

# **Controlling Biofouling and Disinfection By-Product Formation During Reverse Osmosis Treatment for Seawater Desalination**

Takahiro Fujioka,<sup>1,\*</sup> My Thi Tra Ngo,<sup>1</sup> Sandrine Boivin,<sup>1</sup>

Kengo Kawahara,<sup>2</sup> Akihiro Takeda,<sup>2</sup> Yuki Nakamura,<sup>2</sup> Hiro Yoshikawa,<sup>2</sup>

<sup>1</sup>*Graduate School of Engineering, Nagasaki University, 1-14 Bunkyo-machi, Nagasaki 852-8521, Japan*

<sup>2</sup>*R&D Center, Organo Corporation, 4-4-1 Nishionuma Minamiku, Sagamihara 252-0332, Japan*

---

\* Corresponding author: Takahiro Fujioka, Email: [tfujioka@nagasaki-u.ac.jp](mailto:tfujioka@nagasaki-u.ac.jp), Ph +81 95 819 2695

## 1 **Abstract**

2 Controlling membrane fouling and disinfection by-products (DBPs) is an ongoing challenge  
3 in achieving sustainable membrane-based seawater desalination. This study assessed the  
4 efficacy of a new disinfectant, stabilized hypobromite, for controlling biofouling and DBP  
5 formation during reverse osmosis (RO) membrane treatment of seawater. Accelerated  
6 chemical exposure tests revealed that stabilized hypobromite did not degrade a commercial  
7 polyamide RO membrane; thus, unlike other powerful oxidants, it is able to remain as a  
8 residual chemical on membrane surfaces. In our experiments, stabilized hypobromite also  
9 effectively inactivated bacteria in seawater and reduced potential organic foulants (e.g.,  
10 humic acid-like and protein-like substances). Disinfection at a stabilized hypobromite dose of  
11 5 mg/L resulted in the formation of trihalomethanes (THMs), haloacetic acids (HAAs), and  
12 bromate at 55, 29, and <10 µg/L, respectively. Chlorine treatment resulted in higher  
13 formations of THMs, HAAs, and bromate (80, 74, and 50 µg/L, respectively), indicating  
14 stabilized hypobromite is superior to chlorine in this respect. Pilot-scale validation  
15 demonstrated that pre-disinfection with stabilized hypobromite enabled the RO membrane  
16 treatment to operate for half a year without significant fouling. The findings in this study  
17 indicate the great potential of stabilized hypobromite for controlling DBP formation and  
18 biofouling in seawater desalination.

19 **Keywords:** THMs; HAAs; DBPs; biofouling; disinfection

20

## 21 **1 Introduction**

22 Seawater desalination is a powerful strategy for augmenting the drinking water supply in  
23 many coastal regions with severe droughts or arid climates. These classical seawater  
24 desalination technologies include thermal treatment processes, such as multistage flash and  
25 multi-effect distillation. In recent years, many newly developed desalination plants have  
26 employed a powerful desalination technology, that is, reverse osmosis (RO) membrane  
27 treatment [1]. RO membrane treatment can readily achieve the removal of over 99% of salts  
28 with an energy consumption lower than the classical thermal processes [2]. As the biofouling  
29 of RO membrane treatment during long-term operation leads to an increase in the required  
30 operating pressure and consequently energy consumption, a pre-disinfection process using  
31 conventional chlorination is often employed prior to the treatment [3]. Typical seawater  
32 treatment trains thus consist of a pretreatment to remove suspended and dissolved  
33 constituents, RO membrane treatment, and a post-disinfection process. Two examples of the  
34 pretreatment are media filtration and low-pressure membrane filtration (such as  
35 microfiltration or ultrafiltration).

36 Despite the adoption of a pretreatment, biofouling control is still a major challenge in  
37 seawater desalination [4]. Chlorine, a strong oxidant and disinfectant, can readily degrade  
38 polyamide RO membranes, resulting in the deterioration of RO membranes during salt  
39 separation. Thus, residual chlorine is quenched prior to the RO process, and bacterial growth  
40 on the membrane surface may occur [5]. Viable bacteria in the disinfected water can attach  
41 themselves onto the membrane surface and form a biofilm as a result of their rapid  
42 multiplication and a continuous inflow of nutrients [6]. Pre-disinfection using chlorine or  
43 other stronger disinfectants, such as ozone, can also induce excess biofouling, as their  
44 oxidation reaction may make organics in the water biologically degradable (i.e., they are

45 converted into a food source). The occurrence of biofouling can be mitigated by maintaining  
46 residual biocides at the membrane surface [7]. Chloramine, a weak disinfectant typically used  
47 in RO-based wastewater recycling, has been found to deteriorate the performance of  
48 polyamide RO membranes when it was used in seawater [8, 9]. One of the potential biocides  
49 that can be continuously applied during the continuous pre-disinfection stage of RO processes  
50 is stabilized hypobromite, which has been suggested as an alternative to conventional  
51 chloramine in water recycling applications [10]. Hypobromite ( $\text{BrO}^-$ ) is a strong but unstable  
52 disinfectant [11]; thus, hypobromite ions are stabilized in stabilized hypobromite with  
53 sulfamic acid at a high pH. However, the efficacy of the new disinfectant (i.e., stabilized  
54 hypobromite) for biofouling mitigation in seawater applications still remains unclear, and  
55 changes in membrane properties (e.g., the removal of salts or boron) caused by the new  
56 disinfectant are of great concern for the viability of its long-term performance [12].

57 The formation of disinfection by-products (DBPs) is an emerging concern with respect to the  
58 application of disinfectants during seawater desalination. Small DBPs, such as  
59 trihalomethanes (THMs), readily permeate through RO membranes and have an adverse  
60 impact on public health via drinking water. In addition, large DBPs rejected by RO  
61 membranes are discharged as brine, which may contribute to having negative consequences  
62 on water environments [3]. Among the DBPs that form through conventional chlorination  
63 during seawater desalination, THMs and haloacetic acids (HAAs) have gained considerable  
64 attention [13]. THM and HAA concentrations in drinking water have been regulated in many  
65 countries to 25–250 or 60–150  $\mu\text{g/L}$ , whereas high concentrations of THMs up to 67  $\mu\text{g/L}$   
66 have also been reported in the RO permeate of full-scale desalination plants [14]. Moreover,  
67 chlorination has been reported to cause high THM concentrations of up to 860  $\mu\text{g/L}$  and  
68 HAA concentrations of up to 175  $\mu\text{g/L}$  in chlorinated RO feeds [3]. Because the new  
69 disinfectant suggested in this study, i.e., stabilized hypobromite, is a weaker oxidant than

70 chlorine, it has the potential to minimizing DBP formation [10]. However, the use of a  
71 bromide-based disinfectant raises concern with respect to the formation of bromate ( $\text{BrO}_3$ ), a  
72 brominated DBP, which is more toxic than chlorinated DBPs [15].

