

1 **Title page**

2 **Ketone bodies as a predictor of prognosis of hepatocellular carcinoma**  
3 **after transcatheter arterial chemoembolization**

4

5 **Running title: Ketone bodies and muscle status with HCC**

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7 Ryu Sasaki<sup>1,2</sup>, Naota Taura<sup>2</sup>, Yuri Miyazoe<sup>2</sup>, Shinobu Yamamichi<sup>2</sup>, Suguru Nakashiki<sup>2</sup>,

8 Mio Yamashima<sup>2</sup>, Tomoyuki Suehiro<sup>2</sup>, Takuya Honda<sup>1,2</sup>, Hidetaka Shibata<sup>2</sup>, Eisuke

9 Ozawa<sup>2</sup>, Satoshi Miuma<sup>2</sup>, Yuko Akazawa<sup>2</sup>, Hisamitsu Miyaaki<sup>2</sup>, Takehiro Matsumoto<sup>2</sup>,

10 Kazuhiko Nakao<sup>2</sup>, Kazuto Ashizawa<sup>1</sup>

11

12 1. Department of Clinical Oncology, Unit of Translational Medicine, Nagasaki

13 University Graduate School of Biomedical Sciences, 1-7-1 Sakamoto, Nagasaki City,

14 852-8501, Japan

15 2. Department of Gastroenterology and Hepatology, Nagasaki University Graduate

16 School of Biomedical Sciences, 1-7-1 Sakamoto, Nagasaki City, Nagasaki, 852-8501,

17 Japan

18

19 Authors' e-mail addresses:

20 1. Ryu Sasaki: [ryu03202501@yahoo.co.jp](mailto:ryu03202501@yahoo.co.jp)

- 21 2. Naota Taura:ntaura-g@nagasaki-u.ac.jp
- 22 3. Yuri Miyazoe: yurieast10@hotmail.com
- 23 4. Shinobu Yamamichi: bwspn147@yahoo.co.jp
- 24 5. Suguru Nakashiki: reoreo627@yahoo.co.jp
- 25 6. Mio Yamashima: mamio5612@gmail.com
- 26 7. Tomoyuki Suehiro:chuntomo0902@gmail.com
- 27 8. Takuya Honda:mr.takuya@gmail.com
- 28 9. Hidetaka Shibata:shibatahidetaka@gmail.com
- 29 10. Eisuke Ozawa: eisukeozawa@nifty.com
- 30 11. Satoshi Miuma: miuma1002@gmail.com
- 31 12. Yuko Akazawa:akazaway@nagasaki-u.ac.jp
- 32 13. Hisamitsu Miyaaki: miyaaki-hi@umin.ac.jp
- 33 14. Takehiro Matsumoto: tmatsumo@nagasaki-u.ac.jp
- 34 15. Kazuhiko Nakao: kazuhiko@nagasaki-u.ac.jp
- 35 16. Kazuto Ashizawa: ashi@nagasaki-u.ac.jp

36

37 **Correspondence to:**

38 Ryu Sasaki

39 Department of Gastroenterology and Hepatology, Nagasaki University Graduate School  
40 of Biomedical Sciences, 1-7-1 Sakamoto, Nagasaki City, Nagasaki, 852-8501, Japan

41 Telephone: +81-958-19-7481

42 Fax: +81-958-19-7482

43 E-mail: ryu03202501@yahoo.co.jp

44

45 **Author contributions:**

46 Conception and design of the study: Ryu Sasaki, Naota Taura, and Kazuhiko Nakao

47 Generation, collection, assembly, analysis and/or interpretation of data: Ryu Sasaki,

48 Naota Taura, Yuri Miyazoe, Shinobu Yamamichi, Suguru Nakashiki, Mio Yamashima,

49 Tomoyuki Suehiro, Takuya Honda, Hidetaka Shibata, Eisuke Ozawa, Satoshi Miuma,

50 Yuko Akazawa, Hisamitsu Miyaaki, Takehiro Matsumoto, and Kazuhiko Nakao

51 Drafting or revision of the manuscript: Ryu Sasaki, Naota Taura, Yuko Akazawa,

52 Kazuhiko Nakao, and Kazuto Ashizawa

53 Approval of the final version of the manuscript: Ryu Sasaki, Naota Taura, Kazuhiko

54 Nakao, and Kazuto Ashizawa

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65 **Abbreviations:** HCC, hepatocellular carcinoma; 3-OHB, 3-hydroxybutyrate; AcAc,  
66 acetoacetate; TACE, transcatheter arterial chemoembolization; CT, computed  
67 tomography; MRI, magnetic resonance imaging; TKBR, total ketone body ratio; PMI,  
68 psoas muscle mass index; IMAC, intramuscular adipose tissue content; c-TACE,  
69 conventional TACE; DEB-TACE, drug-eluting bead TACE; BCLC stage, Barcelona  
70 Clinic liver cancer stage

