

Research paper

**Edaravone, a Cytoprotective Drug, Enhances Transgene
5 Expression Mediated by Lipoplexes in HepG2 Cells and Mice**

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ABBREVIATIONS:

ALT, alanine transaminase; AST, aspartate transaminase; ATRA, all-*trans* retinoic acid; DMEM, Dulbecco's modified Eagle's medium; DOTAP, 1,2-
25 dioleoyl-3-trimethylammonium-propane; EDLP, edaravone-loaded liposome;
EE, encapsulation efficiency; FBS, fetal bovine serum; Lipoplex, cationic liposome/pDNA complex; NAC, *N*-acetylcysteine; PBN, *N*-*tert*-butyl- α -phenylnitron;
PDI, polydispersity index; pDNA, plasmid DNA; PEI, polyethyleneimine; PI, propidium iodide; RLU, relative light unit; ROS, reactive
30 oxygen species; TE, transfection efficiency

35 **Abstract**

A requirement of gene therapy is efficient nucleic acid delivery. However, the application of cationic liposomes to gene therapy is restricted by their inefficient transfection capacity, which may be caused by cytotoxicity. This cytotoxicity is highly dependent on cationic lipid-induced reactive oxygen species (ROS). Here, to provide cellular protection, we used edaravone, an efficacious anti-oxidative drug, to scavenge ROS during transfection using cationic liposome/plasmid DNA complexes (lipoplexes). Both free edaravone and edaravone-loaded liposomes (EDLPs) enhanced transgene expression in the human hepatoma cell line, HepG2, while EDLPs decreased the effective dose of edaravone. The cellular protective effect of edaravone was found to decrease the cytotoxicity of cationic liposomes. Edaravone was also effective in the commercial product, Lipofectamine® 3000, which may expand the application of edaravone to promote transfection efficiency. Compared with free edaravone, EDLPs also showed superior transgene expression in mice. Our findings will promote the development of efficient and safe gene therapy.

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Keywords:

Edaravone; Reactive oxygen species; Transfection; Cytoprotection; Cationic liposomes; Non-viral vector

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1. Introduction

Gene therapy is a medical approach with great promise, especially for genetic disorders and cancers, because it is difficult to develop chemical pharmacotherapies for genetic disorders. For gene therapy, the development of effective and safe nucleic acid delivery systems is required. Non-viral vectors are safer platforms than viral vectors because of lower immunogenicity and more reproducibility and because of easy processing and chemical modification. Among non-viral vectors, cationic liposomes can be safely prepared with biocompatibility and biodegradability (Huang et al., 2014). Therefore, cationic liposome-mediated transfection has great potential for clinical use.

Compared with viral vectors, transfection efficiency (TE) of cationic liposomes is low and clinically insufficient. One reason for this is reactive oxygen species (ROS) generation, which induces cytotoxicity (Yun et al., 2016) and affects intracellular signaling pathways (Mikhed et al., 2015). It has been suggested that the cytotoxicity of cationic carriers, especially 1,2-dioleoyl-3-trimethylammonium-propane (DOTAP)-based cationic liposomes, is caused by ROS generation. The high surface density of positive charges on cationic liposomes is critical for ROS generation (Chen et al., 2008). ROS are continuously generated by ROS producers and eliminated through ROS-scavenging systems to maintain redox homeostasis under physiological conditions. Upon disruption of the equilibrium, cell damage occurs (Glasauer and Chandel, 2014). Moreover, ROS regulate cell differentiation, proliferation, migration, and apoptosis. In addition, they affect classical regulation of gene expression, including the stability of mRNAs and their transport in the cytosol (Adcock et al., 2004).

Cationic liposomes loaded with nucleic acids enter tumor cells and release therapeutic genes mostly via endocytosis (Rejman et al., 2005). During endocytosis, there are two possible sites that trigger ROS generation, namely where cationic

liposomes interact with cytoplasmic and endosomal membranes (Yan et al., 2008). If nanoparticles are degraded in lysosomes, instead of transferring therapeutic genes to nuclei for gene transfection, ROS generation is also triggered (Yan et al., 2008). Although the amount of ROS generated by cationic liposomes is limited, they may
85 trigger ROS production in mitochondria by the activity of NADPH (nicotinamide-adenine dinucleotide phosphate, reduced form) oxidase or lipoxygenase (Yan et al., 2008). Mitochondrial dysfunction caused by cationic liposomes has been shown previously (Roursgaard et al., 2016), which also aggravates cellular damage. Such cytotoxicity has even been used for cancer therapy by cargo-free cationic liposomes
90 (Yun et al., 2016).

As a free radical scavenger and cytoprotective drug, edaravone has been widely used for neurological recovery following acute brain ischemia and subsequent cerebral infarction by reducing ROS and inhibiting apoptosis (Kikuchi et al., 2012). Because the specific ROS generated by cationic liposomes are still unclear, a strategy to scavenge
95 both hydrophilic and lipophilic ROS is reasonable. As an amphiphilic compound, edaravone has a similar ability as α -tocopherol for lipid hydroperoxide radical scavenging and with ascorbic acid for inhibiting hydro-soluble peroxide radicals (Abe et al., 2004). Indeed, edaravone protects HT22 cells from H₂O₂-induced injury by inhibiting the production of ROS and activation of the mitogen-activated protein kinase
100 signaling pathway (Zhao et al., 2013). Moreover, edaravone protects osteoblastic cells/osteoblasts through its ROS-scavenging capacity by repressing dexamethasone-induced opening of mitochondrial permeability transition pores and reduction of the mitochondrial membrane potential (Sun et al., 2015). We hypothesized that the anti-oxidative effects of edaravone might regulate oxidative stress during transfection to
105 prevent cellular damage and improve TE.

In the present study, we investigated the effects of edaravone on cationic liposome-induced gene transfection. According to our results, the addition of free edaravone or edaravone-loaded liposomes (EDLPs) increased TE several fold in human hepatoma HepG2 cells and mice. This enhancement by edaravone was dose-dependent. Because
110 ROS generation-mediated cellular damage cannot be avoided during cationic lipid-based gene transfection, edaravone may be useful to protect cells from harmful ROS, thereby enhancing transgene expression.

2. Materials and methods

2.1. Materials and reagents

115 DOTAP chloride was obtained from NOF Corporation (Tokyo, Japan). Edaravone
was purchased from Tokyo Chemical Industry Co. (Tokyo, Japan). CellROX™ Deep
Red was purchased from Thermo Fisher Scientific (Waltham, MA, USA). Propidium
iodide (PI) was obtained from Wako Pure Chemical Industries (Osaka, Japan). All
120 organic solvents of analytical grade were purchased from Sigma-Aldrich (St. Louis,
MO, USA). Cholesterol and other inorganic chemicals were obtained from Nacalai
Tesque (Kyoto, Japan). Purified water was prepared using a Direct-Q UV (Merck
Millipore, Merck KGaA, Darmstadt, Germany). All cell culture media were purchased
from Thermo Fisher Scientific.

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2.2. Preparation of plasmid DNA (pDNA)

Amplification of pDNAs pCpGfree-lucia (InvivoGen, CA, USA), pZsGreen1-N1
(Clontech, Shiga, Japan) and pCMV-luciferase [constructed previously (Fumoto et al.,
2016)], was performed using Escherichia coli strains GT115, DH5 α and DH5 α ,
130 respectively. Isolation and purification were carried out using an EndoFree® Plasmid
Giga Kit (QIAGEN GmbH, Hilden, Germany). Purified pDNA was dissolved in 5%
glucose and stored at -20°C.

2.3. Preparation of cationic liposomes and EDLPs

135 The calcium acetate gradient method (Hironaka et al., 2011) was chosen to prepare
EDLPs because edaravone is partially hydro-soluble. Cationic liposomes consisting of
DOTAP and cholesterol (8:1 molar ratio) were prepared by a thin lipid film hydration
method with 120 mM calcium acetate to produce a 16 mg/ml lipid dispersion using a

vortex mixer. After hydration, submicron-sized liposomes were prepared using a
140 commercially available instrument (Mini-Extruder; Avanti Polar Lipids, Inc., AL, USA)
with a size-controlled polycarbonate membrane (0.1 μm pore size). The liposomes were
dialyzed in Hank's balanced salt solution with 0.01 M 2-morpholinoethanesulfonic acid
(pH 6.0) at 4°C to create a gradient. Some of the liposomes were used as plain cationic
liposomes. Remote loading was performed by co-incubation with edaravone solution
145 to prepare EDLPs at 37°C for 10 min.