73 This study assesses the efficacy of stabilized hypobromite as an alternative to chlorine for  
74 controlling membrane fouling and DBP formation during seawater desalination. The  
75 evaluations were performed by evaluating (a) the degradation of a polyamide RO membrane,  
76 (b) the reduction of potential foulants (bacteria and dissolved organics), (c) the formation of  
77 THMS, HAAs, and bromate, and (d) fouling control levels at a pilot scale.

## 78 **2 Materials and methods**

### 79 **2.1 Chemicals**

80 A sodium hypochlorite ( $\text{NaOCl}$ ) solution (12% chlorine concentration) was purchased from  
81 Tosoh Co. (Tokyo, Japan). Stabilized hypobromite was supplied by Organo Co. (Tokyo,  
82 Japan). The stabilized hypobromite contained hypobromite ions, sulfamic acid, and sodium  
83 hydroxide. Throughout this study, the stabilized hypobromite dose is presented in units mg-  
84  $\text{Cl}_2/\text{L}$  by a conversion of the bromine concentration in terms of chlorine. Flat sheet polyamide  
85 composite RO membrane (SWC5) samples and 4-in. RO membrane elements (SWC5-LD-  
86 4040) were obtained from Nitto/Hydranautics (Oceanside, CA, USA). It should be noted that  
87 the SWC5 RO membrane is capable of rejecting >98% of hypobromite ions.

### 88 **2.2 Test protocols**

#### 89 **2.2.1 Membrane degradation**

90 Changes in RO membrane transport after exposure to a disinfectant agent were examined by  
91 immersing the polyamide membrane samples (membrane area =  $33 \text{ cm}^2$ ) in a 500-mL

92 seawater solution containing disinfectant (stabilized hypobromite or NaOCl) or a solution  
93 without any chemical addition (control). Actual seawater was obtained from the ocean and  
94 was pretreated using an ultrafiltration membrane. The concentration of the chemical reagent  
95 was adjusted to 800 mg-Cl<sub>2</sub>/L in the seawater, and its concentration was maintained between  
96 500 and 800 mg-Cl<sub>2</sub>/L. At the same time, the pH of the solution was adjusted to 7.0. The  
97 membrane samples were left submerged in the chemical reagent at 25°C for 96 h with a  
98 continuous dose of 3 mg-Cl<sub>2</sub>/L, which simulated the cumulative exposure over 3 years of  
99 function. Thereafter, the pure water permeability of each membrane was evaluated using the  
100 bench-scale RO system (**Figure S1**) in pure water and in an artificial seawater matrix (NaCl  
101 = 35 g/L; boric acid = 5 mg/L; pH = 8.0 ± 0.1). The rejection of conductivity and boron by  
102 each membrane was determined using the same seawater at a permeate flux of 15 L/m<sup>2</sup>h.

### 103 **2.2.2 Disinfection**

104 The disinfection potentials of the stabilized hypobromite and chlorine were evaluated by  
105 determining reductions in the number of bacteria using colony count as measured by  
106 epifluorescence microscopy. Actual seawater was obtained from the ocean and was pretreated  
107 using an ultrafiltration membrane. Each disinfectant agent (stabilized hypobromite or  
108 chlorine) was added to 200-mL seawater samples stored in glass flasks at doses of 1, 3, and 5  
109 mg-Cl<sub>2</sub>/L. Each sample was placed in a temperature-controlled room (25°C) for 5 h.  
110 Thereafter, the residual chemical in each sample was quenched by the addition of a sodium  
111 sulfite solution.

### 112 **2.2.3 Formation of disinfection by-products**

113 The formation of THMs, HAAs, and bromate (**Table 1**) by stabilized hypobromite and  
114 chlorine was also evaluated using a laboratory scale. For the evaluations, actual seawater was  
115 obtained from the ocean and was pretreated using an ultrafiltration membrane. Each

116 disinfectant agent (stabilized hypobromite or chlorine) was dosed into 100-mL seawater  
 117 samples stored in glass flasks at doses of 3, 5, and 10 mg-Cl<sub>2</sub>/L. Prior to the addition of the  
 118 disinfectant, the pH of the solution was adjusted via the addition of an HCl solution so that  
 119 the pH of the sample solution would become approximately 7.0 after the disinfectant was  
 120 added. All samples were stored in a temperature-controlled room (25°C) for 5 h, and the  
 121 residual chemical was quenched via the addition of sodium sulfite solution. The formation  
 122 potentials of THMs and HAAs, and bromate were examined in the seawater at a chemical  
 123 dose of 5 mg-Cl<sub>2</sub>/L for 96 h and 13.5 d, respectively. For the formation of THMs and HAAs,  
 124 the residual chemical was quenched via the addition of sodium sulfite solution. It should be  
 125 noted that no quenching (i.e., reducing) agent was used for bromate until the analysis, as  
 126 reducing agents can decompose bromate.

127 **Table 1** – List of disinfection by-products (DBPs) and their maximum contaminant level  
 128 (MCL) concentrations in the USA

DBP	Chemical formula	MCL in CA, USA
Trihalomethanes (THMs)		
- Chloroform	CHCl <sub>3</sub>	-
- Dibromochloromethane	CHBrCl <sub>2</sub>	-
- Bromodichloromethane	CHBr <sub>2</sub> Cl	-
- Bromoform	CHBr <sub>3</sub>	-
Haloacetic acids (HAAs)		
- Monochloroacetic acid (MCA)	ClCH <sub>2</sub> COOH	-
- Dichloroacetic acid (DCA)	Cl <sub>2</sub> CHCOOH	-
- Trichloroacetic acid (TCA)	Cl <sub>3</sub> CCOOH	-
- Monobromoacetic acid (MBA)	BrCH <sub>2</sub> COOH	-
- Dibromoacetic acid (DBA)	Br <sub>2</sub> CHCOOH	-
Bromate	BrO <sup>-</sup>	10

## 129 2.2.4 Pilot-scale demonstration

130 The effectiveness of biofouling control using stabilized hypobromite was evaluated using a  
 131 pilot-scale RO system that holds a single 4-in. RO element. In this study, media-filtered  
 132 seawater was used as the RO feed (**Figure S2**). Two SWC5 RO membrane elements with  
 133 surface areas of 7.4 m<sup>2</sup> and nominal salt rejections of 99.6% were used. Stabilized

134 hypobromite was dosed prior to the RO feed reservoir at 0.2 mg-Cl<sub>2</sub>/L. The RO system was  
135 operated at a constant flux of approximately 15 L/m<sup>2</sup>h and a water recovery rate of 15%. The  
136 level of membrane fouling was evaluated based on the reduction in normalized permeate flux  
137 ( $J_t/J_{t=0}$ ) and the increase in pressure drop ( $\Delta P$ ), which can be expressed as follows:

$$138 \quad \Delta P = P_f - P_c \quad (1)$$

139 Here,  $P_f$  is feed pressure (kPa), and  $P_c$  is concentrate pressure (kPa). The permeate flux at  
140 time  $t$  ( $J_t$ ) [L/m<sup>2</sup>h] was corrected at 25°C with a temperature correction factor (TCF), which  
141 can be expressed as follows:

$$142 \quad \text{TCF} = \text{Exp} [Ke \times (1/(273 + T) - 1/298)] \quad (2)$$

143 Here,  $T$  (°C) is the feed water temperature, and  $Ke$  is an empirically derived constant for a  
144 given membrane chemistry ( $Ke = 2206$ , **Figure S3**).