71

72

73 **Abstract**

74 **OBJECTIVE:** Arterial ketone bodies, which reflect liver function, have been  
75 investigated. However, the relationship between venous ketone bodies and  
76 hepatocellular carcinoma (HCC) is unclear. We investigated whether prognosis of  
77 patients with HCC after transcatheter arterial chemoembolization (TACE) was  
78 associated with venous blood ketone bodies.

79 **RESEARCH METHODS & PROCEDURES:** Sixty-eight patients with HCC who  
80 underwent TACE were recruited for this study. The venous blood ketone body levels  
81 were measured 1 day before (pre-treatment) and 7 days after TACE (post-treatment).  
82 Skeletal muscle quality was evaluated using the intramuscular adipose tissue content  
83 (IMAC).

84 **RESULTS:** Of the 68 patients, 43 (63.2%) were male with median age of 73.0 years,  
85 and the IMAC was -0.274 (range -0.82 to 0.24). The median ketone body levels pre-  
86 and post-treatment were 63.0  $\mu\text{mol/L}$  (13-310) and 48.0  $\mu\text{mol/L}$  (8-896), respectively.  
87 The cumulative survival rate of patients with total ketone body ratio ([TKBR]:  
88 post-treatment/pre-treatment total ketone bodies) $<1$  was 86.6%. The rate with TKBR $\geq 1$   
89 was 59.0% at 300 days ( $P<0.05$ ). Cox regression analysis identified the TKBR ( $\geq 1$ ,  
90 hazard ratio: 2.954, 95% confidence interval [CI]: 1.040-8.390,  $P=0.030$ ) that  
91 independently and significantly predicted the patients' prognoses. Logistic regression  
92 analysis revealed the IMAC ( $>-0.2745$ , odds ratio: 3.958, 95%CI: 1.137-13.779,

93  $P=0.031$ ) that predicted TKBR. TKBR and IMAC were positively correlated ( $rS=0.358$ ,  
94  $P=0.003$ ).

95 **CONCLUSIONS:** The changes in the venous ketone body were associated with the  
96 muscle status and predicted the prognosis of patients with HCC who underwent TACE.  
97 The venous ketone bodies could be a new predictor of the prognosis of HCC patients  
98 after TACE.

99

100 **Key words:** intramuscular adipose tissue content, skeletal muscle quality, liver disease,  
101 cirrhosis, cancer

102

103 **Highlights:**

- 104 • Venous ketone bodies in HCC patients are useful to predict skeletal muscle  
105 quality.
- 106 • Increase of venous ketone bodies is negatively correlated with survival.
- 107 • Venous ketone bodies could be a predictor of HCC patients' prognosis after  
108 TACE.

109

## 110 INTRODUCTION

111 Hepatocellular carcinoma (HCC) is the third most common cause of cancer-related  
112 deaths globally [1, 2]. Patients with HCC are at an increased risk of being malnourished,  
113 and most HCCs develop due to chronic liver diseases and cirrhosis. Malnutrition is a  
114 common finding in patients with cancer and cirrhosis [3, 4]. Moreover, sarcopenia is the  
115 major component of malnutrition, and it is a frequent complication in patients with  
116 chronic liver disease or cirrhosis [4-6]. Nutritional assessment of patients with chronic  
117 liver disease or cirrhosis is essential, because malnutrition is an independent predictor of  
118 mortality and complications [7-11]. However, there is no simple marker to assess  
119 nutrition or sarcopenia in HCC patients with chronic liver disease or cirrhosis.

120

121 Ketone bodies are composed of three molecules: 3-hydroxybutyrate (3-OHB),  
122 acetoacetate (AcAc), and acetone, which are produced from fatty acids in the liver.

123 Ketone bodies play an important role in survival during starvation and provide a source  
124 of energy to the tissues in the brain, heart, muscle, and kidney in patients with glucose  
125 insufficiency [12].

126

127 Previous studies have shown the relationship between hepatic reserve function and  
128 arterial ketone bodies during liver surgery or transcatheter arterial chemoembolization  
129 (TACE) [13-17]. However, no studies have focused on venous ketone bodies, nutrition

130 status, and skeletal muscle status in patients with chronic liver disease, cirrhosis, or  
131 HCC. In this report, we utilized venous ketone bodies to assess the nutrition and skeletal  
132 muscle status, as well as predict the prognosis of patients with HCC who underwent  
133 TACE.

