

1 **Integrity of reverse osmosis membrane for removing bacteria:**
2 **New insight into bacterial passage**

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6 Takahiro Fujioka^{1,*}, Anh T. Hoang¹, Tetsuro Ueyama^{1,2}, Long D. Nghiem³

7 ¹*Water and Environmental Engineering, Graduate School of Engineering,*
8 *Nagasaki University, 1-14 Bunkyo-machi, Nagasaki 852-8521, Japan*

9 ²*R&D division, Kyowakiden Industry Co.,Ltd., 10-2 Kawaguchi-machi, Nagasaki 852-8108,*
10 *Japan*

11 ³*Centre for Technology in Water and Wastewater, University of Technology Sydney, Ultimo*
12 *NSW 2007, Australia*

13 _____
14 * Corresponding author: Takahiro Fujioka, Email: tfujioka@nagasaki-u.ac.jp, Tel: +81 095 819 2695, Fax:
15 +81 95 819 2620

16 **Abstract**

17 Water quality and reliability during potable reuse can often depend on the performance of the
18 reverse osmosis (RO) membrane treatment for the attenuation of microbial contaminants. This
19 pilot-scale study aimed to assess bacterial passage through intact RO membrane element and O-
20 ring seal using stable fluorescent microspheres as bacterial surrogates and fluorescent stained
21 bacteria. The removal of bacterial surrogates by three low pressure RO membrane elements
22 varied considerably from 3.1 to 5.0-log (99.92% to 99.999%). O-ring seal bonding at the
23 interface between RO feed and permeate streams increased the removal of bacterial surrogates
24 by 0.2 to 0.4-log and the removal of actual bacteria in reclaimed water by 0.5-log. The results
25 also show that conductivity is not a suitable surrogate parameter to monitor bacterial removal by
26 these RO membranes. Overall, this study identified that even intact O-ring seal can allow for
27 some bacterial passage and O-ring seal can be a source of low RO performance for bacterial
28 removal. This study suggested the potential that the improvement of O-ring sealing performance
29 can increase bacterial removal by 0.5-log.

30 **Keywords:** bacterial removal; fluorescent particle; membrane integrity; reverse osmosis;
31 pressure vessel.

32

33 1 INTRODUCTION

34 Potable water reuse is a pragmatic, sustainable, and cost-effective strategy to augment water
35 supply.¹⁻³ Direct potable reuse (DPR), which is the process of purifying reclaimed water to the
36 same or above the current drinking water standard for distribution without an environmental
37 buffer, has been increasingly considered for future potable reuse.^{4,5} The assurance of safety and
38 reliability of water reuse for potable purposes is essential to its successful implementation.⁶ In
39 particular, the provision of public health protection from acute illnesses caused by microbial
40 pathogens in wastewater is critical in DPR. Typical potable reuse schemes achieve attenuation of
41 bacteria and other microbial contaminants of concern (e.g. virus and protozoa) through multiple
42 treatment processes (often referred to as multiple barriers).^{7, 8} Management of risks associated
43 with bacterial pathogens is also a vital component in microbial water quality assurance of DPR.⁹⁻
44 ¹¹ For DPR, advanced water treatment facility has been suggested to achieve at least 9-log
45 removal of total coliform bacteria.¹²

46 Among key treatment processes for potable water reuse, reverse osmosis (RO) is a arguably the
47 most robust process capable of removing almost all constituents such as dissolved salts and trace
48 organic chemicals. Although over 6-log removal of bacteria by RO treatment has been
49 demonstrated in well controlled challenge test studies,¹¹ a removal value during direct integrity
50 monitoring using tracer chemicals (e.g., Rhodamine WT dye) as surrogates can be as low as 2.5–
51 4.0-log.¹³ The accredited value for bacteria removal by RO through indirect integrity monitoring
52 is even lower, from 1.5 to 2 log removal.^{11, 14} This is because of the protocol for ensuring
53 pathogen removal using rather conservative surrogate performance indicators: removal of total
54 organic carbon (TOC) and/or electrical conductivity (EC).^{9, 15} Fujioka et al.,¹⁶ have recently

55 demonstrated the potential of continuously measuring bacterial removal by RO through real-time
56 counting of bacterial number in RO feed and permeate. Although the direct counting method was
57 expected to help ensuring bacterial removal considerably greater than conventional methods,
58 their study identified bacterial removal of lower than 3-log (99.9%).

59 Incomplete removal of bacteria by spiral-wound membrane elements of nanofiltration (NF) and
60 RO have been reported in the literature.¹⁷⁻²¹ For example, high concentrations of bacteria in RO
61 permeate (total bacterial count of up to 1.2×10^3 counts/mL and heterotrophic plate count of 15
62 CFU/mL) have been reported at a full-scale plant.¹⁹ Nevertheless, the cause of the presence of
63 bacteria after RO process has not been fully understood. RO membrane typically has free-
64 volume hole-diameter (or so-called pore size) less than 1 nm, whereas bacteria is considerably
65 larger in size (over 200 nm); thus, in theory the passage of bacteria through RO membrane sheet
66 is unlikely to occur. One potential location where bacterial passage could occur is the O-ring seal
67 that separates the feed and permeate during the assembly between two parts (RO membrane
68 elements and/or a pressure vessel).^{13, 22} O-rings are located in the end-caps of a pressure vessel
69 and the interconnectors of RO membrane elements. Due to the need for manually replacing RO
70 membrane elements, the connections through O-ring seal can be a weak point where incomplete
71 sealing may occur.

72 Although several previous studies²³⁻²⁶ have demonstrated membrane integrity breach by
73 intentionally damaging RO membrane components including O-rings, no previous study has
74 qualitatively evaluated the passage of bacteria through intact RO membrane elements. It is
75 difficult to control the concentration of biological substances (or makers) without bacterial
76 growth and death during an RO experiment. In contrast, stable bacterial surrogate substances
77 such as fluorescent (FL) microspheres are similar to bacteria in size but are not naturally present

78 in environment water;²⁷ thus, they are suitable for identifying the location of bacterial particle
79 passage through RO. Understanding the location of bacterial passage through intact RO
80 membrane element can make a breakthrough to the development of tight RO membrane system,
81 ultimately leading to the improved safety of recycled water for potable reuse.

82 This study aimed to provide new insight to the passage of bacteria through intact RO membrane
83 process. FL particle solutions and real reclaimed water were used in this evaluation. The
84 contribution of intact O-ring seal to the passage of bacteria through intact RO membrane process
85 was evaluated by bonding the O-ring seal using adhesive materials. The ultimate objective of this
86 study was to provide an understanding on the location of bacterial passage through RO
87 membrane for the improved removal of bacteria.