2.4. Characterization of EDLPs

To determine the encapsulation efficiency (EE) of edaravone in EDLPs, free
edaravone was separated by centrifugal ultrafiltration at $5,000 \times g$ for 25 min at 4°C
150 (molecular weight cut-off: 10,000; Merck Millipore). To measure the total amount of
edaravone, 50 μl of the EDLP dispersion was added to 5 ml mobile phase, sonicated for
5 min, and then centrifuged at $12,000 \times g$ for 10 min before analysis. According to
Japan Pharmacopeia (JP17), the edaravone content was analyzed by high performance
liquid chromatography with a UV detector (SPD-10A, Shimadzu, Kyoto, Japan) under
155 the following conditions: C18 column (Cosmosil-Pak; 4.6×150 mm; particle diameter:
5 μm) with methanol, water, and acetic acid (100:100:1) as the mobile phase, at a
wavelength of 240 nm and 25°C. The total run time was 15 min. The EE of EDLPs was
calculated by the following equation:

$$\text{EE \%} = \frac{(E_{\text{total}} - E_{\text{free}})}{E_{\text{total}}} \times 100 \%,$$

160 where E_{total} is the total edaravone amount in EDLPs and E_{free} is the free edaravone
amount in the EDLP dispersion.

2.5. Preparation of lipoplexes

The lipoplexes were prepared with equal volumes of pDNA and cationic liposomes,
165 then incubated at 37°C for 30 min with a charge ratio (molar ratio of cationic lipids to
pDNA phosphate residues) of 3.5. For *in vitro* experiments, we used pCpGfree-lucia
encoding the secretable form of the synthetic luciferase gene. For *in vivo* experiments,
pCMV-luciferase encoding the non-secretable form of the firefly luciferase gene was
chosen. The particle size and ζ potential of liposomes and lipoplexes at 25°C were
170 measured using a Zetasizer Nano ZS (Malvern, Worcestershire, UK).

2.6. Cell culture

HepG2 cells were obtained from RIKEN (Tokyo, Japan). The cells were grown
under standard conditions in Dulbecco's modified Eagle's medium (DMEM)
175 supplemented with 10% fetal bovine serum (FBS) and penicillin G (100 U)/
streptomycin (100 μ g/ml) in a humidified atmosphere with 5% CO₂ at 37°C.

2.7. *In vitro* TE measurement

HepG2 cells were seeded into 24-well plates at a density of 4×10^4 cells/cm² in 0.5
180 ml medium and cultured for 24 h. The medium was replaced with FBS-free DMEM
containing edaravone, EDLPs, or H₂O₂ with lipoplexes (1 μ g/well pCpGfree-lucia).
After transfection for 4 h, the cells were washed with phosphate buffered saline (PBS;
pH 7.4) and then incubated with 10% FBS/DMEM at 37°C for another 4 h. TE in cells
was assessed by mixing 5 μ l medium with 100 μ l substrate (Renilla Luciferase Assay
185 System containing luciferase substrate coelenterazine; Promega, USA) and immediate
measurement of the bioluminescence level by a luminometer (Lumat LB 9507;
Berthold Technologies, Bad Wildbad, Germany). Luciferase activity is indicated as
relative light units (RLU)/ml of medium.

190 **2.8. Flow cytometric analysis**

HepG2 cells were seeded into 24-well plates at a density of 4×10^4 cells/cm² in 0.5 ml medium and cultured for 24 h. The medium was replaced with FBS-free DMEM containing lipoplexes at 1 µg/well pZsGreen1-N1 with or without edaravone (100 µM). After transfection for 4 h, the cells were incubated with CellROX™ Deep Red for 30
195 min according to the manufacturer's protocol. The cells were washed with PBS three times and then incubated with 10% FBS/DMEM at 37°C for another 12 h. The cells were harvested and centrifuged at $1,500 \times g$ for 5 min at 4°C. After washing twice with PBS containing 5% FBS, cells were re-suspended in the same solution and analyzed by flow cytometry (LSRFortessa X-20, BD Biosciences, CA, USA).

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2.9. Gene transfection by polyethyleneimine (PEI) and Lipofectamine® 3000

Similar to the conditions for cationic liposomes, HepG2 cells were seeded into 24-well plates at a density of 4×10^4 cells/cm² in 0.5 ml medium and pre-incubated for 24 h. Medium containing 1 µg/well pCpGfree-lucia/PEI polyplexes (PEI HCl MAX, linear, molecular weight 40k (22k in free base), Polysciences, Inc., PA, USA) with an N/P ratio
205 (the ratio of moles of the amine groups of PEI to those of the phosphate groups of pDNA) of 10 with or without free edaravone was incubated for 4 h, and then washed and transferred to normal DMEM and incubated for another 4 h. The process for Lipofectamine® 3000 (Thermo Fisher Scientific)-mediated transfection was similar to
210 that for PEI and followed the manufacturer's protocol. TE in cells was assessed by mixing the medium and substrates as described above, and then measuring the bioluminescence level using a luminometer (Lumat LB 9507; Berthold Technologies). Luciferase activity is also indicated as RLU/ml of medium.

215 **2.10. Cell metabolic activity, cell death, and TE**

For evaluation of cell metabolic activity, cells were seeded in 96-well plates at a density of 3.6×10^4 cells/cm² and pre-incubated for 24 h. Free edaravone or lipoplexes with or without 100 μ M edaravone were added to the wells, followed by incubation for 4 h. After incubation for a further 4 h in drug-free DMEM, the medium was collected
220 for TE measurement. TE was measured as described in section 2.7. After collection of medium, each well was washed with PBS, then 100 μ l DMEM containing 10 μ l of cell counting kit-8 (CCK-8; Dojindo, Kumamoto, Japan) was added and the cells incubated for 1 h. The absorbance was measured at 450 nm using a microplate photometer (Multiskan™ FC; Thermo Fisher Scientific). Blank wells and untreated cells served as
225 negative and positive controls, respectively.

For cell death measurement, HepG2 cells were seeded into 24-well plates at a density of 4×10^4 cells/cm² in 0.5 ml medium and cultured for 24 h. Medium containing lipoplexes at different lipid concentrations with or without 100 μ M edaravone was added to the wells, followed by incubation for 4 h. The cells were washed with PBS,
230 and then incubated with 10% FBS/DMEM at 37°C for another 4 h. Cells were harvested and centrifuged at $1,500 \times g$ for 5 min at 4°C. Then, cells were washed twice and re-suspended in 5% FBS in PBS. After incubation with PI (0.5 μ g/ml) for 5 min at room temperature, the cells were analyzed using a Tali™ Image-Based Cytometer (Thermo Fisher Scientific).

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2.11. Animals

Five-week-old male ddY mice (Japan SLC, Inc., Shizuoka, Japan) weighing 25-27 g were used in experiments and fed a standard laboratory diet in air-conditioned

chambers at a constant temperature and humidity. All experiments were approved by
240 the Institutional Animal Care and Use Committee of Nagasaki University.

2.12. *In vivo* gene transfer

To measure *in vivo* TE, lipoplexes with various edaravone dosages were administered via the tail vein of mice. Ninety micrograms of pCMV-luciferase in 150
245 μl of a 5% glucose solution was mixed with the same volume of cationic liposomes and incubated at 37°C for 30 min with a charge ratio of 3.5. Then, 25 μl of the various dosages of EDLPs (8 $\mu\text{g}/\mu\text{l}$) was added and mixed well. Administration of the complexes was carried out through the tail vein. The measurements were finished after 6 h, followed by immediate euthanasia of the animals. Lungs and livers were dissected,
250 washed with saline, and then homogenized on ice after adding 4 $\mu\text{l}/\text{mg}$ tissue lysis buffer (0.1 M Tris-HCl, pH 7.8, 0.05% Triton X-100, 2 mM EDTA) (Fumoto et al., 2016). After the homogenates were centrifuged at 15,000 $\times g$ for 5 min at 4°C, 20 μl of tissue homogenate supernatant was mixed with 100 μl luciferase assay substrate (PicaGene®, Toyo Ink Mfg. Co., Ltd., Tokyo, Japan). The light produced was measured
255 using a luminometer (Lumat LB 9507; Berthold Technologies). The luciferase activity is represented as RLU/g of tissue.