134

## 135 **METHODS**

### 136 *Patients*

137 Between June 2014 and May 2015, 133 consecutive HCC patients who underwent  
138 TACE at our institution were enrolled in this retrospective study. HCC was diagnosed  
139 based on the positive results of typical vascular patterns, revealed by either  
140 contrast-enhanced computed tomography (CT), contrast-enhanced magnetic resonance  
141 imaging (MRI), or angiography. Otherwise, the pathological diagnosis was made via a  
142 fine-needle biopsy of space-occupying lesions that were detected in the liver.

143

144 The exclusion criteria for this study were (1) a shorter follow-up period (<2 months)  
145 after TACE treatment, (2) absence of properly examined samples or insufficient archival  
146 material, and (3) no HCC definite diagnosis. After the exclusion criteria were applied,  
147 data on 68 patients who underwent TACE were analysed retrospectively.

148



## 149 ***Measurement of blood samples and ketone bodies***

150 For all patients in our cohort, a blood sample was collected 1 day before (pre-treatment)  
151 and 7 days after (post-treatment) TACE treatment. Medical histories, along with the  
152 results of routine tests for blood cell counts, liver biochemistry, and tumour markers at  
153 the time of TACE and thereafter were retrieved from the patients' medical records.  
154 Complete blood cell counts were obtained and biochemical tests were performed using  
155 automated procedures in the clinical pathology laboratories of our hospital. All blood  
156 samples were collected with the patients in a fasting state. The total ketone body level,  
157 3-OHB, and AcAc were measured via an enzyme cycling method using a commercial  
158 kit (TKB-L Shiyaku Kainos, 3HB-L Shiyaku Kainos; Kainos, Tokyo, Japan). We also  
159 calculated the total ketone body ratio (TKBR) by dividing the total ketone bodies at  
160 post-treatment (day 7) by the number of total ketone bodies at pre-treatment (day 0).

161

## 162 ***Image analysis***

163 The quality of the skeletal muscle was evaluated using the psoas muscle mass index  
164 (PMI) and intramuscular adipose tissue content (IMAC) using CT imaging. The  
165 cross-sectional areas of the right and left psoas muscles were measured with manual  
166 tracing on CT imaging at the L3 level to determine the PMI. The PMI was calculated by  
167 normalizing the cross-sectional areas for height ( $\text{cm}^2/\text{m}^2$ ) [18]. The IMAC was  
168 determined by measuring the CT value of the multifidus muscles (Hounsfield units) and

169 the CT value of subcutaneous fat (Hounsfield units) at the umbilical level. The IMAC  
170 was calculated by dividing the region of interest of the multifidus muscle (Hounsfield  
171 units) with the region of interest of the subcutaneous fat (Hounsfield units) [19, 20].

172

### 173 ***TACE treatment***

174 Among the 68 patients, 52 underwent conventional TACE (c-TACE) and 16 underwent  
175 drug-eluting bead (DEB)-TACE. The standard TACE procedure was performed via a  
176 right femoral artery puncture. Selective arteriography of the celiac and superior  
177 mesenteric arteries was performed to investigate the arterial anatomy of the liver,  
178 vascular supply of the tumour nodes, and patency of the portal vein. TACE was  
179 performed as selectively as possible. A mixture of metal matrix composite  
180 (Mitomycin-C; Kyowa, Tokyo, Japan) or epirubicin (Nippon Kayaku, Tokyo, Japan)  
181 that was manually emulsified with iodized oil (Lipiodol; Fuji Pharma Co., Tokyo,  
182 Japan) was used for the c-TACE procedures. The dosages of the chemotherapeutic drug  
183 used were determined according to the patient's body surface area and tumour size.  
184 Subsequently, embolization was performed with absorbable gelatin sponge particles  
185 (Gelpart; Nippon Kayaku, Tokyo, Japan) to reduce residual blood flow. DEB (DC Bead;  
186 Eisai Co, Tokyo, Japan) with a diameter of 100-300, 300-500, and 500-700  $\mu\text{m}$  was  
187 used for the DEB-TACE procedures. The dosage of epirubicin was 50 mg, which was

188 equivalent to one vial of drug-eluting beads. Interventional radiologists clinically  
189 decided whether c-TACE or DEB-TACE should be performed.

190

### 191 ***Follow-up and diagnosis of HCC***

192 All patients were followed up at an interval of 1-3 months, the blood count and liver  
193 biochemistry were measured, and the alpha-fetoprotein and des-gamma-carboxy  
194 prothrombin levels were quantitatively detected. Diagnostic imaging either by  
195 ultrasound, CT, or MRI was performed at least once every 3 months.