88 **2 MATERIALS AND METHODS**

89 ***2.1 RO membranes***

90 All five membrane elements in this study were standard 4-inch spiral-wound and new (**Table 1**).
91 They include three low pressure RO (LPRO) membrane elements namely ESPA2, ESPA4
92 (Hydranautics/Nitto, CA, USA), and BW30 (Dow/Filmtec, MN, USA), denoted as Membrane A,
93 B, and C, respectively. The fourth element was a high pressure RO (HPRO) membrane
94 commercially known as HYDRApro (Hydranautics/Nitto, CA, USA) dedicated for industrial
95 uses under a high temperature condition. This is denoted as Membrane D. The fifth element was
96 an RO membrane element permanently fitted to the pressure vessel (ESPA-FREE 3000L,
97 Hydranautics/Nitto, CA, USA), in which RO feed and permeate streams are sealed without O-
98 rings (**Fig. S1**). This is denoted as Membrane E.

99 **Table 1** – Specification of RO membrane elements provided by the manufacturers.

Name	Model	Manufacturer	Membrane area [m ²]	NaCl rejection [%]	Supply condition
A	ESPA2-LD-4040	Hydranautics	7.4	99.6	In preservatives
B	ESPA4-4040	Hydranautics	7.9	99.2	In preservatives
C	BW30-4040	Dow/Filmtec	7.2	99.5	Dry
D	HYDRApro-502-4040	Hydranautics	6.5	99.5	In preservatives
E	ESPA-FREE 3000L	Hydranautics	7.0	98.0	In preservatives

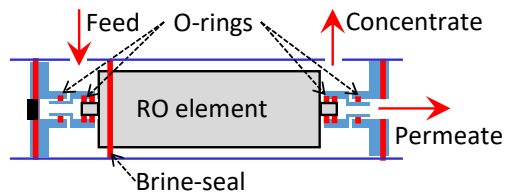
100 **2.2 Pilot-scale RO system**

101 A pilot-scale cross-flow RO filtration system (**Fig. S2**) was used in this study. The RO system
 102 consisted of one pressure vessel, a 65-L stainless steel reservoir, a high-pressure pump
 103 (25NED15Z, Nikuni Co., Ltd., Kawasaki, Japan), digital flow meters (FDM, Keyence Co.,
 104 Osaka, Japan), digital pressure indicators (GPM, Keyence Co., Osaka, Japan), a pressure gauge,
 105 stainless steel pipes in the feed stream and PVC pipes and PTFE tubing in the permeate stream,
 106 and a titanium heat exchanging pipe connected to a chiller unit (CA-1116A, Tokyo Rikakikai Co.
 107 Ltd., Tokyo, Japan). This study used an end-port (40E30N, Codeline/Pentair Water, Goa, India)
 108 or a side-port (R40B3001C, ROPV, Harbin, China) 4-inch fiberglass pressure vessel,
 109 respectively (**Fig. 1 and Fig. S3**). The end-port pressure vessels were brand new, while the side-
 110 port pressure vessel had only been briefly used prior to this study. Poly-epoxy adhesive materials
 111 for bonding the O-ring seal between RO membrane element and pressure vessel were supplied
 112 with two-component liquids by Hydranautics/Nitto (Osaka, Japan).

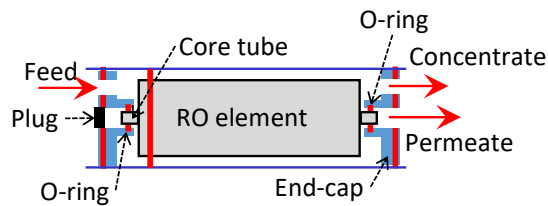
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114

(a) Side-port



(b) End-port



115 **Fig. 1** – Schematic diagram of pressure vessel containing one RO element.

116 **2.3 Test solutions**

117 This study used Fluoresbrite[®] Yellow Green Carboxylate Microspheres 0.75 μm (Polysciences,
118 Inc., Warrington, PA, USA) as stable surrogate substances. According to the manufacture, the
119 FL particle stock solution was fluorescent polystyrene microspheres that have carboxylate
120 groups on their surfaces and their diameter was 0.75 μm (coefficient of variation in diameter =
121 3%). Tap water was collected in the laboratory at Nagasaki University Bunkyo Campus
122 (Nagasaki, Japan). Reclaimed water was obtained by applying ultrafiltration (UF) treatment to
123 secondary wastewater effluent from a wastewater treatment plant in Nagasaki, Japan. The UF-
124 treated wastewater was used for filtration experiments within three days after the sample
125 collection and preparation, and they were stored in the fridge until RO filtration experiments.

126 **2.4 Analytical techniques**

127 FL particle counting was conducted using a fluorescence microscope (Rapisco, Shibasaki Inc.,
128 Chichibu, Japan). RO feed water was diluted by 400 times using microfiltration (MF)
129 membrane-treated pure water prior to analysis, whereas RO permeate did not undergo any
130 dilution. Thereafter, 1 mL feed water and 50 mL permeate were filtered using a track-etched
131 polycarbonate MF membrane with 0.2 μm pore size (Meric, Tokyo, Japan). The number of
132 particles deposited on 40% of the filter surface area was counted and converted to particle count
133 in 1 mL. When reclaimed water after UF treatment was used, bacterial counts were determined
134 using the same protocol stated above. For fluorescent staining of DNA content in microbes, 4',6-
135 diamidino-2-phenylindole (DAPI) dye (Thermo Fisher Scientific, Waltham, MA, USA) was used
136 at 5 $\mu\text{g}/\text{mL}$. A real-time bacteriological counter (IMD-WTM) from Azbil Corporation (Tokyo,
137 Japan) was also used to continuously measure bacterial counts in RO permeate. The real-time
138 bacteriological counter, which is based on two key technologies (particle size and auto-
139 fluorescence detections), can count bacterial particles in real time without any chemical additions
140 (e.g. fluorescent stains). Further details of the real-time instrument can be found elsewhere.¹⁶
141 Conductivity of RO feed and permeate was analyzed using Orion StarTM A322 Conductivity
142 meters (Thermo Fisher Scientific, Waltham, MA, USA).