## 145 **2.3 Analysis**

### 146 **2.3.1 Bacterial analysis**

147 The number of viable bacteria in the treated water was determined using the heterotrophic  
148 plate count method with R2A medium (Nissui Pharmaceutical, Tokyo, Japan). Each sample  
149 (1 mL) with and without dilution was dosed into agar medium in a petri dish and incubated at  
150 25°C. The number of bacteria was counted after 7 days and is presented in colony-forming  
151 units (CFU/mL). Intact bacterial cells were counted using a fluorescence microscope (BZ-  
152 X800, Keyence Co., Osaka, Japan). The bacteria in the 1-mL samples were stained for 15  
153 min using a LIVE/DEAD BacLight Bacterial Viability Kit (Thermo Fisher Scientific,  
154 Waltham, MA, USA) containing SYTO<sup>®</sup>9 and propidium iodide. Thereafter, each sample  
155 was filtered using a track-etched polycarbonate microfiltration filter (0.2 μm pore size; Merck,



156 Tokyo, Japan). The number of bacteria on the filter was determined using a fluorescence  
157 microscope with a green filter (excitation wavelength =  $470 \pm 40$  nm; absorption wavelength  
158 =  $525 \pm 50$  nm) or a red filter (excitation wavelength =  $545 \pm 25$  nm; absorption wavelength  
159 =  $605 \pm 70$  nm).

### 160 **2.3.2 Chemical analysis**

161 Concentrations of chlorine and stabilized hypobromite were determined using a colorimeter  
162 (DR-3900, Hach Co., Loveland, CO, USA), while the concentration of organic carbon (TOC)  
163 was analyzed using a TOC analyzer (Sievers 900, GE Analytical Instruments Inc., Boulder,  
164 CO, USA). The size distribution of the organics was analyzed using a liquid  
165 chromatography–organic carbon detection (LC–OCD) system (DOC-LABOR, Karlsruhe,  
166 Germany) equipped with a chromatographic column (TSK HW 50S, Tosoh, Japan) [16, 17].  
167 The four subfractions detected through the LC–OCD included biopolymers (molecular  
168 weight (MW) of  $\geq 20,000$  Da), humics (MW of approximately 1,000 Da), building blocks  
169 (MW of 300–500 Da), and low-molecular-weight (LMW) compounds (MW of  $< 350$  Da).  
170 Each sample was diluted tenfold with pure water for LC–OCD analysis. The organics in the  
171 seawater were characterized using excitation emission matrix (EEM) fluorescence spectra  
172 measured with an RF-6000 spectrophotometer (Shimadzu Co., Kyoto, Japan). The  
173 concentrations of THMs and HAAs were measured using gas chromatography–mass  
174 spectrometry (GC–MS), and the detection limit for each chemical was 2  $\mu\text{g/L}$ . Bromate  
175 concentration was determined using liquid chromatography–mass spectrometry (LC–MS).

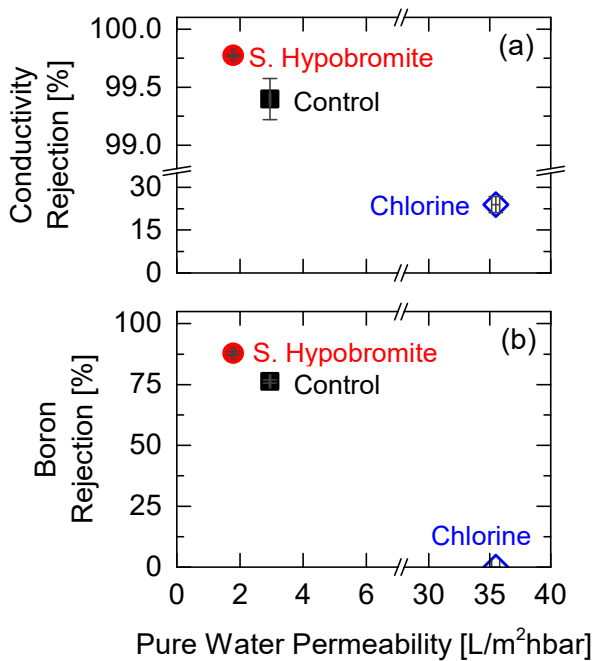
## 176 **3 Results and discussion**

### 177 ***3.1 Degradation of polyamide reverse osmosis membrane***

178 The resilience of a polyamide RO membrane in making contact with stabilized hypobromite  
179 was evaluated by examining the pure water permeability of the membrane and its rejection of  
180 salts and boron after accelerated exposure tests (**Figure 1**). Chlorine exposure resulted in a  
181 considerable increase in pure water permeability from 3.0 to 35.5 L/m<sup>2</sup>hbar. Moreover, the  
182 rejection both of conductivity (a surrogate indicator of salts) and boron dramatically  
183 decreased from 99.4% to 24.0% and 76.3% to 0.0%, respectively. These deterioration effects  
184 caused by chlorine are consistent with those measured in previous studies [18, 19], indicating  
185 that chlorine degrades the cross-linked polyamide separation layer through hydrolysis and  
186 deteriorates the separation performance of the membrane. In contrast to chlorine, stabilized  
187 hypobromite exposure caused only a slight reduction in pure water permeability from 3.0 to  
188 1.8 L/m<sup>2</sup>hbar. However, the rejection of both conductivity and boron dramatically increased  
189 from 99.4% to 99.8% and 76.3% to 87.9%, respectively, for stabilized hypobromite.  
190 Altogether, the results from the three RO membranes (control, chlorine, and stabilized  
191 hypobromite) revealed a trade-off between pure water permeability and rejection of salts and  
192 boron.