196

### 197 ***Ethical considerations***

198 Informed consent to use medical records and specimens was obtained from each patient.  
199 These processes and the study protocol were approved by the Ethical Committee of our  
200 institution (confirmation number: 16031421), and conformed to the 1975 Declaration of  
201 Helsinki and the Japanese Ethical Guidelines for Clinical Research (Ministry of Health,  
202 Labour, and Welfare of Japan, Ethical Guidelines for Clinical Research, 2008).

203

### 204 ***Statistical analysis***

205 Continuous variables (albumin, prothrombin time, total bilirubin, alpha-fetoprotein,  
206 Fib-4, PMI, IMAC, and tumour size) were dichotomized with respect to the median  
207 value or clinically meaningful values in a multivariate analysis. A statistical analysis

208 was performed using Wilcoxon's signed rank test and Mann-Whitney's U-test. To  
209 estimate the survival rate after TACE, we used the Kaplan-Meier method and the  
210 log-rank test. To select the optimal cutoff values of TKBR that indicate a poor prognosis  
211 after TACE, the area under the time-dependent receiver operating characteristic curves  
212 [21] was assessed. A Cox proportional hazards regression analysis was performed to  
213 evaluate the risk factors for survival after TACE. A multiple regression analysis was  
214 performed to determine the factors that were associated with TKBR. Age, sex, and  
215 variables with *P*-values of <0.20 were selected and entered into the multiple regression  
216 model. A *P*-value of 0.05 was considered statistically significant. The data analysis was  
217 performed with SPSS ver. 22.0 (SPSS, Chicago, IL, USA).

218

## 219 **RESULTS**

### 220 *Patient characteristics*

221 The baseline characteristics of the 68 patients who were included in this study are  
222 summarized in Table 1. The median age was 73.0 years; 43 patients (63.2%) were male;  
223 branched chain amino acids were administered to 30 (44.1%); and 3 (4.4%), 24 (35.3%),  
224 26 (38.2%), 14 (20.6%), and 1 (1.5%) patients were diagnosed with Barcelona Clinic  
225 liver cancer (BCLC) stage 0, A, B, C, and D cancers, respectively. The median PMI was  
226 5.70 (range: 2.39-8.72 cm<sup>2</sup>/m<sup>2</sup>), the IMAC was -0.274 (range: -0.82 to 0.24); the tumour  
227 size was 2.2 (range: 1.0-15.0 cm), 15 (22.1%) patients were affected in a single nodule,

228 and 21 (30.9%) patients were diagnosed with diabetes mellitus. The median follow-up  
229 period was 272.5 (range: 67-595 days).

230

### 231 ***Total ketone bodies at pre-treatment***

232 The median total ketone body level, 3-OHB, and AcAc at pre-treatment were 63.0  
233 (range: 13-310  $\mu\text{mol/L}$ ), 47.5 (range: 7-222  $\mu\text{mol/L}$ ), and 18.0 (range: 3-100  $\mu\text{mol/L}$ ),  
234 respectively (Figure 1). We divided the 68 patients into two groups stratified by the  
235 median value of the total ketone body level at pre-treatment. In the group of patients  
236 whose total ketone body level was  $\geq 63.0$   $\mu\text{mol/L}$ , the following two factors were  
237 identified as significant in the univariate analysis: IMAC ratio and a history of diabetes  
238 mellitus (Table 2).

239

### 240 ***Chronological changes in the total ketone body levels***

241 Figure 2 shows the changes in the total ketone body levels. The median value of the  
242 total ketone body level at pre-treatment was 63.0 (range: 13-310  $\mu\text{mol/L}$ ) and that of the  
243 total ketone body level at post-treatment was 48.0 (range 8-896  $\mu\text{mol/L}$ ). There was no  
244 significant change in the total ketone body level between pre- and post-treatment  
245 (Figure 2A). However, in 40 patients (58.8%), the total ketone body level after TACE  
246 decreased, and there was an increase in these levels in the other 28 patients (Figure 2B).  
247 The median value of the pre-treatment ketone body ratio (AcAc/3-OHB) was 0.41

248 (range: 0.1-1.3) and that of post-treatment was 0.45 (range: 0.2-2.3). There was no  
249 significant change between the pre- and post-treatment ketone body ratios (data not  
250 shown).