143 **2.5 Validation protocol**

144 Each pilot-scale RO experiment was conducted in a closed-loop by recirculating RO concentrate
145 and permeate into the feed reservoir (**Fig. S2**). A pilot-scale cross-flow RO treatment was
146 conducted using an approximately 50 L of tap water or UF-treated wastewater. When the
147 removal of FL particles by various RO membrane elements was evaluated, the RO system was

148 operated at a constant permeate flux of 20 L/m²h and a permeate recovery of 20% by adjusting
149 transmembrane pressure (TMP). RO feed temperature was conditioned at 25 °C. It is noted that
150 full-scale RO system is comprised of three stages with permeate recovery of 85%, recovery of the
151 first stage is about 50%, and each pressure vessel typically holds 6 RO membrane elements in
152 series. The pilot system in this study contained one RO membrane element. Thus, the permeate
153 recovery of 20% in this study is to represent the first element in the first stage of a full scale RO
154 plant. The RO system was first operated using tap water for over 60 min prior to the FL particle
155 addition. Thereafter, a stock solution containing FL particles was spiked into the feed reservoir at
156 the concentration of over 1×10⁶ FL particles/mL. RO feed and permeate samples were
157 periodically collected and FL particle concentrations were analyzed. When the removal of
158 bacteria was evaluated, the RO system was first operated using RO-treated tap water for over 30
159 min. Thereafter, the RO-filtered tap water was replaced with a UF-treated wastewater. Bacterial
160 counts in RO permeate were monitored online using a real-time bacteriological counter, while
161 RO feedwater samples were collected at 10, 30 and 60 min for manual analysis using the real-
162 time bacteriological counter.

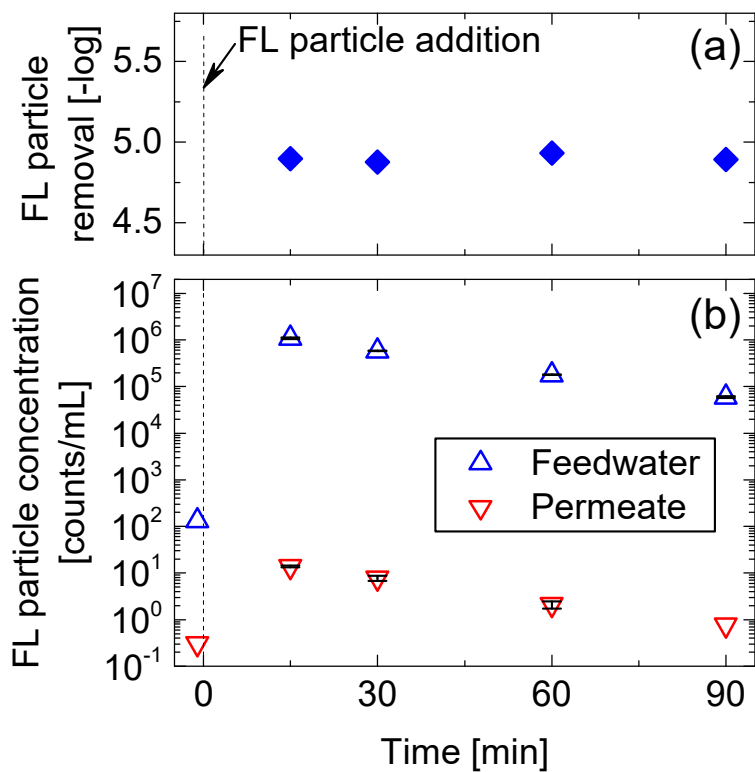
163 **3 RESULTS AND DISCUSSION**

164 ***3.1 Bacterial passage through RO***

165 **3.1.1 Stability of fluorescent particle solution**

166 The stability of FL particle (bacterial surrogate) solution in RO feed was evaluated to determine
167 appropriate sample collection time for determining FL particle removal. The FL particle stock
168 solution was dosed into the RO feed during the operation of RO system that contained
169 Membrane A in an end-port pressure vessel. The concentration of FL particles in RO feed water

170 decreased gradually over time from approximately 1.1×10^6 to 6×10^5 counts/mL over 90 min of
 171 operation (**Fig. 2**). It is noted that FL particles were well dispersed and did not aggregate in the
 172 feed reservoir (**Fig. S4**). Thus, the decrease in FL particle count observed in this initial
 173 experiment can be attributed to the entrapment of FL particles within the complex feed channel
 174 structure of the RO membrane element (**Fig. S5**). Corresponding to the decrease in FL particle
 175 concentration in the feed, FL particle concentrations in RO permeate also decreased gradually.
 176 Despite the reduction in FL particle concentration, the removal of FL particles remained constant
 177 at 4.9-log over the course of 90 min filtration experiment (**Fig. 2**). Based on this preliminary data,
 178 the removal of FL particles during the following tests was calculated based on the average of FL
 179 particle removal determined at two sampling occasions (30 and 60 min).



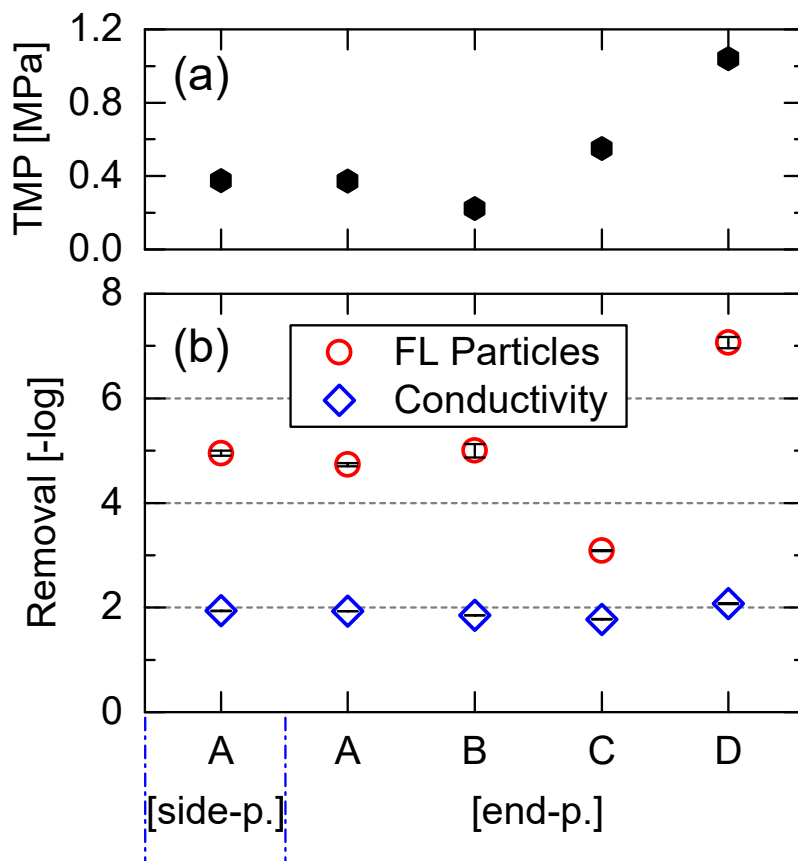
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 181 **Fig. 2** – (a) Removal and (b) concentrations of FL particles during RO treatment of tap water
 182 containing FL particles using Membrane A. The symbols and error bars for FL particle
 183 concentrations are the average and standard deviation of two replicate samples.