193 The enhanced separation performance and reduced permeability after stabilized hypobromite  
194 exposure indicate that the polyamide active skin layer of the RO membrane became tighter  
195 and that the chemical did not cause degradation of the layer. Similar observation (i.e.,  
196 enhanced salt rejection and reduced water permeability) can be found when polyamide RO  
197 membranes are exposed to chlorine in seawater for a short contact time [9]. As hypobromite  
198 (BrO<sup>-</sup>) is an oxidizing reagent that is weaker than chlorine, it is reasonable to assume that  
199 chemical reactions between the polyamide RO membrane and stabilized hypobromite for an

200 extended period of time caused similar membrane property changes that can occur during a  
201 short-term chlorination. It should be noted that the evaluation was conducted through  
202 accelerated chemical exposure tests and that the effect of membrane aging during long-term  
203 operation, which generally increases water permeability and reduces salt and boron rejection,  
204 was not simulated. It is possible that membrane aging offsets the property changes caused by  
205 stabilized hypobromite (i.e., reduced water permeability and increased rejection of salts and  
206 boron). Overall, it was demonstrated that disinfection using stabilized hypobromite in  
207 seawater is less likely to have a negative impact on membrane separation performance  
208 compared with disinfection using chlorine.

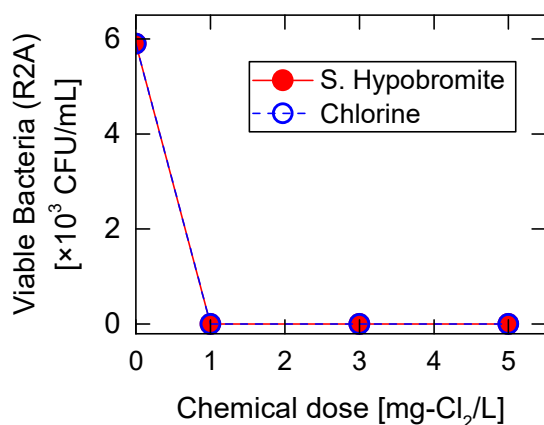


209  
210 **Figure 1** (a) Conductivity and (b) boron rejection as a function of pure water permeability  
211 after 96 h of exposure to chlorine and stabilized (S.) hypobromite at 500–800 mg-Cl<sub>2</sub>/L in  
212 seawater. The symbols and error bars represent the average and range of the triplicated  
213 chemical exposure tests, respectively.

## 214 3.2 Reduction of fouling constituents

### 215 3.2.1 Bacteria

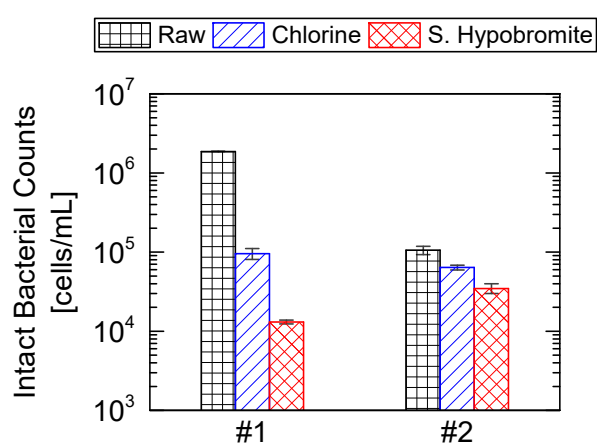
216 The disinfection potential of the stabilized hypobromite in seawater was assessed using viable  
217 bacterial counts determined via colony-forming bacteria (**Figure 2**). After 1–5 mg/L  
218 treatment with either stabilized hypobromite or chlorine, the viable bacterial counts were  
219 reduced by  $5.9 \times 10^3$  counts/mL and eliminated. Both chemicals also remained after the  
220 disinfection; the residual concentrations of stabilized hypobromite and chlorine at a chemical  
221 dose of 1 mg/L were 0.5 and 0.1 mg/L, respectively (**Figure S4**). Thus, the results indicate  
222 that a stabilized hypobromite dose of 1 mg/L is sufficient for inactivating colony-forming  
223 bacteria in seawater and that its effectiveness is comparable to that of chlorine. It should be  
224 noted that the plate-counting method only includes colony-forming bacteria grown on the  
225 R2A medium. In fact, most bacteria in water environments, including seawater, do not form a  
226 colony; thus, the conventional plate-counting method may underestimate the possibility of  
227 biofouling on membrane surfaces.



228  
229 **Figure 2** Viable bacteria counts determined by colony plate count during the disinfection  
230 using chlorine and stabilized hypobromite in seawater for 5 h at 25°C. Error bars indicate the  
231 standard deviations of duplicated disinfection tests.

232 Epifluorescence microscopy along with the staining of bacteria can cover almost all bacteria  
233 in water. Thus, the disinfection potential of stabilized hypobromite was further evaluated via

234 the counting of intact bacteria having no damage on their cell membranes. With the highest  
 235 chlorine dose of 5 mg/L in seawater, the intact bacterial counts decreased from  $19.0 \times 10^5$  to  
 236  $9.6 \times 10^5$  counts/mL (#1 in Figure 3). At the same time, the 5 mg/L dose of stabilized  
 237 hypobromite in seawater resulted in an even higher reduction from  $19.0 \times 10^5$  to  $1.3 \times 10^4$   
 238 counts/mL. A similar reduction trend was also obtained when using the treated seawater  
 239 collected on a separate occasion (#2 in Figure 3). Chlorine, which is not allowed to remain  
 240 on polyamide RO membrane surfaces, is a powerful disinfectant that damages bacterial  
 241 membrane cells, enzymatic functions, and nucleic acids [20]. The results of this study  
 242 indicate that stabilized hypobromite is superior to chlorine with respect to its reduction of  
 243 intact bacteria counts, suggesting that it has great potential for effective use in biofouling  
 244 control. We will undertake investigations on the inactivation mechanisms of stabilized  
 245 hypobromite in a future study.

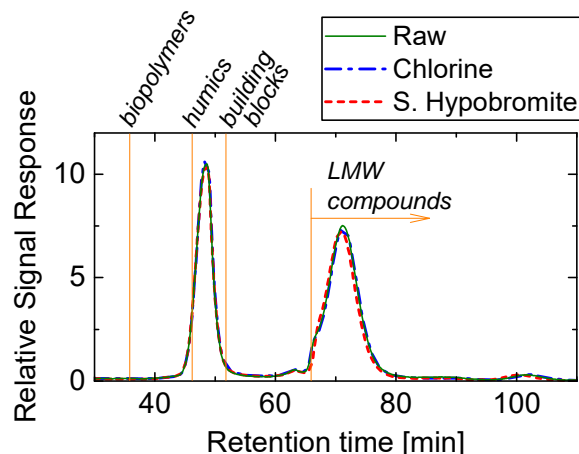


246  
 247 **Figure 3** Disinfection effects using chlorine and stabilized hypobromite in two seawater  
 248 samples collected on separate occasions (#1 and #2) at a chemical dose of 5 mg/L for 5 h.  
 249 Errors indicate the standard deviations for duplicated disinfection tests.