251

### 252 ***Cumulative survival rate after TACE***

253 During the follow-up period, 16 of the 68 patients (23.5%) died. The cumulative  
254 survival rate was 97.1% at 100 days, 86.2% at 200 days, and 76.6% at 300 days. To  
255 evaluate the relationship between the total ketone body level and survival after TACE,  
256 we characterized these 68 patients according to their total ketone body level at  
257 pre-treatment and TKBR (post-treatment/pre-treatment total ketone bodies). Figure 3A  
258 shows the survival rate after TACE, stratified by the median value of the total ketone  
259 bodies at pre-treatment. There were no significant differences in the survival rate  
260 between total ketone bodies  $\geq 63.0$   $\mu\text{mol/L}$  group and  $< 63.0$   $\mu\text{mol/L}$  group at  
261 pre-treatment ( $P=0.61$  in the log-rank test). Figure 3B shows the survival rate after  
262 TACE, stratified by the TKBR. The 200, 300, and 400-day survival rates were 86.6%,  
263 86.6%, and 81.5%, respectively, in the 40 patients with  $\text{TKBR} < 1$  (reduced group); and  
264 86.0%, 59.0%, and 50.6%, respectively, in the 28 patients with  $\text{TKBR} \geq 1$  (raised group).  
265 The survival rates were significantly higher in the reduced group than in the raised  
266 group ( $P < 0.05$  in the log-rank test).

267

**268 *Risk factors for survival after TACE***

269 The univariate analysis demonstrated the factors that influence the risk for survival after

270 TACE. A Cox regression analysis was performed for 18 variables: age, sex, branched

271 chain amino acid levels, BCLC stage, albumin, prothrombin time, total bilirubin,

272 Child-Pugh grade, alpha fetoprotein, Fib-4 index, PMI, IMAC, tumour size, tumour

273 number, diagnosis of diabetes mellitus, type of TACE, total ketone body level, and

274 TKBR. The following three factors were identified as risk factors for survival after

275 TACE using the univariate analysis ( $P<0.20$ ): Fib-4 index, tumour size, and TKBR

276 (Table 3).

277

278 A multivariate analysis was performed on the three factors (Fib-4 index, tumour size,

279 and TKBR) identified via univariate analysis ( $P<0.20$ ). TKBR ( $\geq 1$ , hazard ratio: 2.954,

280 95% confidence interval: 1.040-8.390,  $P=0.030$ ) was identified as an independent and

281 significant risk factor of patient prognosis after TACE (Table 3).

282

**283 *Predictors for TKBR***

284 We divided the patients into two groups, stratified by TKBR. The following nine

285 significant ( $P<0.20$ ) factors were identified in the TKBR raised group in the univariate

286 analysis: age, sex, BCLC stage, prothrombin time, total bilirubin, PMI, IMAC, tumour

287 size, and diagnosis of diabetes mellitus. Multivariable logistic-regression models were  
288 prepared to estimate the predictors for the TKBR. A multivariate logistic regression  
289 analysis revealed that the IMAC ( $>-0.2745$ , odds ratio: 3.958, 95% confidence interval:  
290 1.137-13.779,  $P=0.031$ ) and tumour size ( $>2.2$  cm, odds ratio: 4.115, 95% confidence  
291 interval: 1.072-15.796,  $P=0.039$ ) were predictors for the TKBR (Table 4).

292

### 293 *Correlation between the TKBR and IMAC*

294 Figure 4 shows a scatter plot of the TKBR and the IMAC. The values that were obtained  
295 for the TKBR and IMAC groups in the Spearman's rank order correlation test  
296 ( $r_s=0.358$ ) showed that there was a positive correlation between the groups ( $P=0.003$ ).

297

## 298 **DISCUSSION**

299 Ketone bodies are small lipid-derived molecules that are a source of energy for the  
300 peripheral tissues during fasting or prolonged exercise [22]. During fasting, the muscle  
301 and liver stores of glycogen are depleted first. Then, fatty acids are transported to the  
302 liver for conversion to ketone bodies. Patients with advanced liver disease particularly  
303 have increased fat oxidation [11]. The production of ketone bodies plays an important  
304 role in metabolites. Serum ketone bodies are defined by a variety of factors, such as  
305 energy metabolism, circumstances (starving, prolonged exercise, low-carbohydrate diets,  
306 and diabetes mellitus), liver conditions, and the extra-hepatic tissues (heart, kidney,



307 brain, and skeletal muscle). Previous studies have focused on arterial ketone bodies and  
308 liver function [14, 16]. Conversely, the extra-hepatic tissues are assumed to influence  
309 venous ketone bodies.