184 3.1.2 Variation among RO membrane elements

185 The variation in FL particle removal among four RO membranes was evaluated. End-port
186 pressure vessels were used for all membrane elements, whereas side-port pressure vessel was
187 also used for Membrane A. Overall, a wide range of FL particle removal (between 3.1 and 7.1-
188 log) was observed (**Fig. 3**). Results in **Fig. 3** are consistent with the literature,¹¹ in which up to 6-
189 log removal of bacteria has been reported in well controlled challenge test studies. This study
190 examines low pressure RO membranes that have been typically used for water reuse applications.
191 These membranes only have a moderate NaCl rejection (**Table 1**) and bacterial passage through
192 pinhole-like defects within the membrane leaf is a possibility. In addition, any defects in the
193 brine seal and membrane leaflet gluing may also contribute toward bacterial passage. The
194 difference in membrane types (in terms of nominal NaCl rejection) and manufacturers can also
195 explain for the range of FL particle removal observed in **Fig. 3**.

196 With respect to Membrane A, the type of pressure vessel as side- and end-port resulted in two
197 different bacterial removal efficiencies of 5.0 and 4.7-log, respectively. Among the four RO
198 membrane elements investigated along with an end-port pressure vessel, Membranes A and B
199 showed similar removal of FL particles at 4.7 and 5.0-log, respectively. Membranes C showed
200 only 3.1-log removal of FL particle, which was about 2-log lower than Membranes A and B
201 despite their same category (LPRO). It is important to note that these three membranes have
202 almost identical conductivity removal value ranging from 1.8 to 1.9-log (or 98.3% to 98.8%,
203 respectively) (**Fig. 3**), which is comparable to those obtained by LPRO membranes at full-scale
204 water recycling plants (95–97%).²⁸ In addition, all four RO membrane elements in **Fig. 3** are
205 standard 4 inch module with the same length (i.e. 1016 mm) and the diameter of permeate
206 collection core tube (i.e. 19.1 mm) (**Fig. S6**); thus, dimension is unlikely to be the cause of this

207 observed variation in log removal of FL particles. It is noted that Membrane C was supplied in a
 208 dry condition. Keeping RO membranes dry have an advantage for storage without being
 209 impacted by ambient temperature and bacterial growth. However, dry condition could alter the
 210 separation capacity of RO membrane, which may not be apparent for conductivity removal.



211
 212 **Fig. 3** – (a) TMP during test and (b) removal of FL particles and conductivity by four different
 213 RO membrane elements installed in a side-port (side-p.) or end-port (end-p.) pressure vessel.
 214 Permeate flux was maintained at 20 L/m²h. The symbols and error bars are the average and
 215 standard deviation of two replicate samples collected at 30 and 60 min.

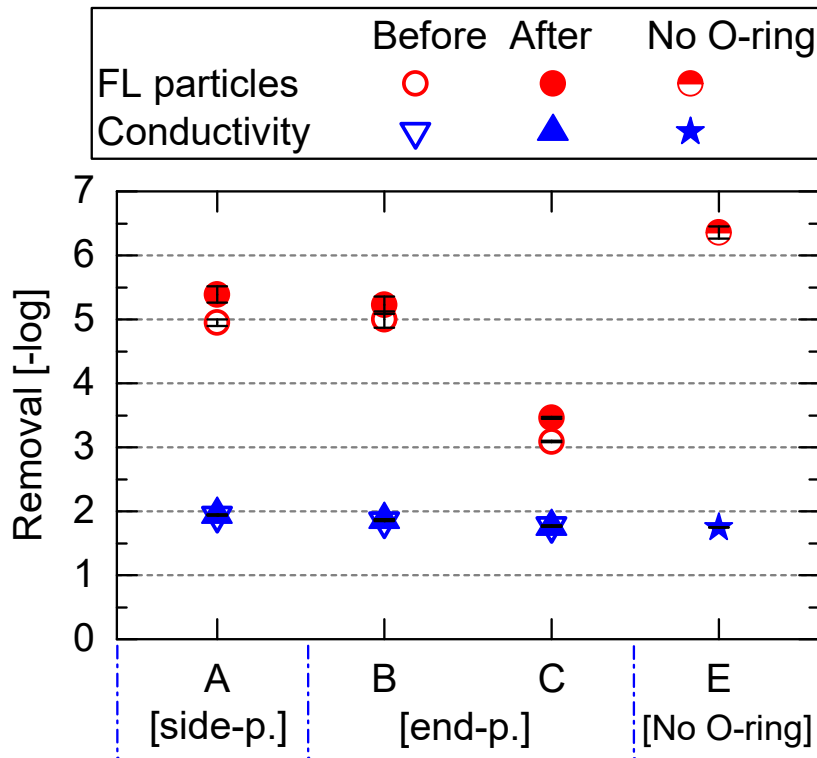
216 In an end-port vessel, Membrane D showed a considerably higher removal of FL particle (7.1-
 217 log) and slightly higher removal of conductivity (2.1-log) in comparison to Membrane A, B, and
 218 C. However, Membrane D has a low permeability and thus requires a higher TMP (1.04 MPa) to
 219 provide 20 L/(m²h) permeate flux when compared to Membrane A, B, and C (TMP ranging from

220 0.22 to 0.55 MPa). Because Membrane D has to withstand high pressure difference between feed
221 and permeate sides, the physicochemical properties of RO membrane film can be different from
222 the other LPRO membranes. Although the underlying cause for this observed variation in FL
223 particle removal is still unclear, the results indicate that the selection of RO membrane type may
224 be an important factor to achieve high log removal of bacteria. In addition, the removal of FL
225 particles (bacterial surrogates) was confirmed to be far more sensitive than conductivity removal.
226 This indicates that rated salt rejection performance information typically provided by the
227 manufacturers is not a suitable indicator when it comes to the separation performance of highly
228 rejected constituents including FL particles. With regard to the incomplete removal of FL
229 particles by any of the RO membrane elements used here, it might be possible to attribute the
230 integrity of the overall membrane system integration (such as O-ring seal) to this observed
231 variation in removal of FL particle. This hypothesis will be further evaluated in the next section.