2.13. Measurement of serum transaminase activities

Blood was collected from mice 24 h after intravenous injection of 5% glucose,
260 lipoplexes, lipoplexes with 600 μg free edaravone, or EDLPs containing 30 μg edaravone. After the blood had clotted, samples were centrifuged at 15,000 $\times g$ for 5 min and the supernatants were collected to obtain serum. Serum AST and ALT activities were measured with a transaminase C II test kit (Wako Pure Chemical Industries).

265 **2.14. Statistical analysis**

Statistical comparisons were performed using Student's unpaired *t*-test for comparisons of two groups, and one-way analysis of variance followed by Dunnett's post hoc test for multiple comparisons with a control group or Tukey's post hoc test for multiple comparisons among different groups. Values of $P < 0.05$ were considered to
270 indicate statistical significance.

3. Results

3.1. Preparation and characterization of EDLPs

The ultimate goal of the present study was *in vivo* transfection. Therefore, we first formulated EDLPs. To trap edaravone in liposomes by remote loading, we decreased the cholesterol proportion relative to DOTAP from a DOTAP:cholesterol ratio of 1:1 to enhance the EE of EDLPs. The molar ratio of DOTAP to cholesterol was optimized after single factor assessments of EE, particle size, and the polydispersity index (PDI). The particle size and PDI of EDLPs before and after incubation were almost unchanged (data not shown). A molar ratio of DOTAP:cholesterol of 8:1 was selected as the optimal formulation. The highest EE of approximately 67% after incubation for 10 min at 37°C was obtained at a certain concentration of edaravone by the calcium acetate gradient method. The final edaravone concentration was 2 mg/ml. Before and after dialysis, the size, PDI, and ζ potential of liposomes were maintained at around 100 nm, 0.07, and 84 mV, respectively. After incubation with pDNA, the size doubled (209.7 ± 0.7 nm), while the ζ potential halved (45.5 ± 1.0 mV) with an increase in PDI (0.240 ± 0.003).

3.2. *In vitro* TE by free edaravone and EDLPs

As shown in Fig. 1A, 100 μ M edaravone had the strongest ability to promote TE (6.1 times higher) compared with the control group. This efficiency was highly dependent on the applied dose of edaravone. Below 100 μ M, the TEs were slightly increased from the control group but less effective than 100 μ M. When the edaravone concentration was more than 250 μ M, the TE tended to decrease with increasing concentration, especially at 1,000 μ M. After liposome formation, EDLPs containing only 2 μ M edaravone showed highly improved TE (7-fold) compared with the control

group (Fig. 1B). The relationship between the edaravone concentration and TE was bell-shaped.

300 **3.3. Relationship between TE and ROS levels in cells**

We analyzed the relationship between TE and cellular ROS levels by flow cytometry. The number of gene expression-positive cells was increased by co-incubation with 100 μ M edaravone (Fig. 2B) compared with the control group (Fig. 2A) with a significant difference in mean fluorescence intensity (Fig. 2D). Lipoplexes
305 enhanced cell ROS levels in comparison with the basal level (Fig. 2A, C and E). The cell ROS level was decreased by the addition of edaravone (Fig. 2A, B and E). As expected, the gene expression-positive cells in the control and edaravone groups were both centralized in the ROS-negative quadrant (Fig. 2A and B).

310 **3.4. TE in a gradient H₂O₂ environment**

To assess the effect of ROS on TE, we added H₂O₂. As shown in Fig. 3, TE decreased with increasing concentration of H₂O₂ and was sharply reduced at 1,000 μ M in the absence of edaravone. Edaravone effectively enhanced TE at all cellular ROS levels, and this enhancement was very high when the H₂O₂ concentration was more
315 than 250 μ M. These results are in line with those in Fig. 1A; i.e. suppression of ROS increased TE.

3.5. Dependency of cell metabolic activity, cell death, and TE on edaravone concentration

320 To check the effects of edaravone itself on HepG2 cells, we measured their metabolic activity in edaravone solution without lipoplexes (Fig. 4A). Approximately

100% cell metabolic activity was observed with edaravone at less than 500 μM . Even at 1,000 μM edaravone, the metabolic activity of HepG2 cells was still $>90\%$. However, the cytotoxicity of cationic lipoplexes was obvious in terms of cell metabolic activity (Fig. 4B). The CCK-8 assay showed a lipid concentration-dependent decrease in HepG2 cell metabolic activity, especially at concentrations of $>50 \mu\text{M}$ (Fig. 4B). In Figs. 1, 2 and 3, the lipid concentration was 23.4 μM . At concentrations of 20 and 50 μM , the lipoplexes without edaravone showed slight inhibition of cell metabolic activity, indicating partial toxicity. Edaravone rescued the cells with partial toxicity. Dehydrogenase-based assays, such as the CCK-8 assay, reflect cell conditions that depend on several elements including NAD(H), NADP(H), and mitochondrial activity. Because cellular ROS levels might affect the CCK-8 assay results, we also measured cell death using PI (Fig. 4C). Cell death was low below 10 μM . Increasing lipid concentration resulted in increased cell death. Again, edaravone rescued the cells from death at moderate lipid concentrations between 20 and 50 μM . TE reached a plateau at a lipid concentration of 50 μM , at which edaravone significantly enhanced the TE (Fig. 4D). At a lipid concentration of 100 μM , cell death was obvious with or without edaravone (Fig. 4C), and there was no significant difference in TE (Fig. 4D). The concentration of edaravone (100 μM) might be insufficient at such high lipid concentration.

3.6. Effect of edaravone on transfection mediated by PEI and Lipofectamine®

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Because edaravone had a promising effect on the DOTAP-based non-viral transfection system, we applied edaravone to other non-viral vectors. Edaravone had a significant effect on Lipofectamine® 3000 transfection (Fig. 5A). Compared with

normal conditions, the TE of Lipofectamine® 3000 was enhanced by more than 3-fold by co-incubation with 250 μ M edaravone. As a cationic lipid-based transfection system, the relationship between TE and edaravone concentration was almost identical to the former results (Fig. 1). Unfortunately, ROS scavenging by edaravone scarcely improved TE of linear PEI polyplexes with a maximum 1.6-fold increase compared with the control at 100 μ M and even produced decreased TE at edaravone concentrations higher than 250 μ M (Fig. 5B).

3.7. *In vivo* TE

EDLPs effectively increased TE at certain doses, especially in the 30 μ g group, which demonstrated 20 times higher TE than the control group in the lungs (Fig. 6A). Such enhancement also occurred in the liver (Fig. 6B). Concerning the difference in the doses giving the highest TE between the lungs (30 μ g) and liver (40 μ g), the amount of EDLPs containing 40 μ g edaravone in the lungs may have been saturated, providing more edaravone for the liver than the 30 μ g dose to scavenge ROS. Similar to the *in vitro* conditions, the dose-dependency of edaravone was also observed to be bell-shaped in mice. Furthermore, pulmonary TE was several times higher than hepatic TE in all mice because cationic liposomes were mainly trapped in the lungs. Free edaravone solution with a similar dose (30 μ g) did not increase TE in either organ (Fig. 6C and D). Increasing the dose of free edaravone to 270 μ g and 600 μ g slightly improved TE in the lungs. For the liver, only 270 μ g increased TE efficiency, indicating that 600 μ g of edaravone might be an overdose as it produced no TE enhancement.

3.8. The effect of edaravone on cationic lipoplex-induced hepatic toxicity

To assess the cytoprotective effects of edaravone *in vivo*, we measured serum AST

and ALT levels as markers of hepatic toxicity. Serum AST and ALT levels in the vehicle group were 35.5 ± 9.3 and 18.0 ± 8.2 IU/L, respectively. These levels were greatly increased 24 h after lipoplex injection compared with the vehicle group (Fig. 7). This indicated that the lipoplex treatment induced acute hepatic injury. The addition of free edaravone or EDLPs effectively decreased the serum transaminase activity levels. EDLPs with only 30 μg edaravone protected against acute hepatic toxicity to a similar degree as 600 μg free edaravone.