310

311 The first main finding of our study was that venous ketone bodies had different  
312 dynamics from those of arterial ketone bodies. Previous studies showed a relationship  
313 between the arterial ketone body ratio (AcAc/3-OHB) and hepatic reserve function [15,  
314 16]. However, there was no relationship between venous ketone bodies and hepatic  
315 reserve function in our study (Tables 2 and 4). Furthermore, there was no significant  
316 change in the venous ketone body ratio (AcAc/3-OHB) after TACE. Recent studies  
317 reported that ketone bodies regulate metabolism and 3-OHB signals via extracellular  
318 receptors, and endogenously inhibit histone deacetylases [23]. Suppression of oxidative  
319 stress due to 3-OHB may benefit organs after a patient undergoes TACE. In our study, in  
320 more than half of the patients, the total ketone body level decreased after TACE. Thus,  
321 the elevation of ketone bodies 7 days after TACE does not solely reflect ischemic  
322 changes.

323

324 The second main finding of our study was that the TKBR can predict the patient's  
325 prognosis after undergoing TACE. We were able to stratify the patients into different  
326 risk groups using the TKBR (Figure 3). The multivariate analysis revealed that the

327 TKBR was the most significant factor for survival after TACE (Table 3). There was no  
328 correlation between the TKBR and hepatic functional reserve. The reason why TKBR  
329 predicts prognosis was unclear. However, our study revealed that elevation of the total  
330 ketone body level was related to an extra-hepatic factor (skeletal muscle).

331

332 The third main finding of our study was that the quality of the skeletal muscle (IMAC)  
333 affected the ketone body level after TACE. The multivariate analysis revealed that the  
334 quality of the skeletal muscle (IMAC) was the significant factor that predicted the  
335 TKBR (Table 4). We observed that the TKBR significantly correlated with the IMAC  
336 (Figure 4). A high TKBR allowed us to identify patients with low muscle quality.

337 Skeletal muscle depletion, which indicates a low quantity and quality of skeletal muscle,  
338 is referred to as sarcopenia and predicts mortality in patients with advanced liver disease  
339 [24-26]. Based on these considerations, we suggest that the TKBR does not reflect the  
340 hepatic reserve function, but rather the nutritional status of patients with HCC who  
341 underwent TACE. Venous ketone bodies may be associated with nutritional status and  
342 sarcopenia in HCC patients.

343

344 The present study was limited by its retrospective nature. A future prospective analysis  
345 is needed to validate the efficacy of the total ketone body ratio to predict patients'  
346 prognoses after undergoing TACE. Another limitation is that there were no definite

347 criteria to estimate the quantity and quality of the skeletal muscle. In addition, the  
348 ketone bodies were influenced by several factors (food intake, administration of drugs,  
349 and exercise). Other elements, which we did not evaluate, might have affected the  
350 ketone body level. Impaired performance status, advanced stages of disease, and poor  
351 hepatic reserve function were associated with shorter survival of patients with HCC [27].  
352 In our study, the Child-Pugh grade or BCLC stage was not a significant factor for  
353 survival in patients who underwent TACE. One plausible explanation is that our study  
354 consisted of patients with similar backgrounds regarding liver function and disease  
355 progression. Furthermore, the small number of participants in this study is also a  
356 limitation.

357

358 Regardless of these limitations, this is the first report to confirm the relationship  
359 between venous ketone bodies and treatment of HCC. In addition, the TKBR may  
360 predict the patient's prognosis and be related to the quality of skeletal muscle. Several  
361 studies reported that the quantity and quality of the skeletal muscle are important for  
362 achieving good clinical outcomes in patients with advanced liver diseases [5, 24-26, 28].  
363 Increasing the skeletal muscle mass and function may be a possible therapeutic target to  
364 improve the prognosis of patients with advanced HCC. Therefore, the prediction of  
365 patients with poor prognosis after treatment is of increasing clinical relevance.

366

367 **CONCLUSION**

368 In conclusion, this study revealed that there was an association between venous ketone

369 bodies and survival of HCC patients who underwent TACE. Furthermore, the venous

370 ketone bodies in HCC patients who underwent TACE were useful to predict skeletal

371 muscle quality. The results suggest that venous ketone bodies could be a new predictor

372 of prognosis of HCC patients after TACE.