232 **3.2 O-ring seal**

233 To evaluate the contribution of O-ring sealing performance to the occurrence of bacterial passage
234 through RO membrane, the O-ring seal located at the interface between a pressure vessel and one
235 of the three LPRO membranes (Membrane A, B, and C) was reinforced by bonding with the
236 epoxy adhesive material. As a result, no observable changes in conductivity rejection were
237 recorded before and after the bonding (**Fig. 4**). In contrast, a considerable increase in FL particle
238 removal after the bonding was observed for all three membranes by 0.2 to 0.4-log: from 5.0 to
239 5.4-log (Membrane A), from 5.0 to 5.2-log (Membrane B), and from 3.1 to 3.5-log (Membrane
240 C). These results confirm that by-pass through O-ring seal can be a major cause for the passage
241 of bacterial particles. In fact, another LPRO membrane manufactured without O-rings

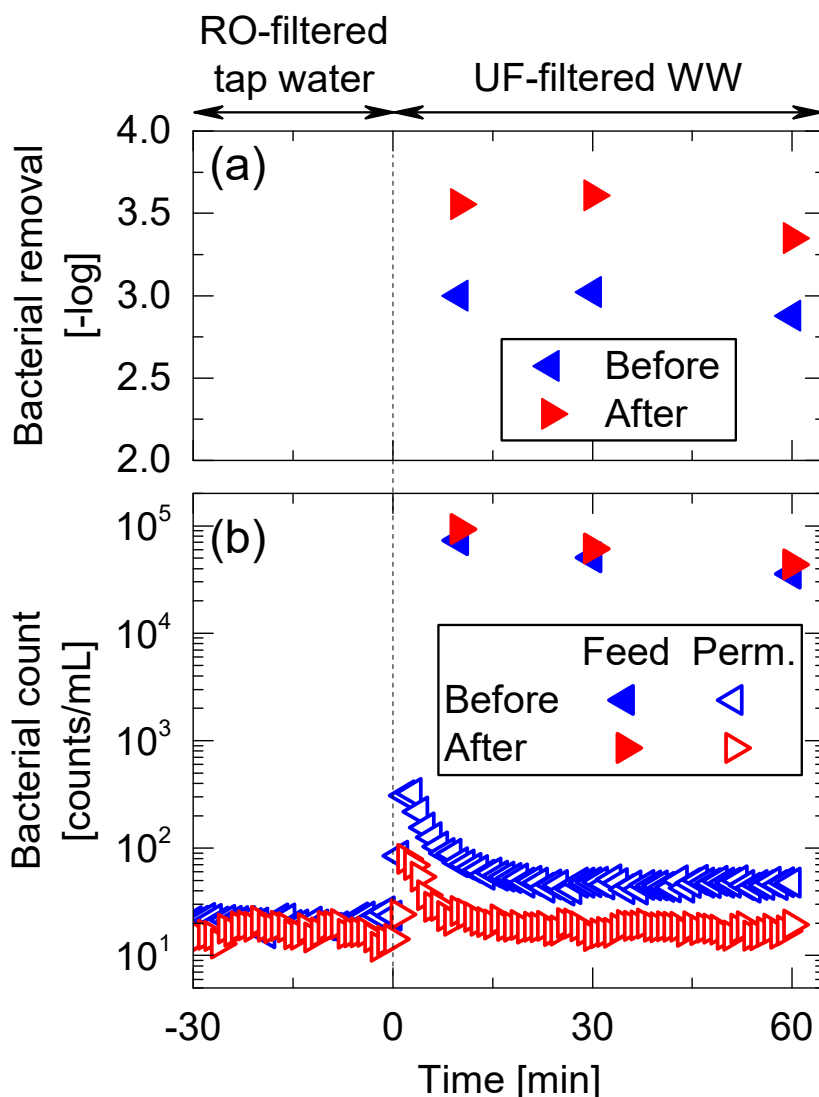
242 (Membrane E), which has similar membrane properties to Membranes A and B (**Table S1**),
 243 achieved a much higher removal (6.4-log) (**Fig. 4**). Nevertheless, the removal of bacterial
 244 surrogates by Membrane E was not complete, indicating that, in addition to the O-ring, bacterial
 245 passage can occur through other locations within the membrane element and vessel.



246
 247 **Fig. 4** – Removal of FL particle and conductivity by Membrane A, B and C before and after
 248 bonding O-ring seal and the O-ring free Membrane E. The symbols and error bars are the
 249 average and standard deviation of two replicate samples collected at 30 and 60 min.

250 The difference in the passage of bacteria through RO membrane element before and after
 251 bonding O-ring seal was further validated by counting bacterial number during the treatment of
 252 UF-treated wastewater. Using Membrane A element without the bonding of O-ring seal, bacterial
 253 counts in the permeate increased from the initial value of 23 counts/mL to 310 counts/mL when
 254 RO feed was replaced from RO filtered tap water to UF-treated wastewater (**Fig. 5**). The level of
 255 increase in bacterial counts was considerably less for Membrane A with the bonding of O-ring

256 seal (from 14 counts/mL with RO filtered tap water to 79 counts/mL with UF filtered wastewater
 257 as the feed). In both cases, bacterial counts in RO permeate gradually decreased over time. The
 258 decreased bacterial counts in RO permeate occurred according to the reduction in bacterial
 259 counts in RO feed. The decreased bacterial counts in RO feed occurred probably due to the
 260 adsorption of bacteria in RO feed to the RO membrane surfaces and feed spacers, because the
 261 tests were conducted by recirculating the RO feed and permeate using a closed-loop RO system.



262
 263 **Fig. 5** – (a) Bacterial removal and (b) bacterial counts during the treatment of the RO-filtered tap
 264 water and UF-treated wastewater using Membrane A element (before and after bonding).