380 4. Discussion

The positive charge of carriers in non-viral transfection is a double-edged sword. It promotes cellular uptake and endosome escape for gene transfer, but generates harmful ROS during membrane fusion in these processes (Yan et al., 2008). Several strategies can decrease ROS production and increase TE. For example, acetylation of
385 branched PEI reduces ROS production (Calarco et al., 2013), L-carnosine (antioxidant) (Dey et al., 2011) and α -lipoic acid (Dharmalingam et al., 2017) conjugations eliminate ROS produced by cationic materials. In this study, direct use of an antioxidant, rather than synthetic modification, simplifies the system and we show that the antioxidant, edaravone, improves cationic lipid-mediated transfection in HepG2 cells (Fig. 1, 2, 4
390 and 5) and mice (Fig. 6).

To choose the best anti-oxidant for TE enhancement, we tested six antioxidants, i.e., edaravone, all-trans retinoic acid (ATRA), α -tocopherol, ascorbic acid, *N*-acetylcysteine (NAC) and *N*-tert-butyl- α -phenylnitron (PBN) (Fig. 1A and supplementary Fig. S1). Edaravone produced the strongest enhancement of TE among
395 the tested anti-oxidants. Partition coefficient (LogP) is critical for cellular uptake and intracellular disposition. Edaravone, an amphiphilic compound with a moderate LogP value (supplementary Table S1), has a similar ability as α -tocopherol to inhibit lipid peroxide radicals and a similar ability as ascorbic acid to inhibit hydro-soluble peroxide radicals (Abe et al., 2004). Although PBN has a similar LogP value (supplementary
400 Table S1) and a similar TE-enhancing ability as edaravone (Fig. 1A and supplementary Fig. S1), edaravone is effective at much lower concentrations than PBN. Overall, we consider that edaravone was more suitable to scavenge free radicals during transfection with lipoplexes than other anti-oxidants. We, therefore, chose edaravone for further experiments.

405 The concentration of edaravone was critical for TE enhancement (Fig. 1).
Considering the balance of ROS levels between cellular health and damage caused by
ROS generation during gene transfection, moderate reduction of ROS levels by
edaravone was efficacious, as shown in Fig. 1A. Edaravone effectively decreased
cellular ROS levels, which was highly enhanced by lipoplexes (Fig. 2). In addition, the
410 population of gene expression-positive cells was significantly increased in the
edaravone group (Fig. 2A, B and D). It is clear that the gene expression-positive cells
of both control and edaravone groups were centralized in the ROS negative quadrant
by flow cytometry (Fig. 2A and B). Furthermore, the percentage of cells with high ROS
levels and without gene expression was decreased by edaravone, while the percentages
415 of double negative and double positive quadrants were almost unchanged (Fig. 2A and
B). These findings indicate that ROS generation during transfection was harmful for
gene expression. Thus, the TE enhancement by edaravone was possibly attributed to
scavenging of the ROS generated during transfection. However, when edaravone
content was more than 250 μ M there was a decrease in TE, possibly because the
420 antioxidant capacity may reduce ROS to an extremely low level (Fig. 1A). This inverse
correlation between higher concentrations of edaravone content and TE might be
attributed to extreme ROS reduction that worsens the health of HepG2 cells. It has been
reported that ROS reduction by antioxidant MCI-186 (edaravone) results in cell cycle
arrest without a decrease in viability (Suzuki et al., 2005). In cell cycle arrest, nuclear
425 membranes are generally intact with low permeability, thereby inhibiting transfection.
Hence, retention of the cell redox balance maintains the status and condition of cells,
which affects the TE.

As mentioned above, the TE enhancement by EDLPs (Fig. 1B) was also possibly
attributed to the scavenging of generated ROS. EDLPs increased TE with a much lower

430 content of edaravone (Fig. 1A and B). Therefore, modulation of ROS levels in the
microenvironment by EDLPs might be better than that in the macroenvironment by free
edaravone. Because free drug can be easily metabolized and distributed to non-targeted
organs, the formation of EDLPs is preferable for *in vivo* delivery. Although EDLPs
could increase TE more than seven times with much lower content, the adoption of
435 EDLPs, i.e. edaravone-loaded cationic liposomes decreased the overall TE (Fig. 1A and
B). The relationship between charge ratio (CR) and gene transfection efficiency has
been described as peak shaped, with an increase followed by a decrease (Elisabete G et
al., 2004). In our experiment, the optimized charge ratio (3.5) was located on the anti-
correlation side of the peak value (data not shown), which explains why TE was
440 decreased by the addition of cationic lipids.

Similar to *in vitro* conditions, the TE enhancement in mice was also dose-
dependent; TE increased and then decreased with the increase in edaravone dose (Fig.
6A and B). The TE decrease at higher edaravone doses was possibly caused by
interruption of redox homeostasis, which induced an extreme reduction in ROS
445 (Glasauer and Chandel, 2014). Maintenance of the redox equilibrium may be important
for edaravone-mediated enhancement of *in vivo* TE. In addition, the ROS generation by
cationic liposomes not only disrupted the redox equilibrium to induce toxicity but also
transmitted stress signals to the immune system. Accumulation of cationic lipoplexes
can cause lung toxicity expressed as pulmonary inflammation. Such responses induced
450 by cationic liposomes and lipoplexes have been observed and characterized by
significant enhancement of several proinflammatory cytokines (Xue et al., 2014). To
overcome the inflammatory toxicity of cationic liposome-mediated gene transfer, the
sequential injection method (Tan et al., 2001) and modified cationic lipids (Elouahabi
et al., 2003) have been developed. The inhibition of inflammatory responses by these

455 strategies significantly enhance *in vivo* TE. ROS play a central role in inflammasome
activation (Harijith et al., 2014), and the scavenging of ROS by edaravone might
restrain subsequent immunogenic responses. Systemic toxicities of lipoplexes by
generating ROS include hepatic injury with elevated levels of aspartate
aminotransferase (AST) and alanine aminotransferase (ALT) (Xue et al., 2014). In this
460 study, edaravone and EDLPs ameliorated the acute hepatic injury caused by lipoplexes
(Fig. 7). This effect of edaravone might be attributed to its ability to directly scavenge
for hydroxyl radicals (Zong et al., 2014). Hence, the reduction of inflammation induced
by cationic lipoplexes may be another factor that contributes to enhanced TE by
edaravone administration *in vivo*.

465 It is noteworthy that free edaravone barely improved TE in mice at the same dosage
with EDLPs (Fig. 6). The lipoplexes and EDLPs may have formed a complex by
electrostatic interactions with negatively charged blood proteins after intravenous
injection. Five minutes after intravenous administration of EDLPs, nearly 70% of
edaravone accumulated in the lungs (supplementary Fig. S2). In contrast, free
470 edaravone injection resulted in undetectable accumulation in the lungs. Accumulation
of edaravone in the liver of free and EDLPs groups was comparable. Obviously, the
biodistribution properties of edaravone in EDLPs was similar to those of liposomes,
which were completely different from those of the free drug. ROS generation may be
dependent on the accumulated amount of cationic lipoplexes. Free edaravone, unlike
475 EDLPs, could not efficiently accumulate in organs. Therefore, a much higher dose of
free edaravone is necessary to achieve TE enhancement (Fig. 6). EDLPs could achieve
the synchronous distribution of edaravone and lipoplexes, and decrease the application
dose of edaravone. Moreover, EDLPs (with only 30 µg of edaravone) could decrease
serum AST and ALT levels as effectively as 600 µg of free edaravone (Fig. 7). There is

480 another possibility that co-delivery of lipoplexes and edaravone by the same endocytosis pathways may enhance the influence of edaravone. Edaravone would be released from EDLPs at the time of membrane fusion when ROS generation may occur, followed by rapid and direct ROS scavenging.