### 250 3.2.2 Dissolved organics

251 In addition to biofouling, organic fouling is often a major contributor to membrane fouling  
 252 during seawater desalination [21, 22]. In this study, the organics in the disinfected seawater  
 253 were further characterized through LC–OCD (**Figure 4**). The raw seawater pretreated using

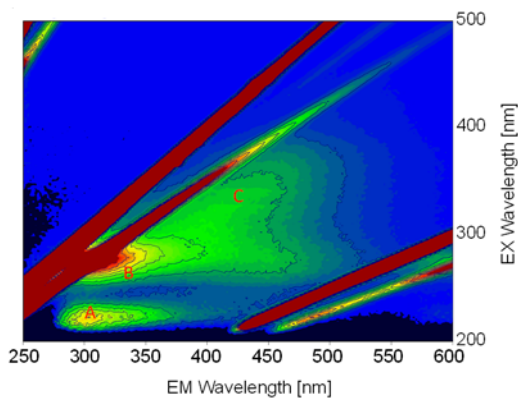
254 an ultrafiltration membrane showed two major organic fractions: humics and LMW organic  
255 compounds. Overall, the seawater showed negligible reduction in these two peaks when  
256 treated with either chlorine or stabilized hypobromite, and no changes in organic foulants  
257 caused by the disinfection treatments were identified through LC–OCD analysis.



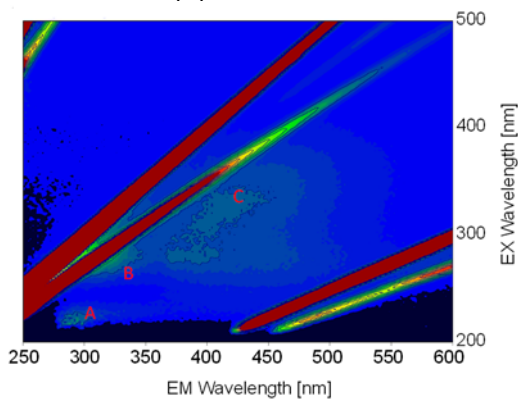
258  
259 **Figure 4** Liquid chromatography–organic carbon detection (LC–OCD) chromatogram of raw  
260 seawater and seawater after chlorine and stabilized (S.) hypobromite treatments at a chemical  
261 dose of 5 mg/L for 5 h.

262 The state of the organics in the raw and disinfected seawater was characterized by EEM  
263 fluorescence spectra (**Figure 5**). The spectrum of the non-disinfected seawater showed three  
264 major peaks: Ex/Em at 230/300 nm (aromatic protein including tyrosine, denoted by “A”),  
265 Ex/Em at 270/300 nm (protein-like substances containing tryptophan, denoted by “B”), and  
266 Ex/Em at 340/425 nm (humic-like substances, denoted by “C”) [23-25]. The peaks at these  
267 regions in the raw seawater (**Figure 5a**) were consistent with those found in previous studies  
268 concerning the characterization of organics in seawater [13]. Overall, chlorine treatment  
269 reduced the major peaks of regions A, B, and C (**Figure 5b**), while stabilized hypobromite  
270 treatment caused a smaller reduction in the peaks of these regions (**Figure 5c**). Although the  
271 EEM data cannot be used for quantitative analysis, the results indicate that stabilized  
272 hypobromite is capable of decomposing some proteins and humic acid-like substances in  
273 seawater, while its impact may be lower than that of chlorine in this respect. As some  
274 organics in seawater (i.e., protein-like and humic-like substances) remain in the RO feed after

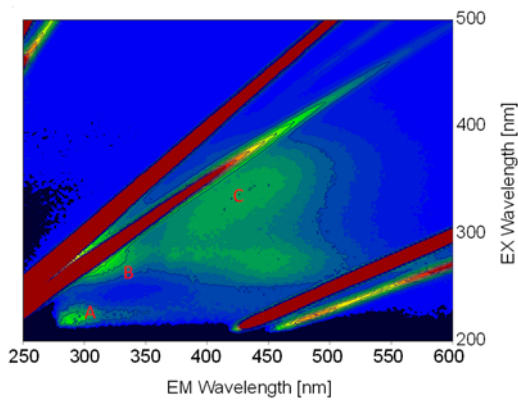
275 disinfection, these are able to assist in the formation of biofilms in the RO membrane  
276 elements during seawater desalination [26]. In addition, the degradation of organics through  
277 chemical reactions can lead to the formation of DBPs, including THMs [25, 27]. Therefore,  
278 the effectiveness of stabilized hypobromite on fouling mitigation and the potential of DBP  
279 formation were assessed in the following sections.  
280



(a) Raw water



(b) NaOCl



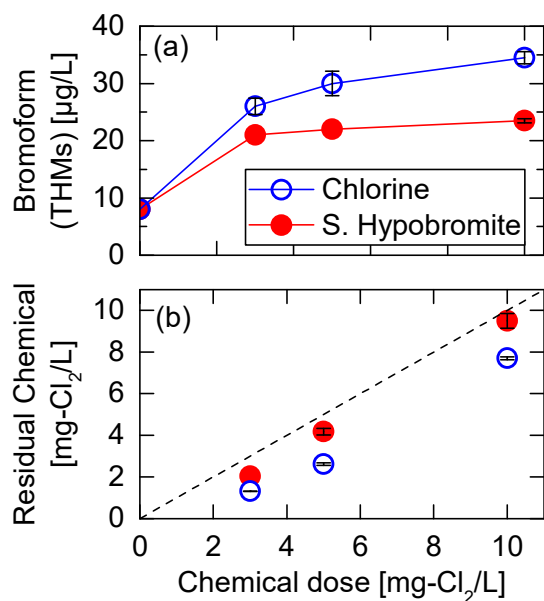
(c) S. Hypobromite

281 **Figure 5** EEM of (a) raw seawater and (b–c) seawater after (b) chlorine and (c) stabilized (S.)  
282 hypobromite treatment at a chemical dose of 5 mg/L for 5 h.

### 283 3.3 Formation of disinfection by-products

284 Among the four THMs analyzed in this study, the disinfection of seawater using chlorine and  
285 stabilized hypobromite at varied doses of 1–10 mg/L resulted only in the formation of  
286 bromoform ( $\text{CHBr}_3$ ). The other three THMs, chloroform ( $\text{CHCl}_3$ ), dibromochloromethane  
287 ( $\text{CHBrCl}$ ), and bromodichloromethane ( $\text{CHBr}_2\text{Cl}$ ), were not identified at above the detection  
288 limit ( $2 \mu\text{g/L}$ ). Generally, bromoform is the most abundant halogenated organic chemical  
289 formed through the chlorination of seawater [3]. On the whole, the formation of bromoform  
290 increased along with the increasing chemical dose in the present study. In particular, during  
291 chlorine treatment, the bromoform formation increased along with the dose of chlorine up to  
292  $35 \mu\text{g/L}$  (**Figure 6a**). It should be noted that the formation of bromoform by chlorine is a  
293 rapid reaction that takes place within an hour, which is not the case with respect to the other  
294 three THMs [28]. In contrast, the stabilized hypobromite treatment resulted in a lower  
295 bromoform formation of up to  $23 \mu\text{g/L}$ , while the residual concentration of stabilized  
296 hypobromite was higher than that of chlorine at all chemical doses (**Figure 6b**). Altogether,  
297 the results indicate that stabilized hypobromite is less reactive than chlorine.