265 Similar to the tests using FL particle solutions, the manual sampling time (10, 30, and 60 min)
266 was selected for RO feed sample collections to avoid determining the removal of bacteria under
267 low bacterial concentrations in RO feed, which was expected to happen over filtration time. Thus,
268 removal of bacteria was calculated using the samples collected at 10, 30 and 60 min. As a result,
269 Membrane A with bonding O-ring seal showed a bacterial removal of 3.4–3.6-log, which was
270 about 0.5-log higher than that by the Membrane A without bonding O-ring seal (2.9–3.0-log).
271 The effect of bonding O-ring seal was also confirmed by manual bacterial count using epi-
272 fluorescence microscopy: 2.5 to 2.9-log and 2.2 to 2.5-log removal by the Membrane A with and
273 without O-ring seal bonding, respectively (**Table S2**). The results here confirm that bacterial
274 particle can pass through O-ring seal located at the end-cap of a pressure vessel. It is noted that
275 bacterial counts by epi-fluorescent (DAPI) technique in the RO feed during the tests ranged from
276 1.7×10^5 to 7.6×10^5 counts/mL, which were comparable to those identified at up to 3.49×10^4
277 counts/mL in the RO feed (MF permeate) of a full-scale plant.¹⁹ This indicates that the RO feed
278 (i.e., MF- or UF-treated wastewater) typically contains high bacterial counts, which can be
279 utilized for claiming high log removal value.

280 Enhanced bacterial removal value by 0.5-log through O-ring seal bonding is a considerable
281 improvement in terms of bacterial concentration in RO permeate. The incomplete removal of
282 bacterial surrogates and actual bacteria even after bonding O-ring seal indicates that passage of
283 bacterial particles can also occur through the RO membrane and other locations (e.g. brine seal
284 and membrane leaflet gluing) within the membrane element and vessel. These potential locations
285 for the bacterial particle passage include the surface of flat sheet RO membrane (manufacturing
286 defects or damage during membrane element assembly) and adhesive parts located on the edge
287 of RO membrane sheets. Further evaluation of these mechanisms is planned in a future study.

288 **3.3 Implications**

289 Variation in bacterial passage through intact RO membrane elements and the contribution of
290 intact O-ring seal to bacterial passage were identified in this investigation. This study used a
291 single 4-inch RO membrane element in a pressure vessel, whereas RO processes for water
292 recycling applications are typically equipped with 6–7 interconnected 8-inch RO membrane
293 elements in each pressure vessel. Thus, the contribution of intact O-ring seal to bacterial passage
294 in a full scale RO system could be even more profound. Moreover, the RO membranes and end-
295 port pressure vessels used in this study were brand new, and the impact of aging of these
296 components on bacterial passage has not been evaluated. Thus, a full-scale and long-term
297 demonstration study is necessary to clarify the changes in bacterial passage through RO
298 membrane over time.

299 Because LPRO membranes used in water recycling applications are designed for the removal of
300 salts, the integrity of these RO membrane elements is mainly confirmed by undergoing a salt
301 rejection test. However, in potable reuse it is preferable for RO membranes to have a high
302 removal capability for bacteria. Accordingly, it is important to develop an RO membrane
303 integrity test that can ensure high removal of bacteria-size particles. Based on the results
304 obtained in this study, it is recommended that RO membrane manufacturers employ FL particle
305 equivalent to bacteria in size for bacteria removal validation.

306 Real-time bacteriological counting technique can allow for continuous and online monitoring of
307 bacterial particle counts in RO feed and permeate; thus, it has the potential for ensuring the RO
308 membrane integrity higher than conventional indirect monitoring methods such as conductivity
309 and TOC removal (i.e. 2-log). However, actual bacterial removal observed in this study was as

310 low as 3.1-log, indicating a potential margin for further improvement in the integrity of RO
311 membrane process. Improved removal of bacteria by RO membrane up to 7-log removal may be
312 possible through (a) the enhanced O-ring seal; (b) the enhanced protection of RO membrane
313 sheet surface to avoid any damages during assembly; and (c) a better sealing between RO
314 membrane element sheets. Overall, providing further understanding for the location of bacterial
315 passage in a future study will allow for the achievement of the improved bacterial removal.

316 **4 Conclusions**

317 This study shows considerable variation in the removal of FL particles (used as bacterial
318 surrogates) among three similar LPRO membranes in the range of 3.1 to 5.0-log. The
319 reinforcement of O-ring sealing performance by bonding with epoxy adhesive materials
320 improved the removal of bacterial surrogates by 0.2 to 0.4-log and the removal of bacteria in
321 reclaimed water by approximately 0.5-log. Another LPRO membrane permanently stored in a
322 pressure vessel without O-ring showed a higher removal of FL surrogate bacteria (6.4-log). The
323 results indicate that O-ring seal is a major location for bacterial passage. The large variation in
324 bacterial removal by these LPRO membranes was not reflected by conductivity removal
325 (1.8–1.9-log), indicating that conductivity is not a suitable surrogate for monitoring the removal
326 of bacteria by RO. In addition to O-ring seal, there may be other locations for bacterial passage
327 in intact RO membrane elements. This study suggests that the improvement of O-ring sealing
328 performance in an RO system can improve membrane integrity for bacterial removal.

329 **5 Conflicts of interest**

330 There are no conflicts to declare.

331 **6 ACKNOWLEDGEMENT**

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333 also acknowledge Azbil Corp. for loaning a real-time bacteriological counter.

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1 **Supporting Information**

2 **Integrity of reverse osmosis membrane for removing bacteria:**

3 **New insight into bacterial passage**

4 Takahiro Fujioka^{1,*}, Anh T. Hoang¹, Tetsuro Ueyama^{1,2}, Long D. Nghiem³

5 *¹Water and Environmental Engineering, Graduate School of Engineering,*
6 *Nagasaki University, 1-14 Bunkyo-machi, Nagasaki 852-8521, Japan*

7 *²R&D division, Kyowakiden Industry Co.,Ltd., 10-2 Kawaguchi-machi, Nagasaki 852-8108,*
8 *Japan*

9 *³Centre for Technology in Water and Wastewater, University of Technology Sydney, Ultimo*
10 *NSW 2007, Australia*

11 _____
12 * Corresponding author: Takahiro Fujioka, Email: tfujioka@nagasaki-u.ac.jp, Tel: +81 095 819 2695, Fax:
13 +81 95 819 2620

Table S1 – Specification of RO membrane elements.

