leq \int |u_t|^2 e^{-\varphi_t - \Psi} dV < \infty.$$

Hence  $V - u_t \in A_\varphi$ . This proves the first assertion. For the second assertion we note that every function in  $A_\varphi$  must vanish at  $z$  if  $e^{-\varphi}$  is not integrable in any neighborhood of  $z$ . Because if  $u \in A_\varphi$  and  $u(z) \neq 0$  then there exists a neighborhood  $W$  of  $z$  such that  $|u| \geq \delta > 0$  on  $W$  and a contradiction that

$$\int |u|^2 e^{-\varphi - N\Psi} dV \geq \delta^2 \inf_W e^{-N\Psi} \int_W e^{-\varphi} dV = \infty$$

follows. From this it is easy to see that  $\text{cl}A_\varphi = A$  implies  $e^{-\varphi} \in L^1_{loc}$ .

The same argument gives an alternative proof of the following approximation theorem on a Stein manifold.

**THEOREM**([4],5.2.8). *Let  $\Omega$  be a complex manifold and  $\varphi$  a strictly plurisubharmonic  $C^\infty$  function on  $\Omega$  such that*

$$K_c = \{z \in \Omega \mid \varphi(z) \leq c\} \subset \subset \Omega \text{ for every real number } c.$$

*Every function which is holomorphic in a neighborhood of  $K_0$  can then be approximated uniformly on  $K_0$  by entire functions in  $\Omega$ .*

PROOF. Let  $U$  be a holomorphic function in  $K_c (c > 0)$ . choose a cut function  $\chi \in C_c^\infty(\Omega)$  so that  $\chi=1$  on  $K_{c/2}$  and  $\chi=0$  on  $\Omega \setminus K_c$ . Set  $V=\chi U$  and

$$\varphi_t(z) = \varphi(z) + \max\{0, t(\Psi(z) - c/2)\}.$$

Then  $\varphi_t$  is plurisubharmonic and

$$V=U \text{ on } K_0, \quad \bar{\partial}V=U\bar{\partial}\chi=0 \text{ on } K_{c/2} \cup (\Omega \setminus K_c)$$

$$\int |\bar{\partial}V|^2 e^{-\varphi_t} dV = \int_{K_c \setminus K_{c/2}} |\bar{\partial}V|^2 e^{-\varphi - t(\Psi - c/2)} dV \longrightarrow 0 \text{ as } t \longrightarrow \infty.$$

It then follows from Theorem 1 that we find a function  $u_t$  such that  $\bar{\partial}u_t = \bar{\partial}V$  and

$$\int |u_t|^2 e^{-\varphi_t - \Psi} dV \leq \int |\bar{\partial}V|^2 e^{-\varphi_t} dV \longrightarrow 0.$$

In particular  $\bar{\partial}u_t=0$  on  $K_{c/2}$  i.e.  $u_t$  is holomorphic there and

$$\int_{K_{c/2}} |u_t|^2 dV \longrightarrow 0,$$

so  $u_t \longrightarrow 0$  uniformly on  $K_0$ . Since  $V=(V-u_t)+u_t$  and  $\bar{\partial}(V-u_t)=0$ ,  $U$  is uniformly approximated on  $K_0$  by entire functions  $V-u_t$ .  $\square$

At this juncture we correct some errata in my previous note [1]. In Theorem 2, and 2', [1], the assumption that  $\varphi \in C^2(\Omega)$  is dropped. In the proof of Theorem 2, p.8, line 10, "We may assume that  $\varphi \in C^2(\Omega)$ " should be "must not". The case when  $\varphi$  is not in  $C^2$  is treated in this supplement.

### References

- [1] H. Kajimoto, *A Note on  $L^2$  Estimates for the  $\bar{\partial}$  Operator on a Stein manifold*, Sci. Bull. Fac. Ed., Nagasaki Univ., No.43(1990), 5-10.
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- [4] L. Hörmander, *An introduction to complex analysis in several complex variables*, Van Northland, 1990.