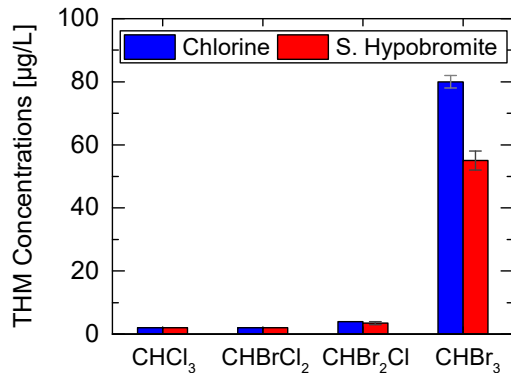


298

299 **Figure 6** (a) Concentrations of bromoform in seawater as functions of chlorine and stabilized  
 300 (S.) hypobromite doses and (b) residual chemical concentrations after each test (reaction time  
 301 of 5 h, temperature of 25°C, and initial pH of 7.0). The symbols and error bars represent the  
 302 average and range of duplicated formation tests, respectively. The dashed line indicates the  
 303 equality line with a slope of 1.0.

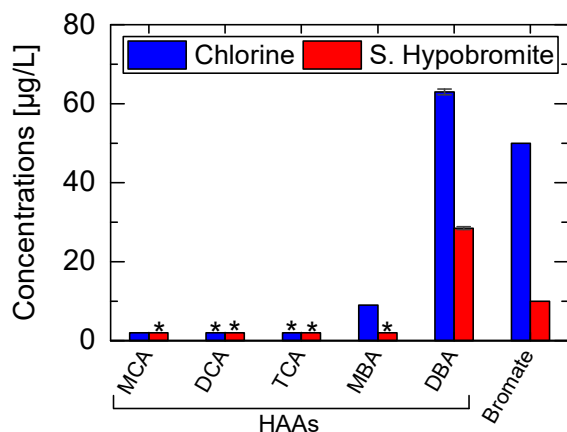
304 As the formation of THMs can vary depending on reaction time, the maximum formation  
 305 potential of THMs caused by stabilized hypobromite or chlorine treatment was also evaluated  
 306 for an extended reaction period of 96 h (**Figure 7**). Among the four evaluated THMs, neither  
 307 the formation of chloroform nor of bromodichloromethane was detected. In addition, a minor  
 308 formation of dibromochloromethane (<4 µg/L) was identified after the extended reaction  
 309 period. Compared with these three THMs, bromoform formation was high for both chlorine  
 310 (80 µg/L) and stabilized hypobromite (55 µg/L) treatments. Most formed bromoform  
 311 permeates through RO membranes because its rejection by RO membranes is below 50%  
 312 [29]. Thus, bromoform concentrations after RO treatment can be above the guideline value  
 313 adopted in many countries (25–250 µg/L) [3] and are a potential threat to the public health.  
 314 Moreover, the bromoform rejected by RO membranes remains in the RO concentrate and is  
 315 discharged into the ocean. Bromoform exposure has been reported to alter the behavior of  
 316 some marine species (e.g., shrimp and menhaden) [30]. Therefore, the fact that stabilized

317 hypobromite leads to a lower formation of bromoform gives it an advantage over chlorine  
318 with respect to THM formation.



319  
320 **Figure 7** Concentrations of THMs at a chemical dose of 5 mg-Cl<sub>2</sub>/L and a reaction time of 96  
321 h (temperature of 25°C and initial pH of 7.0). The data represent the average and range of  
322 duplicated formation tests.

323 The maximum potential formations of HAAs and bromate caused by stabilized hypobromite  
324 and chlorine were also determined (**Figure 8**), and the results revealed that disinfection at a  
325 stabilized hypobromite resulted in the formation of dibromoacetic acid (DBA) at 29 µg/L.  
326 Chlorine treatment resulted in higher formations of monochloroacetic acid (MCA),  
327 monobromoacetic acid (MBA), and DBA (2, 9, and 63 µg/L, respectively). Bromine, a  
328 precursor of bromate, is abundant in seawater with a concentration in the range of 65–80  
329 mg/L, and high concentrations of bromate (50 µg/L) were found after chlorine treatment. In  
330 contrast, no bromate formation (<10 µg/L) was detected after stabilized hypobromite  
331 treatment. The concentration of bromate after chlorine treatment was greater than the WHO  
332 guideline value for drinking water (10 µg/L) [31]. Generally, RO processes can achieve a  
333 high rejection of bromate ion removal (>95%) [32]; however, this means that high  
334 concentrations of bromate ions can be discharged into the water environment through brine.  
335 Therefore, disinfection using stabilized hypobromite, a weaker oxidant than chlorine [33, 34],  
336 has the advantage that it results in a lower formation of bromate in seawater.



337

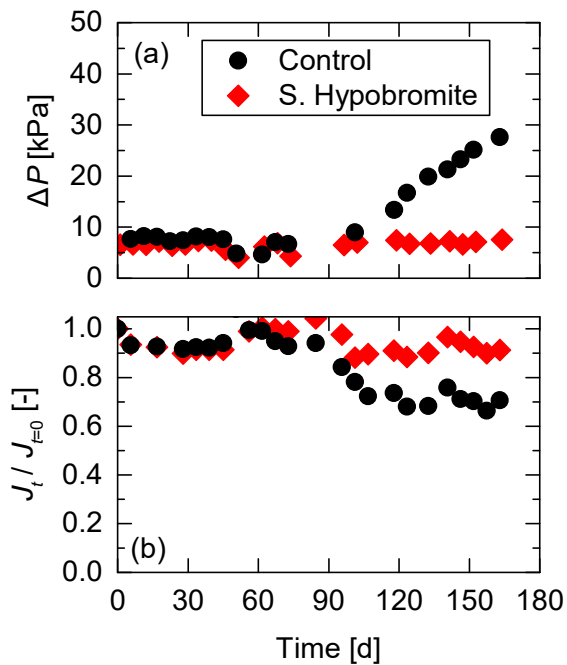
338 **Figure 8** Concentrations of HAAs and bromate at a chemical dose of 5 mg-Cl<sub>2</sub>/L and  
 339 reaction time of 96 h and 13.5 days, respectively (temperature of 25°C and initial pH of 7.0).  
 340 The data represent the average and range of duplicated formation tests. The concentration of  
 341 chemicals with asterisk (\*) represents below the detection limit of 2 µg/L.