Name	Model	Manufacturer	Membrane area [m ²]	Salt rejection [%]	Conditions during salt rejection measurement
A	ESPA2-LD-4040	Hydranautics	7.4	99.6	1500 ppm NaCl solution 1.03 MPa Applied Pressure 25 °C Operating Temperature 15% Permeate Recovery 6.5 - 7.0 pH Range
B	ESPA4-4040	Hydranautics	7.9	99.2	500 ppm NaCl solution 0.7 MPa Applied Pressure 25 °C Operating Temperature 15% Permeate Recovery 6.5 - 7.0 pH Range
C	BW30-4040	Dow/Filmtec	7.2	99.5	2000 ppm NaCl solution 1.55 MPa Applied Pressure 25 °C Operating Temperature 15% Permeate Recovery pH Range: Not available
D	HYDRApro-502-4040	Hydranautics	6.5	99.5	1500 ppm NaCl solution 1.55 MPa Applied Pressure 25 °C Operating Temperature 15% Permeate Recovery 6.5 - 7.0 pH Range
E	ESPA-FREE 3000L	Hydranautics	7.0	98.0	1500 ppm NaCl solution 1.05 MPa Applied Pressure 25 °C Operating Temperature 10–20% Permeate Recovery 6.5 - 7.5 pH Range

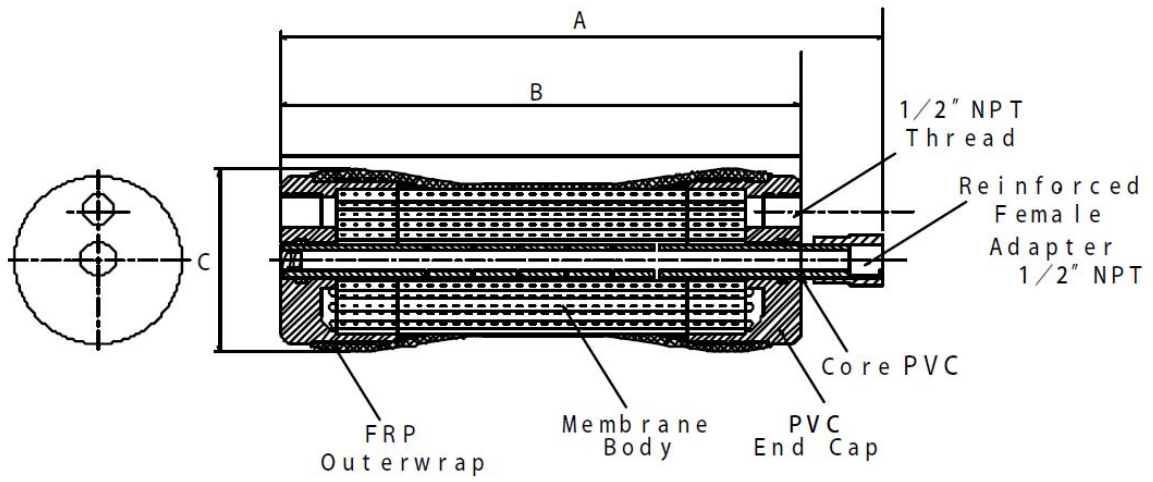


Fig. S1 – Schematic diagram of ESPA-FREE 3000L RO membrane.

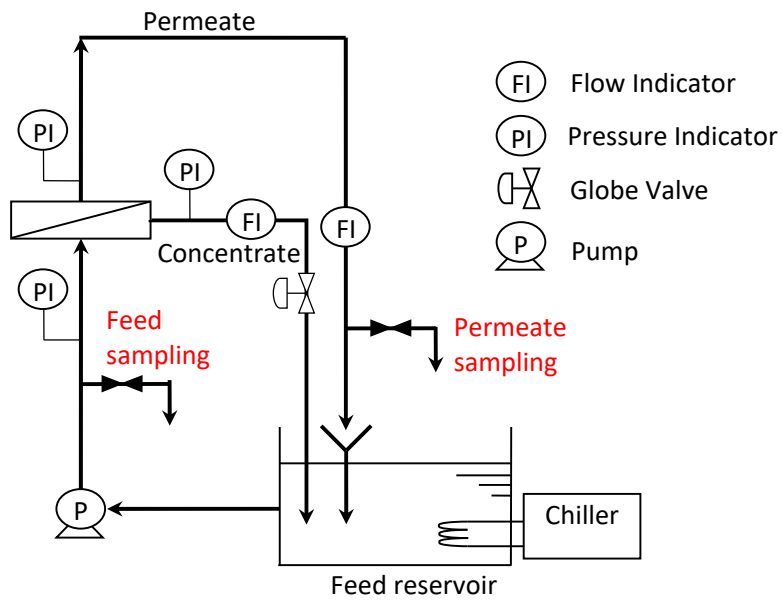
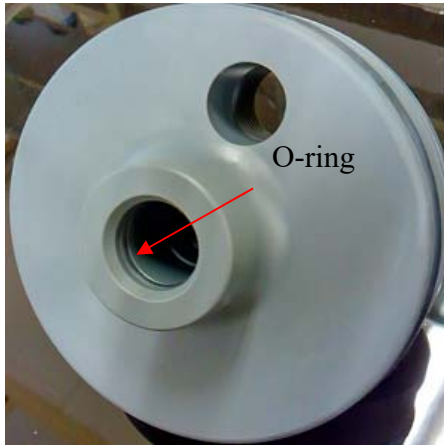


Fig. S2 – Schematic diagram of the RO treatment system.

(a) Side-port pressure vessel



(b) End-port pressure vessel

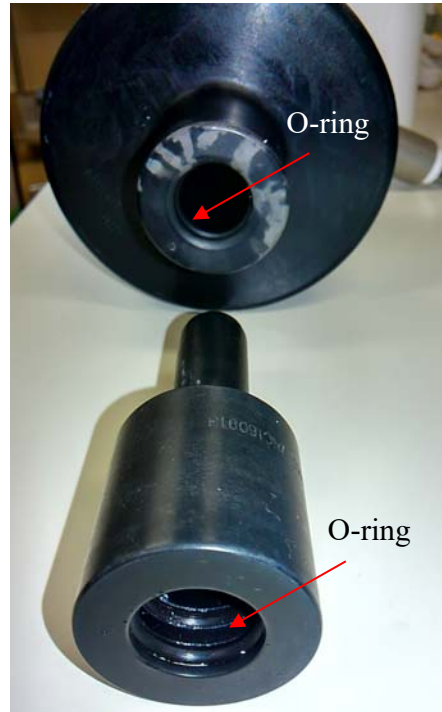


Fig. S3 – O-rings located at the end-cap of pressure vessels.