373

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449



450 **Table 1. Characteristics of the patients enrolled in the present study**

Factor	N (range, %)
Age, y	73.0 (53-86)
Sex, male	43 (63.2%)
Period, days	272.5 (67-595)
BCAA, +	30 (44.1%)
BCLC stage 0/A/B/C/D	3/24/26/14/1
Albumin, g/dL	3.30 (2.0-4.3)
PT-INR	1.110 (0.95-2.06)
Total bilirubin, mg/dL	0.80 (0.3-2.2)
Child-Pugh grade A/B/C	44/23/1
AFP, ng/mL	23.45 (1.6-20182.0)
Fib-4 index	5.98 (1.2-14.0)
PMI, cm <sup>2</sup> /m <sup>2</sup>	5.7005 (2.392-8.729)
IMAC ratio	-0.2745 (-0.827-0.239)
Tumour size, cm	2.20 (1.0-15.0)
Tumour number, single	15 (22.1%)
Aetiology	10/34/13/11
B/C/NBNC/alcohol	
DM	21 (30.9%)
TACE (conventional/DEB)	52/16

451 Data are given as the medians with ranges. Data were collected at pre-treatment.  
452 Abbreviations: BCAA, branched chain amino acids; BCLC stage, Barcelona Clinic liver  
453 cancer stage; PT, prothrombin time; AFP,  $\alpha$ -fetoprotein; PMI, psoas muscle mass index;  
454 IMAC, intramuscular adipose tissue content; HBV, hepatitis B virus; HCV, hepatitis C  
455 virus; DM, diabetes mellitus; TACE, transcatheter arterial chemoembolization; DEB,  
456 drug eluting beads.  
457

1 **Table 2. Characteristics of the two groups, stratified by the median value of total ketone body level at pre-treatment**

Factor	Total ketone bodies	Total ketone bodies	<i>P</i>
	<63 µmol/L (n=32)	≥63 µmol/L (n=36)	
Age, years	73.5 (60-86)	73.0 (53-85)	0.60
Sex, male/female	19/13	24/12	0.53
BCAA, -/+	18/14	20/16	0.95
BCLC stage 0/A/B/C/D	2/12/12/5/1	1/12/14/9/0	0.45
Albumin, g/dL	3.30 (2.0-4.2)	3.30 (2.6-4.3)	0.63
PT-INR	1.105 (0.95-2.06)	1.110 (0.97-1.71)	0.94
Total bilirubin, mg/dL	0.80 (0.4-2.0)	0.90 (0.3-2.2)	0.27
Child-Pugh grade A/B/C	17/14/1	27/9/0	0.12
AFP, ng/mL	13.00 (1.9-16326.0)	26.75 (1.6-20182.0)	0.27
Fib-4 index	6.69 (1.4-14.0)	5.80 (1.2-13.9)	0.34
PMI, cm <sup>2</sup> /m <sup>2</sup>	5.2110 (2.392-7.546)	5.8340 (3.285-8.729)	0.07
IMAC ratio	-0.2335 (-0.53-0.239)	-0.3425 (-0.827-0.056)	< 0.01
Tumour size, cm	2.10 (1.0-5.0)	2.20 (1.0-15.0)	0.27
Tumour number, single/multiple	9/23	6/30	0.25
Aetiology HBV/HCV/non B non C/alcohol	2/20/6/4	8/14/7/7	0.84
DM, -/+	26/6	18/18	< 0.01
TACE conventional/DEB	24/8	28/8	0.78

2 Data are given as the medians with ranges. Data were collected at pre-treatment. Abbreviations: BCAA, branched chain amino acids; BCLC stage,  
3 Barcelona Clinic liver cancer stage; PT, prothrombin time; AFP, α-fetoprotein; PMI, psoas muscle mass index; IMAC, intramuscular adipose tissue

1 content; DM, diabetes mellitus; TACE, transcatheter arterial chemoembolization; DEB, drug eluting beads. A chi-squared and Mann-Whitney's U tests  
2 were performed for comparisons.  
3

1 **Table 3. Risk factors associated with survival after TACE**

Risk factor	Univariate analysis		Multivariate analysis		
		<i>P</i>	Hazard ratio	(95% confidence interval)	<i>P</i>
Age, years	>73	0.21			
Sex	Male	0.74			
BCAA	+	0.22			
BCLC stage	B/C/D	0.35			
Albumin, g/dL	>3.3	0.81			
PT-INR	<1.110	0.38			
Total bilirubin, mg/dL	>0.8	0.65			
Child-Pugh grade	B/C	0.92			
AFP, ng/mL	>23.45	0.87			
Fib-4 index	>6.0	0.19	0.522	(0.183-1.485)	0.223
PMI, cm <sup>2</sup> /m <sup>2</sup>	<5.70	0.38			
IMAC ratio	>-0.2745	0.48			
Tumour size. Cm	>2.20	0.11	1.272	(0.459-3.520)	0.642
Tumour number	Multiple	0.63			
DM	+	0.77			
TACE	DEB	0.33			
Total ketone bodies, μmol/L	≥63.0	0.61			
TKBR	≥1	<0.01	2.954	(1.040-8.390)	0.03