342 The results of this study indicate that stabilized hypobromite is able to form smaller amounts  
 343 of THMs, HAAs, and bromate than chlorine during disinfection in seawater. Yang et al. [13]  
 344 have reported that dissolved organic matter with a MW of <1 kDa dominates the formation of  
 345 THMs and HAAs during the chlorination of seawater. Small and hydrophobic neutrals in  
 346 particular, including humic acid-like and aromatic protein-like substances, are major  
 347 precursors of THMs and HAAs. In this study, it was found that chlorine reduced a larger  
 348 amount of these small and hydrophobic neutrals compared with stabilized hypobromite  
 349 (**Figure 5**). This implies that stabilized hypobromite reacted less with these small organics in  
 350 the seawater, resulting in a lower formation of THMs and HAAs. The DBP formation of  
 351 THMs, HAAs, and bromate varies considerably depending on disinfection conditions (e.g.,  
 352 concentration, reaction time, and temperature) and water matrix conditions (e.g., precursor  
 353 concentrations). To assess the efficacy of stabilized hypobromite during seawater  
 354 desalination, it is important to validate the effectiveness of stabilized hypobromite for  
 355 controlling membrane fouling and DBP formation with optimized disinfection conditions at  
 356 pilot or full scale.

### 357 3.4 Pilot-scale demonstration

358 The pilot-scale test demonstrated the effectiveness of pre-disinfection with stabilized  
359 hypobromite on biofouling mitigation (**Figure 9**). Over the course of the 180-day test, the  
360 differential pressure of the feed stream ( $\Delta P$ ) in the system containing stabilized hypobromite  
361 remained stable at approximately 8 kPa, while that in the system without pre-disinfection  
362 (control) increased proportionally from 8 to 28 kPa after 100 days of filtration (**Figure 9a**).  
363 Biofouling typically becomes evident after a certain period of the treatment, when substantial  
364 biofilm formation along with biological growth begins to occur. The  $\Delta P$  represents the level  
365 of clogging in the feed stream, which is related to hydrolytic resistance. Thus, the results  
366 indicate that stabilized hypobromite is able to alleviate the deposition of foulants between the  
367 membranes and spacers in the feed stream. Similarly, the mitigation of biofouling by  
368 stabilized hypobromite was observed in normalized flux ( $J_t/J_{t=0}$ ), corresponding to the level  
369 of membrane fouling on the membrane surface. A notable difference between the control and  
370 stabilized hypobromite systems was observed after 70 days of operation (**Figure 9b**). At this  
371 time, the normalized flux of the control system decreased to 0.6, while the stabilized  
372 hypobromite system maintained a normalized flux above 0.8. This indicates that the  
373 stabilized hypobromite system requires a lower energy than the control system to maintain a  
374 specific permeate flux. It is noted that the conductivity rejection of both systems was above  
375 99.3% throughout the evaluation (**Figure S5**), which means that the changes in the membrane  
376 properties during the half-year test were insignificant. The pilot-scale evaluations  
377 demonstrated that pre-disinfection of seawater using stabilized hypobromite was capable of  
378 alleviating bacterial growth in the RO feed channel as well as on the membrane surface  
379 without compromising the separation of salts, maintaining the permeance of the seawater RO  
380 membrane for half a year. Despite successful demonstration of controlling membrane fouling  
381 and DBP formation using stabilized hypobromite, it requires higher costs than conventional

382 disinfectants (e.g., sodium hypochlorite). A future study that focuses on the chemical dose  
383 optimization and chemical cost analysis will clarify the potential of stabilized hypobromite as  
384 an alternative disinfectant to chlorine.



385  
386 **Figure 9** Changes in (a) differential pressure across the feed channel ( $\Delta P$ ) and (b) normalized  
387 permeate flux ( $J_t/J_{t=0}$ ) during RO treatment of seawater with and without 0.2 mg-Cl<sub>2</sub>/L of  
388 stabilized (S.) hypobromite.

#### 389 4 Conclusion

390 A new disinfectant, stabilized hypobromite, was found to be a viable alternative to chlorine  
391 for controlling membrane fouling while maintaining sufficient disinfection capacity during  
392 seawater treatment. The stabilized hypobromite did not cause degradation of the tested RO  
393 membrane, indicating that it can be used continuously for disinfection on polyamide RO  
394 membrane surfaces. Hypobromite and chlorine were also found to be equally effective in  
395 reducing potential fouling constituents (bacteria and organics). The formation of major DBPs  
396 including THMs, HAAs, and bromate caused by stabilized hypobromite was found to be  
397 lower than that caused by chlorine, indicating the superiority of stabilized hypobromite over

398 chlorine in this respect. Pilot-scale RO treatment of seawater with a continuous dose of  
399 stabilized hypobromite further revealed a half-year continuous operation without major  
400 fouling. Altogether, the results of this study indicate the great potential of stabilized  
401 hypobromite for use as a disinfectant of RO membranes for controlling DBP formation and  
402 membrane fouling during seawater desalination.

## 403 **5 References**

- 404 [1] N. Ghaffour, T.M. Missimer, G.L. Amy, Technical review and evaluation of the  
405 economics of water desalination: Current and future challenges for better water  
406 supply sustainability, *Desalination*, 309 (2013) 197-207.
- 407 [2] Y. Okamoto, J.H. Lienhard, How RO membrane permeability and other performance  
408 factors affect process cost and energy use: A review, *Desalination*, 470 (2019) 114064.
- 409 [3] D. Kim, G.L. Amy, T. Karanfil, Disinfection by-product formation during seawater  
410 desalination: A review, *Water Res.*, 81 (2015) 343-355.
- 411 [4] N. Harlev, A. Bogler, O. Lahav, M. Herzberg, Acidification and decarbonization in  
412 seawater: Potential pretreatment steps for biofouling control in SWRO membranes,  
413 *Desalination*, 467 (2019) 86-94.
- 414 [5] A. Matin, Z. Khan, S.M.J. Zaidi, M.C. Boyce, Biofouling in reverse osmosis  
415 membranes for seawater desalination: Phenomena and prevention, *Desalination*, 281  
416 (2011) 1-16.
- 417 [6] J. Zhang, J. Liang, J. Hu, R. Xie, M. Gomez, A. Deng, C.N. Ong, A. Adin, Impact of  
418 blended tap water and desalinated seawater on biofilm stability, *Desalin. Water Treat.*,  
419 52 (2014) 5806-5811.
- 420 [7] P. Cristiani, G. Perboni, Antifouling strategies and corrosion control in cooling  
421 circuits, *Bioelectrochemistry*, 97 (2014) 120-126.
- 422 [8] L. Valentino, T. Renkens, T. Maugin, J.-P. Croué, B.J. Mariñas, Changes in  
423 Physicochemical and Transport Properties of a Reverse Osmosis Membrane Exposed  
424 to Chloraminated Seawater, *Environ. Sci. Technol.*, 49 (2015) 2301-2309.
- 425 [9] H. Shemer, R. Semiat, Impact of halogen based disinfectants in seawater on  
426 polyamide RO membranes, *Desalination*, 273 (2011) 179-183.
- 427 [10] T. Fujioka, H. Yoshikawa, M. Eguchi, S. Boivin, H. Kodamatani, Application of  
428 stabilized hypobromite for controlling membrane fouling and N-  
429 nitrosodimethylamine formation, *Chemosphere*, 240 (2020) 124939.