We measured the cytotoxicity of lipoplexes with or without edaravone (Fig. 4) and established an unbalanced ROS environment model (Fig. 3). Transfection with the cationic liposomes resulted in high cell death rates at liposome concentrations of >50 μM (Fig. 4C). These results support findings from another study that showed cytotoxicity to be one of the most significant disadvantages of cationic-based transfections (Yan et al., 2008). The cellular protection by edaravone via removal of generated ROS may be critical for higher cell viability (Fig. 4B and C). The cytotoxicity of cationic liposomes can be separated into internalization (uptake)-dependent and -independent toxicities (Soenen et al., 2009). In addition, ROS generation is lipid-dose-dependent and then reaches a steady state level at concentrations higher than approximately 50-100 μM (Yun et al., 2016). Thus, in large amounts, cationic liposomes cause extreme destabilization of the plasma membrane and cell collapse, partly explaining the inefficiency of edaravone with high lipid content (100 μM) (Fig. 4B, C and D). To investigate oxidative stress responses of cells and cytoprotective effects of antioxidant agents, cells are usually exposed to H_2O_2 in the culture medium. We tested the effect of an H_2O_2 concentration gradient on TE enhancement by edaravone (Fig. 3). Mammalian cell lines enter temporal growth arrest at >100 μM H_2O_2 and permanent growth arrest at >250 μM (Gulden et al., 2010). When the concentration is higher than 1,000 μM , it results in necrotic cell death (Gulden et al., 2010). Edaravone enhanced TE at all H_2O_2 concentrations, possibly because of its capacity of ROS scavenging. These results strongly indicate the effectiveness of edaravone for TE enhancement.

505 Results using commercial products (Fig. 5) further proved our hypothesis that anti-oxidative effects of edaravone affect lipoplex-mediated transfection (lipofection). As a cationic lipid-based transfection agent, Lipofectamine® 3000 also generates ROS during endocytosis and membrane fusion, which explained TE enhancement at certain concentrations of edaravone (Fig. 5A). However, edaravone inefficiently improved the
510 gene expression level for PEI polyplexes (Fig. 5B). Linear PEI polyplex-based transfection is induced by the ‘proton sponge’ effect with barely any ROS generation even at a high concentration (Hall et al., 2015; Nguyen and Szoka, 2012; Roursgaard et al., 2016). The cytotoxicity of polyplexes is usually caused by undegradable material instead of sharply increased ROS generation and membrane instability induced by
515 cationic lipids (Roursgaard et al., 2016). In this case, edaravone might be inefficient at preventing PEI-induced cytotoxicity. Although edaravone may only work for lipofection, it would be able to enhance TE with high safety because edaravone itself is already used clinically.

520 **5. Conclusion**

This study demonstrated that edaravone increased TE by scavenging for superfluous ROS induced by cationic liposomes. To overcome the cytotoxicity of cationic liposomes, edaravone might eliminate the ROS generated during lipofection, which cause cellular damage. It was noteworthy that formation of EDLPs decreased the
525 efficacious edaravone dose, especially in mice. Because ROS levels are associated with TE, modulation of cellular ROS is important for gene delivery.

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Authorship contributions

535 *Participated in research design:* Wang, Fumoto, and Nishida.

Conducted experiments: Wang.

Performed data analysis: Wang, Miyamoto, and Tanaka.

Wrote or contributed to the writing of the manuscript: Wang and Fumoto.

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Figure Captions

645 **Fig. 1.** Effect of edaravone (A) and EDLPs (B) on TE in HepG2 cells. (A) Edaravone concentrations (0–1,000 μ M). (B) EDLP concentrations (0–20 μ M, edaravone equivalent). pCpGfree-lucia (2 μ g/ml, 1 μ g/well) was complexed with cationic liposomes at a charge ratio of 3.5. Free edaravone (A) or EDLPs (B) at various concentrations were mixed with lipoplexes and then transfected for 4 h. The lipid
650 content for all groups was equalized by plain cationic liposomes (B). Luciferase activity in the medium was determined after incubation for a further 4 h. Each bar represents the mean + SD (n=3). ** P < 0.01, compared with the control.

Fig. 2. Relationship between gene expression and cell ROS levels. pZsGreen1-N1 (2
655 μ g/ml, 1 μ g/well) was complexed with cationic liposomes at a charge ratio of 3.5. (A) 0 and (B) 100 μ M free edaravone. (C) Cells without lipoplexes to detect basal ROS levels. (D) TE level. (E) ROS level. The blue line represents the basal ROS level. The numbers shown on plots represent the percentage of gated cells. Representative figures of the three experiments are shown. MFI, mean fluorescence intensity. Each bar
660 represents the mean + SD (n=3). ** P < 0.01; *** P < 0.001, compared with the control.

Fig. 3. Effect of H₂O₂ co-incubation on TE with or without edaravone. pCpGfree-lucia (2 μ g/ml, 1 μ g/well) was complexed with liposomes at a charge ratio of 3.5. Various amounts of H₂O₂ (0–1,000 μ M) with or without 100 μ M edaravone were mixed with
665 lipoplexes and then transfected into HepG2 cells for 4 h. Luciferase activity in the

medium was determined after incubation for an additional 4 h. Each point represents the mean + SD (n=3). **P*< 0.05, compared with the control.

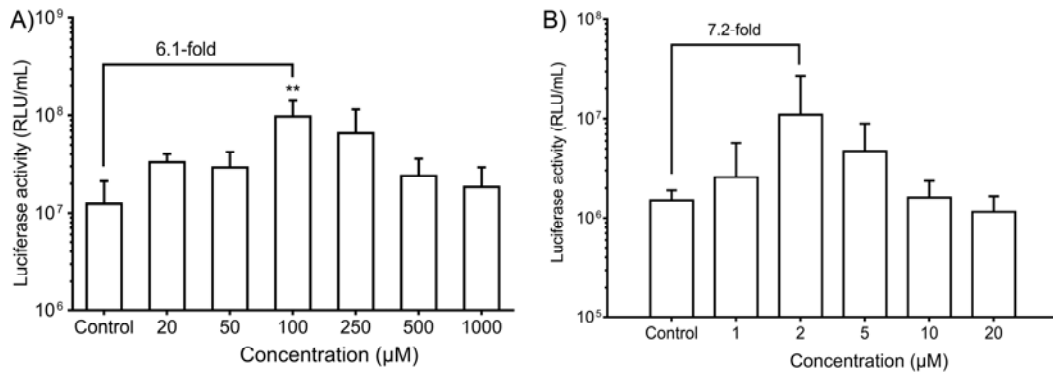
Fig. 4. Metabolic activity, cell death, and TE measurements. (A) Metabolic activity of
670 cells treated by free edaravone (10–1,000 μ M). Each bar represents the mean \pm SD
(n=4). There was no significant difference. Metabolic activity (B), cell death (C), and
TE (D) of cells treated with lipoplexes [5–100 μ M lipids, 0.43–8.6 μ g/ml (0.043-0.86
 μ g/well for panel B and D, 0.22-4.3 μ g/well for panel C, respectively) pCpGfree-lucia,
charge ratio 3.5] with (filled square) or without (empty circle) 100 μ M edaravone.
675 HepG2 cells were treated with drugs for 4 h. Cell metabolic activity, cell death and TE
were analyzed after incubation for an additional 4 h. Each dot represents the mean +
SD (n=3). **P*< 0.05, ***P*< 0.01.

Fig. 5. Effect of edaravone on TE mediated by Lipofectamine® 3000 (A) and PEI (B)
680 complexes. pCpGfree-lucia (2 μ g/ml, 1 μ g/well) was complexed with PEI at an N/P
ratio of 10, whereas lipoplexes containing Lipofectamine® 3000 were prepared
following the manufacturer's protocol (1 μ g/ml pCpGfree-lucia, 0.5 μ g/well). Free
edaravone at various concentrations was mixed with lipoplexes (A) or polyplexes (B),
and then transfected into HepG2 cells for 4 h. Luciferase activity in the medium was
685 determined after incubation for a further 4 h. Each bar represents the mean + SD (n=3).
**P*< 0.05, compared with the control.

Fig. 6. TE of lipoplexes in murine lungs (A, C) and livers (B, D) with various EDLP doses (A, B) and free edaravone (C, D). pCMV-luciferase (90 µg) was complexed with liposomes at a charge ratio of 3.5. Various doses of EDLPs and free edaravone were post-mixed with lipoplexes. The lipid content for all groups was equalized by plain cationic liposomes. Luciferase activity was determined at 6 h after administration. Each bar represents the mean + SD (n=3). **P* < 0.05, compared with the control.