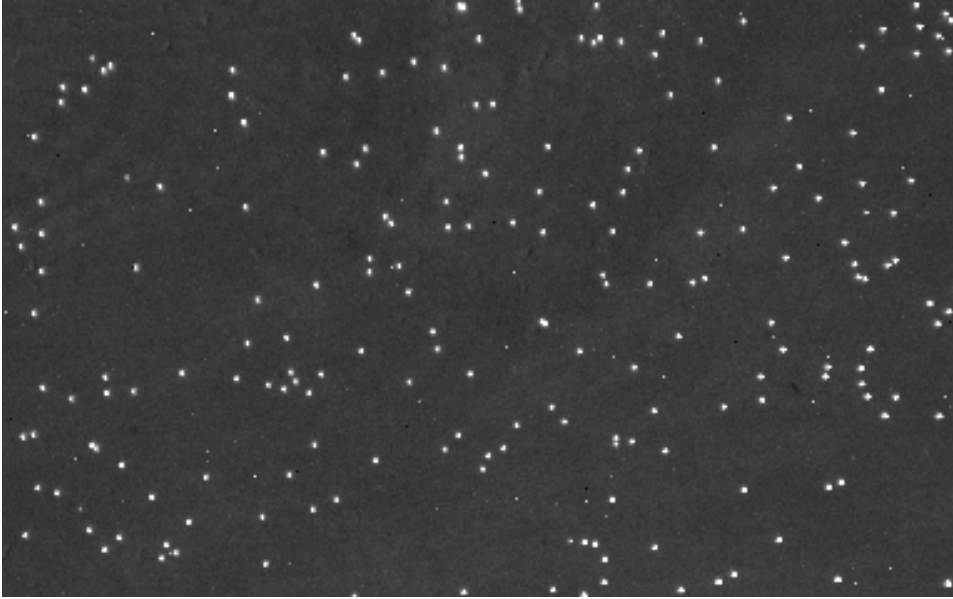


Fig. S4 – Typical image of FL particles in feedwater during experiment.

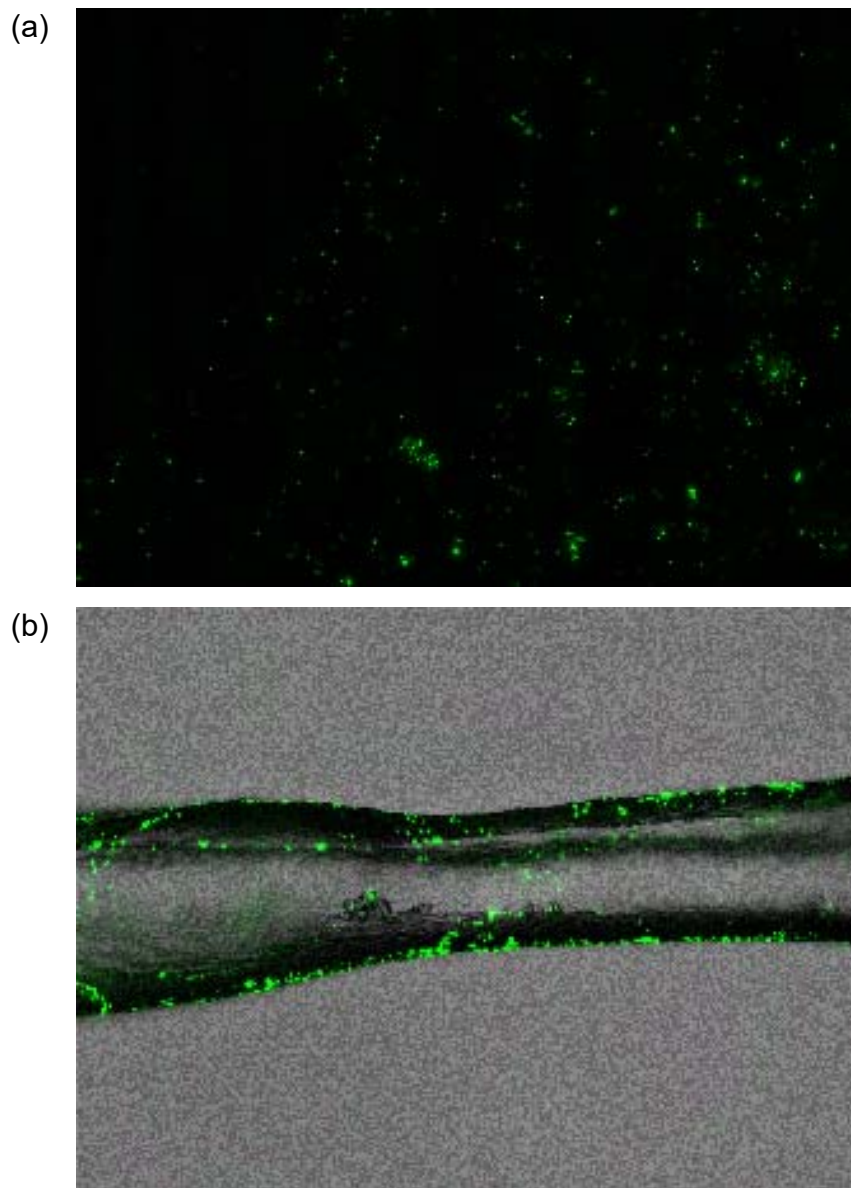


Fig. S5 – Images of FL particles deposited on (a) RO membrane surface and (b) feed spacer. They were obtained in the feed stream at 10 cm from the entrance of ESPA2 RO element after the test. Images were taken at x400 magnification using Fluorescence Microscope BZ-X800 (KEYENCE Co., Osaka, Japan).



	Membrane A, B, D	Membrane C
Outer diameter (mm)	19.1	19.1
Core tube extension, L_1 (mm)	25.9	26.7
Core tube extension, L_2 (mm)	27.2	26.7

Fig. S6 – Comparison in the size of core tube for Membranes A, B, C, and D.

Table S2 – Total bacterial counts by epi-fluorescence microscopy using DAPI (mean \pm standard deviation, $n = 2$).

Time (min)		10	30	60
Before sealing	Feedwater (counts/mL)	409,656 $\pm 17,595$	298,818 $\pm 8,109$	174,414 $\pm 33,007$
	Permeate (counts/mL)	1687 ± 60	884 ± 35	1022 ± 53
	Removal (%)	99.6	99.7	99.4
	Removal (-log)	2.39	2.53	2.23
After sealing	Feedwater (counts/mL)	755,864 $\pm 23,052$	594,237 $\pm 18,504$	316,935 $\pm 32,028$
	Permeate (counts/mL)	1002 ± 47	966 ± 85	1120 ± 67
	Removal (%)	99.8	99.8	99.7
	Removal (-log)	2.88	2.79	2.45