- 430 [11] R. Floyd, D.G. Sharp, J.D. Johnson, Inactivation of single poliovirus particles in water  
431 by hypobromite ion, molecular bromine, dibromamine, and tribromamine, *Environ.*  
432 *Sci. Technol.*, 12 (1978) 1031-1035.
- 433 [12] N. Hilal, G.J. Kim, C. Somerfield, Boron removal from saline water: A  
434 comprehensive review, *Desalination*, 273 (2011) 23-35.
- 435 [13] Z. Yang, Y.-X. Sun, T. Ye, N. Shi, F. Tang, H.-Y. Hu, Characterization of  
436 trihalomethane, haloacetic acid, and haloacetonitrile precursors in a seawater reverse  
437 osmosis system, *Sci. Total Environ.*, 576 (2017) 391-397.
- 438 [14] E. Agus, D.L. Sedlak, Formation and fate of chlorination by-products in reverse  
439 osmosis desalination systems, *Water Res.*, 44 (2010) 1616-1626.
- 440 [15] V.K. Sharma, R. Zboril, T.J. McDonald, Formation and toxicity of brominated  
441 disinfection byproducts during chlorination and chloramination of water: A review,  
442 *Journal of Environmental Science and Health, Part B*, 49 (2014) 212-228.
- 443 [16] S.A. Huber, A. Balz, M. Abert, W. Pronk, Characterisation of aquatic humic and non-  
444 humic matter with size-exclusion chromatography – organic carbon detection –  
445 organic nitrogen detection (LC-OCD-OND), *Water Res.*, 45 (2011) 879-885.
- 446 [17] R.K. Henderson, N. Subhi, A. Antony, S.J. Khan, K.R. Murphy, G.L. Leslie, V. Chen,  
447 R.M. Stuetz, P. Le-Clech, Evaluation of effluent organic matter fouling in  
448 ultrafiltration treatment using advanced organic characterisation techniques, *J. Membr.*  
449 *Sci.*, 382 (2011) 50-59.
- 450 [18] J.M. Gohil, A.K. Suresh, Chlorine attack on reverse osmosis membranes:  
451 Mechanisms and mitigation strategies, *J. Membr. Sci.*, 541 (2017) 108-126.
- 452 [19] M. Ohno, C. Manalo, L. Rossetto, T. Okuda, S. Nakai, W. Nishijima, Effect of  
453 coexisting metal ions on the degradation of polyamide reverse osmosis membrane by  
454 hypochlorite treatment, *Desalination*, 381 (2016) 126-134.
- 455 [20] M.K. Ramseier, U. von Gunten, P. Freihofer, F. Hammes, Kinetics of membrane  
456 damage to high (HNA) and low (LNA) nucleic acid bacterial clusters in drinking  
457 water by ozone, chlorine, chlorine dioxide, monochloramine, ferrate(VI), and  
458 permanganate, *Water Res.*, 45 (2011) 1490-1500.
- 459 [21] G. Amy, Fundamental understanding of organic matter fouling of membranes,  
460 *Desalination*, 231 (2008) 44-51.
- 461 [22] S.S. Mitra, A.R. Thomas, G.T. Gang, Evaluation and characterization of seawater RO  
462 membrane fouling, *Desalination*, 247 (2009) 94-107.
- 463 [23] S.-N. Nam, G. Amy, Differentiation of wastewater effluent organic matter (EfOM)  
464 from natural organic matter (NOM) using multiple analytical techniques, *Water Sci.*  
465 *Technol.*, 57 (2008) 1009-1015.
- 466 [24] W. Chen, P. Westerhoff, J.A. Leenheer, K. Booksh, Fluorescence excitation–emission  
467 matrix regional integration to quantify spectra for dissolved organic matter, *Environ.*  
468 *Sci. Technol.*, 37 (2003) 5701-5710.

- 469 [25] G. Hua, D.A. Reckhow, I. Abusallout, Correlation between SUVA and DBP  
470 formation during chlorination and chloramination of NOM fractions from different  
471 sources, *Chemosphere*, 130 (2015) 82-89.
- 472 [26] S. Jeong, G. Naidu, R. Vollprecht, T. Leiknes, S. Vigneswaran, In-depth analyses of  
473 organic matters in a full-scale seawater desalination plant and an autopsy of reverse  
474 osmosis membrane, *Sep. Purif. Technol.*, 162 (2016) 171-179.
- 475 [27] M. Fabbicino, M. Yan, G.V. Korshin, Effects of chlorination on the fluorescence of  
476 seawater: Pronounced changes of emission intensity and their relationships with the  
477 formation of disinfection byproducts, *Chemosphere*, 218 (2019) 430-437.
- 478 [28] A. Abdel-Wahab, A. Khodary, N. Bensalah, Formation of Trihalomethanes during  
479 Seawater Chlorination, *Journal of Environmental Protection*, Vol.01No.04 (2010) 10.
- 480 [29] K. Doederer, M.J. Farré, M. Pidou, H.S. Weinberg, W. Gernjak, Rejection of  
481 disinfection by-products by RO and NF membranes: Influence of solute properties  
482 and operational parameters, *J. Membr. Sci.*, 467 (2014) 195-205.
- 483 [30] C.I. Gibson, F.C. Tone, P. Wilkinso, J.W. Blaylock, Toxicity and Effects of  
484 Bromoform on Five Marine Species, *Ozone: Science & Engineering*, 1 (1979) 47-54.
- 485 [31] WHO, Guidelines for drinking-water quality 4th edition, in, World Health  
486 Organization, Geneva, 2011.
- 487 [32] S. Gyparakis, E. Diamadopoulos, Formation and Reverse Osmosis Removal of  
488 Bromate Ions during Ozonation of Groundwater in Coastal Areas, *Separation Science  
489 and Technology*, 42 (2007) 1465-1476.
- 490 [33] J. Yang, J. Li, W. Dong, J. Ma, Y. Yang, J. Li, Z. Yang, X. Zhang, J. Gu, W. Xie, Y.  
491 Cang, Enhancement of bromate formation by pH depression during ozonation of  
492 bromide-containing water in the presence of hydroxylamine, *Water Res.*, 109 (2017)  
493 135-143.
- 494 [34] J. Fang, Q. Zhao, C. Fan, C. Shang, Y. Fu, X. Zhang, Bromate formation from the  
495 oxidation of bromide in the UV/chlorine process with low pressure and medium  
496 pressure UV lamps, *Chemosphere*, 183 (2017) 582-588.

497