Fig. 7. Effect of free edaravone and EDLPs on cationic lipoplex-induced hepatic toxicity. pCMV-luciferase (90 µg/mouse) was complexed with liposomes at a charge ratio of 3.5. Lipoplexes were mixed with EDLPs (30 µg edaravone) or free edaravone (600 µg). The lipid content for all groups was equalized by plain cationic liposomes. Serum transaminase activities, AST (empty bar) and ALT (filled bar), were determined 24 h after administration. Each bar represents the mean + SD (n≥3). **P* < 0.05, ***P* < 0.01 compared among groups. ## *P* < 0.01 compared with other groups.

Fig. 1



705

Fig. 2

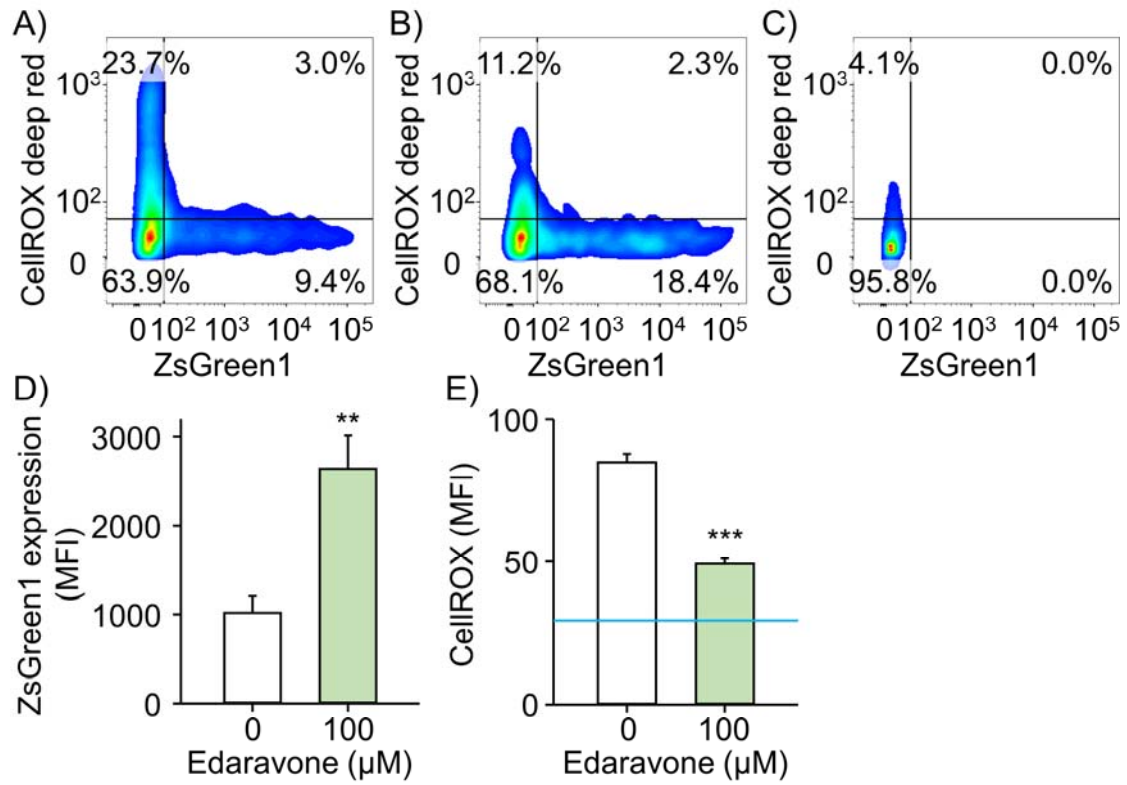
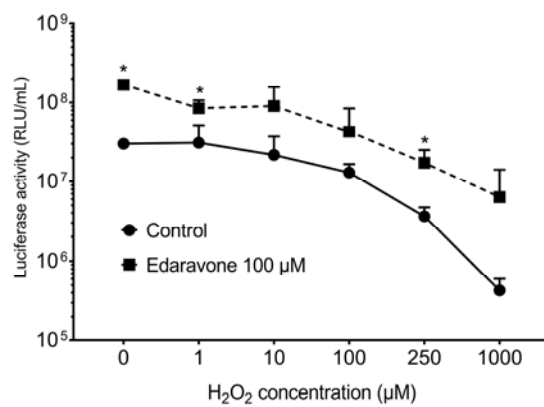
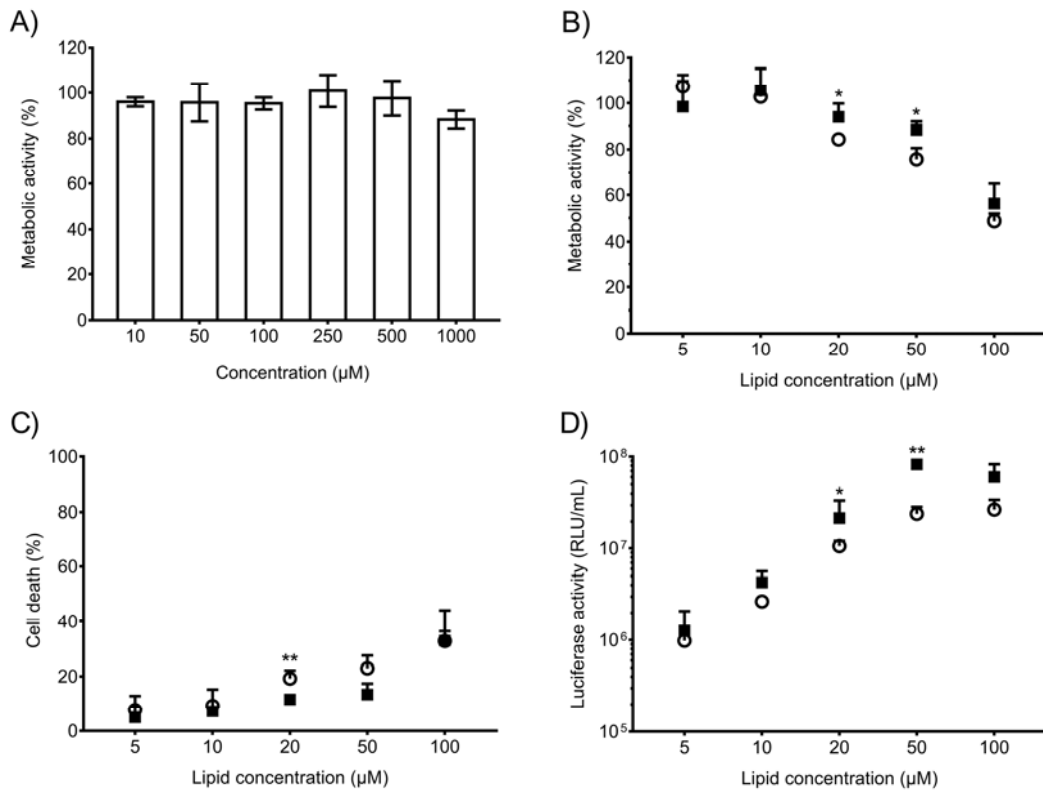


Fig. 3



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Fig. 4



715 Fig. 5

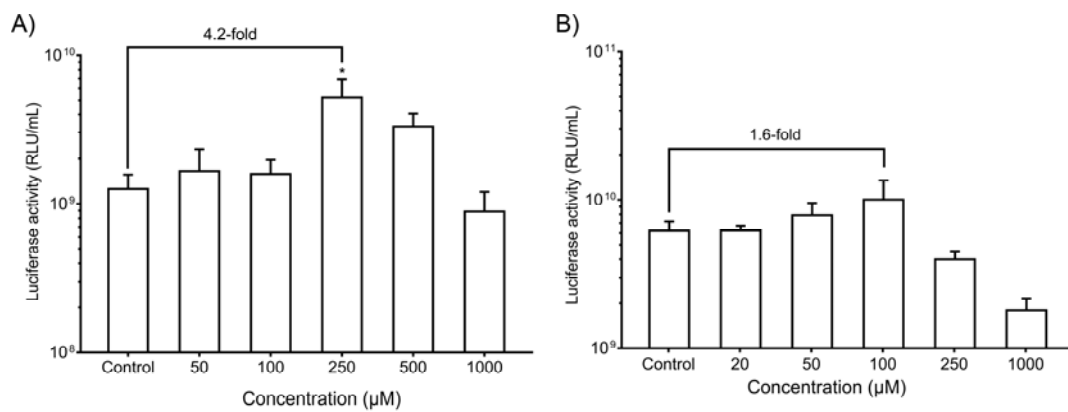
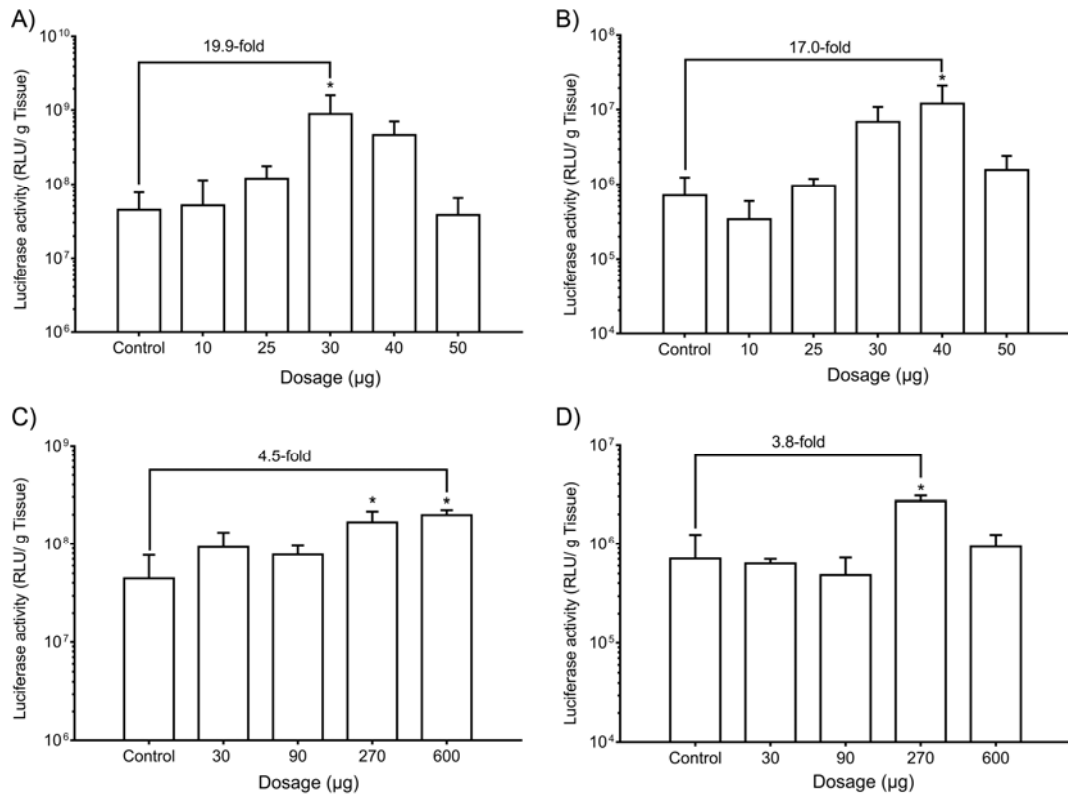
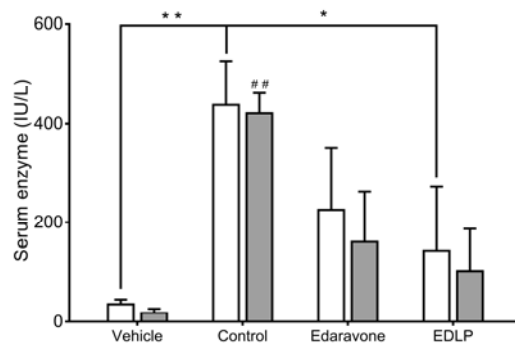


Fig. 6



720

Fig. 7



Supplementary Data

725 *INTERNATIONAL JOURNAL OF PHARMACEUTICS*

Edaravone, a Cytoprotective Drug, Enhances Transgene Expression Mediated by Lipoplexes in HepG2 Cells and Mice

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740

1. Materials and methods for supplementary experiments

1.1. Materials and reagents

745 All-trans retinoic acid (ATRA), α -tocopherol, *N*-acetylcysteine (NAC) and ascorbic acid were obtained from Wako Pure Chemical Industries, (Osaka, Japan). *N*-tert-butyl- α -phenylnitron (PBN) and 3-methyl-1-p-tolyl-5-pyrazolone (an internal standard, IS), were purchased from Tokyo Chemical Industry Co. (Tokyo, Japan). DiD (1,1'-dioctadecyl-3,3,3',3'-tetramethylindodicarbocyanine perchlorate), a lipid probe,
750 was obtained from Thermo Fisher Scientific (Waltham, MA, USA).

All other materials were sourced as described in the main text.

1.2. Preparation of DiD-labeled cationic liposomes

755 DOTAP/cholesterol (8:1 molar ratio) and 0.65% DiD were dissolved in methanol, and liposomes prepared by the thin lipid film method. The lipid film was then hydrated with 5% glucose to produce 16 mg/ml liposomes using a vortex mixer. Then as described in the main text, submicron-sized liposomes were prepared using a commercially available instrument with a 0.1 μ m size-controlled polycarbonate membrane. The other samples were prepared as described in the main text.

760

1.3. Administration of cationic liposomes, free edaravone and EDLPs

Mice were administrated samples of equal dose and formulation as the samples used in the *in vivo* transfection experiments. Samples were administered via the tail vein, and 5 min later the mice were euthanized under anesthesia. Lungs and livers were
765 extracted, washed with saline, and then homogenized on ice after adding 4 μ l/mg saline.

1.4. Sample extraction

For edaravone, 100 μ l of tissue sample was spiked with 20 μ l IS (175 μ g/ μ l), 10 μ l 20% formic acid, and 20 μ l water (For calibration samples, water was substituted with 20 μ l edaravone standard solution). The mixture was vortexed to mix, after which, 200 μ l acetonitrile was added and vortex-mixed vigorously for 2 min. Then, the sample was centrifuged at 20,000 \times g for 10 min. After that, 20 μ l of the supernatant were injected into the HPLC system with a UV/VIS detector (SPD-10A, Shimadzu, Kyoto, Japan) for analysis.

For DiD, 100 μ l of tissue sample was mixed with 900 μ l methanol and vortexed for 2 min. The sample was then centrifuged at 20,000 \times g for 10 min and the fluorescence intensity of the supernatant detected using a spectrofluorophotometer (RF-6000, SHIMADZU, Kyoto, Japan) with an excitation wavelength at 555 nm and an emission wavelength at 570 nm. The results were assessed using the equation:

$$\% \text{ dose/tissue} = \frac{\text{content in tissue}}{\text{administered amount}} \times 100\%.$$

1.5. *In vitro* TE measurement

The cell culture conditions, experimental procedure and TE measurement were performed as described in the main text.

1.6. Partition coefficient measurement

Certain concentrations of ascorbic acid, edaravone, NAC or PBN were dissolved in 2 mL of 1-octanol-saturated PBS (0.01 M), then mixed with an equal volume of PBS-saturated 1-octanol. Samples were shaken in a 37°C water bath at 100 rpm for 24 h. The concentration alteration in the PBS phase was measured using a UV spectrophotometer (UV-1850, SHIMADZU, Kyoto, Japan).

ATRA and α -tocopherol are hydrophobic compounds; therefore, different concentrations were dissolved in 2 ml PBS (0.01 M)-saturated 1-octanol, then mixed with an equal volume of 1-octanol-saturated PBS (0.01 M) and shaken in a 37°C water bath at 100 rpm for 24 h. The concentration alteration in the 1-octanol phase was measured using a spectrofluorophotometer (RF-6000, SHIMADZU, Kyoto, Japan) with 241/488 nm and 261/325 nm as excitation/emission wavelengths for ATRA and α -tocopherol, respectively.

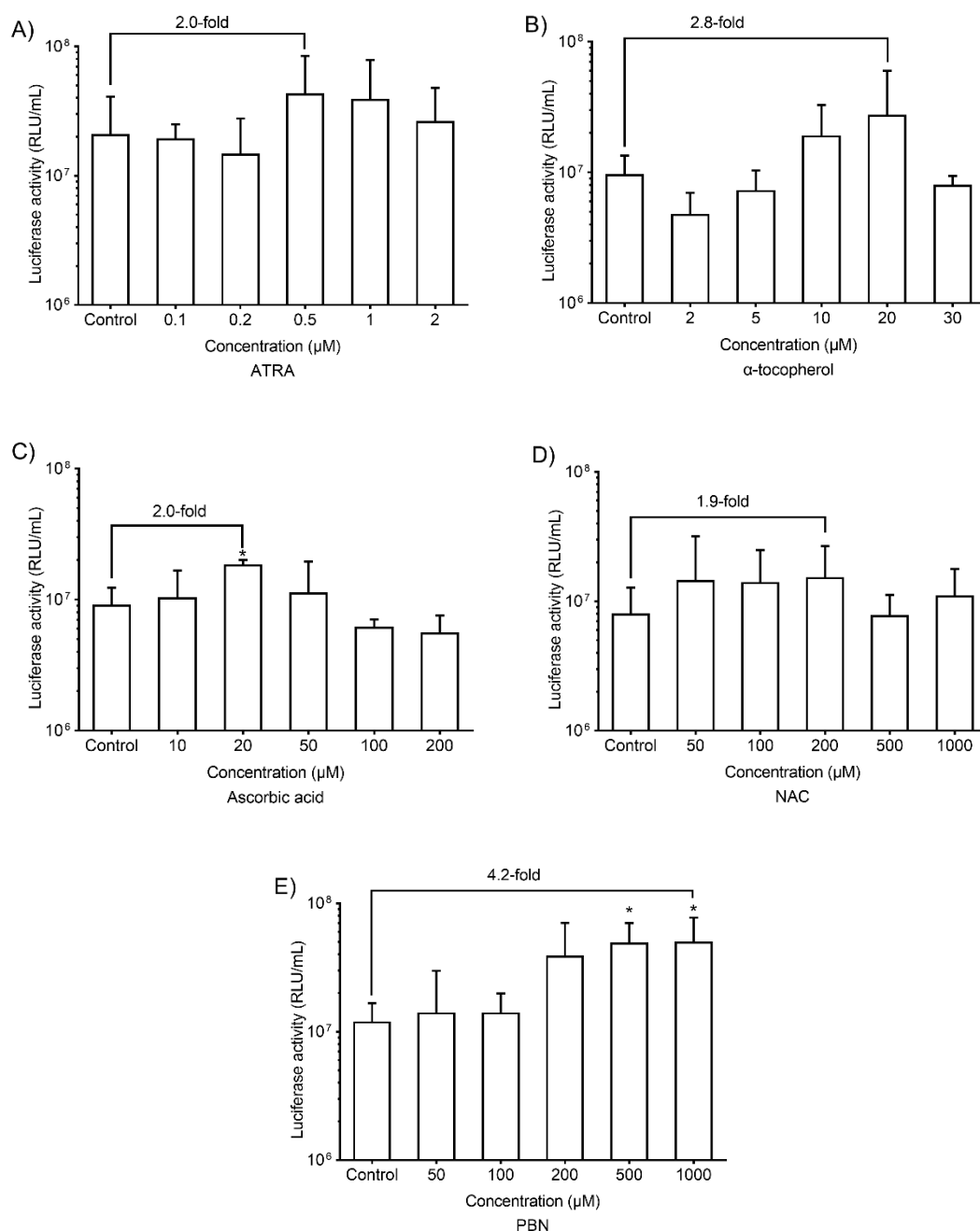
The results were assessed using the equation:

$$\log P = \log \frac{C_{1\text{-octanol}}}{C_{\text{PBS}}},$$

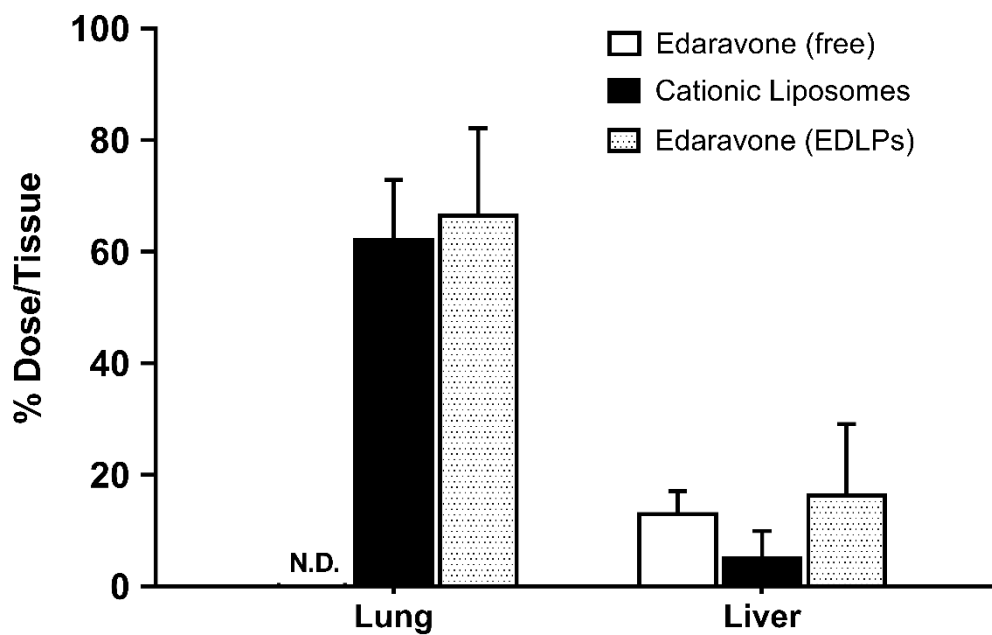
where $C_{1\text{-octanol}}$ is the concentration of the compound in 1-octanol; C_{PBS} is the compound concentration in PBS.

2. Results

805



810 **Fig. S1.** Effect of ATRA (A), α -tocopherol (B), ascorbic acid (C), NAC (D) and PBN
815 (E) on TE in HepG2 cells. (A) ATRA concentrations (0-2 μ M). (B) α -tocopherol
concentrations (0-30 μ M). (C) Ascorbic acid concentrations (0-200 μ M). (D) NAC
concentrations (0-1,000 μ M). (E) PBN concentrations (0-1000 μ M). pDNA (2 μ g/ml, 1
 μ g/well) was complexed with cationic liposomes at a charge ratio of 3.5. All compounds
at various concentrations were mixed with lipoplexes and then transfected for 4 h.
Luciferase activity in the medium was determined after incubation for a further 4 h.
Each bar represents the mean + SD (n=3). * $P < 0.05$, compared with the control.



820 **Fig. S2.** Biodistribution of free edaravone, cationic liposomes and EDLPs 5 min after
 825 i.v. injection. The free edaravone group (empty bar) represents 600 μg edaravone, the
 cationic liposomes group (solid bar) represents lipoplexes containing plain cationic
 liposomes, and the EDLPs group (hatched bar) represents lipoplexes containing EDLPs
 (30 μg edaravone). For cationic liposomes and EDLPs, lipoplexes were prepared with
 a pDNA (90 μg) and liposome mixture at a charge ratio of 3.5. Liposome or edaravone
 content in organs was determined 5 min after administration. The results are represented
 as percentage of dose per tissue. Each bar represents the mean + SD ($n \geq 3$). N.D., not
 detected.

830 **Table S1.** Partition coefficients of anti-oxidants.

	Measured values (Log P)	Reported values (Log P)
All- <i>trans</i> retinoic acid	3.54 ± 0.35	6.3 ^{a, *}
α-tocopherol	4.19 ± 0.02	10 ^{a, *}
Ascorbic acid	-2.99 ± 0.23	-1.85 ^{b, *}
Edaravone	1.06 ± 0.02	1.12 ^{b, #}
N-acetylcysteine	-1.10 ± 0.25	-0.66 ^{a, *}
N- <i>tert</i> -butyl-α-phenylnitron	1.30 ± 0.01	1.26 ^{b, #}

Each value represents the mean ± SD (n=3).

a: predicted value in 1-octanol/water; *b*: experimental value in 1-octanol/water.

*: PubChem, National Center for Biotechnology Information, National institutes of Health;

#: Chemistry Dashboard, United States Environmental Protection Agency.

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