1 Table 3. Risk factors associated with survival after TACE Hazard ratios for the development of hepatocellular carcinoma were calculated using Cox  
2 proportional hazards analysis. Abbreviations: BCAA, branched chain amino acids; BCLC stage, Barcelona Clinic liver cancer stage; PT, prothrombin  
3 time; AFP,  $\alpha$ -fetoprotein; PMI, psoas muscle mass index; IMAC, intramuscular adipose tissue content; DM, diabetes mellitus; TACE, transcatheter  
4 arterial chemoembolization; DEB, drug eluting beads; TKBR, total ketone body ratio.

5

1 **Table 4. Predictors of the total ketone body ratio (total ketone bodies on day 7/total ketone bodies on day 0)**

		Multivariate model		
Independent variables		Odds ratio	(95% confidence interval)	<i>P</i>
Age, years	≤73	1	(reference)	0.638
	>73	1.319	(0.416-4.182)	
Gender	Male	1	(reference)	0.544
	Female	1.551	(0.376-6.398)	
BCLC stage	0/A	1	(reference)	0.527
	B/C/D	0.641	(0.162-2.543)	
PT-INR	≤1.110	1	(reference)	0.526
	>1.110	0.682	(0.210-2.221)	
Total bilirubin, mg/dL	≤0.8	1	(reference)	0.071
	>0.8	0.325	(0.096-1.101)	
PMI, cm <sup>2</sup> /m <sup>2</sup>	≥5.70	1	(reference)	0.187
	<5.70	2.426	(0.651-9.035)	
IMAC	≤-0.2745	1	(reference)	0.031
	>-0.2745	3.958	(1.137-13.779)	
Tumour size, cm	≤2.2	1	(reference)	0.039
	>2.2	4.115	(1.072-15.796)	
DM	-	1	(reference)	0.768
	+	0.823	(0.226-3.001)	

1 Multivariable logistic-regression models were used to estimate the predictors for the total ketone body ratio. Variables were included in the model  
2 based on the univariate analysis ( $P<0.20$ ). Abbreviations: PMI, psoas muscle mass index; IMAC, intramuscular adipose content; DM, diabetes  
3 mellitus.

4

## 1 **Figure legends**

### 2 **Figure 1: Scatter plots of venous total ketone bodies, 3-hydroxybutyrate, and** 3 **acetoacetate**

4 The median values are indicated by the horizontal bars in the scatter plot. In the box  
5 plot, the bottom and top of the box are the 25th and 75th percentiles (the lower and  
6 upper quartiles), respectively.

### 7 8 **Figure 2: Chronological changes in the total ketone body levels**

9 Chronological changes in the total ketone body level at pre-treatment (day 0) and  
10 post-treatment (day 7) of the 68 HCC patients who underwent TACE. (a): The total  
11 ketone bodies were not significantly changed after treatment. The dots represent the  
12 median serum total ketone body values at each time point, and the error bar represents  
13 the interquartile range. (b): The changes in the total ketone body level in individual  
14 patients. The solid line indicates the group of patients in whom the level increased  
15 (n=28). The dashed line indicates those in whom the level decreased (n=40).

16 Wilcoxon's signed-rank test was performed for comparisons.

17 HCC, hepatocellular carcinoma; TACE: transcatheter arterial chemoembolization

18

### 19 **Figure 3: Overall survival of HCC patients after undergoing TACE**

20 Overall survival according to (a) the total ketone body values at pre-treatment and (b)



1 the TKBR. The survival rates were analysed using the Kaplan-Meier method. The  
2 black solid lines indicate the stratified (a) total ketone body values at pre-treatment that  
3 were  $\geq 63 \mu\text{mol/L}$  and  $<63 \mu\text{mol/L}$ , and (b) the TKBR  $\geq 1$  and  $<1$ , respectively. (b) The  
4 incidence rate differed significantly between the two groups ( $P < 0.05$ , in the log-rank  
5 test).

6 HCC, hepatocellular carcinoma; TACE, transcatheter arterial chemoembolization;

7 TKBR, total ketone body ratio

8

#### 9 **Figure 4: Correlation between the TKBR and IMAC**

10 Scatter plot of the TKBR and IMAC. The values that were obtained for the TKBR and  
11 IMAC groups using Spearman's rank order correlation test ( $r_s = 0.358$ ) showed the  
12 presence of positive correlations between the groups ( $P = 0.003$ ).

13 TKBR, total ketone body ratio; IMAC; intramuscular adipose content

14

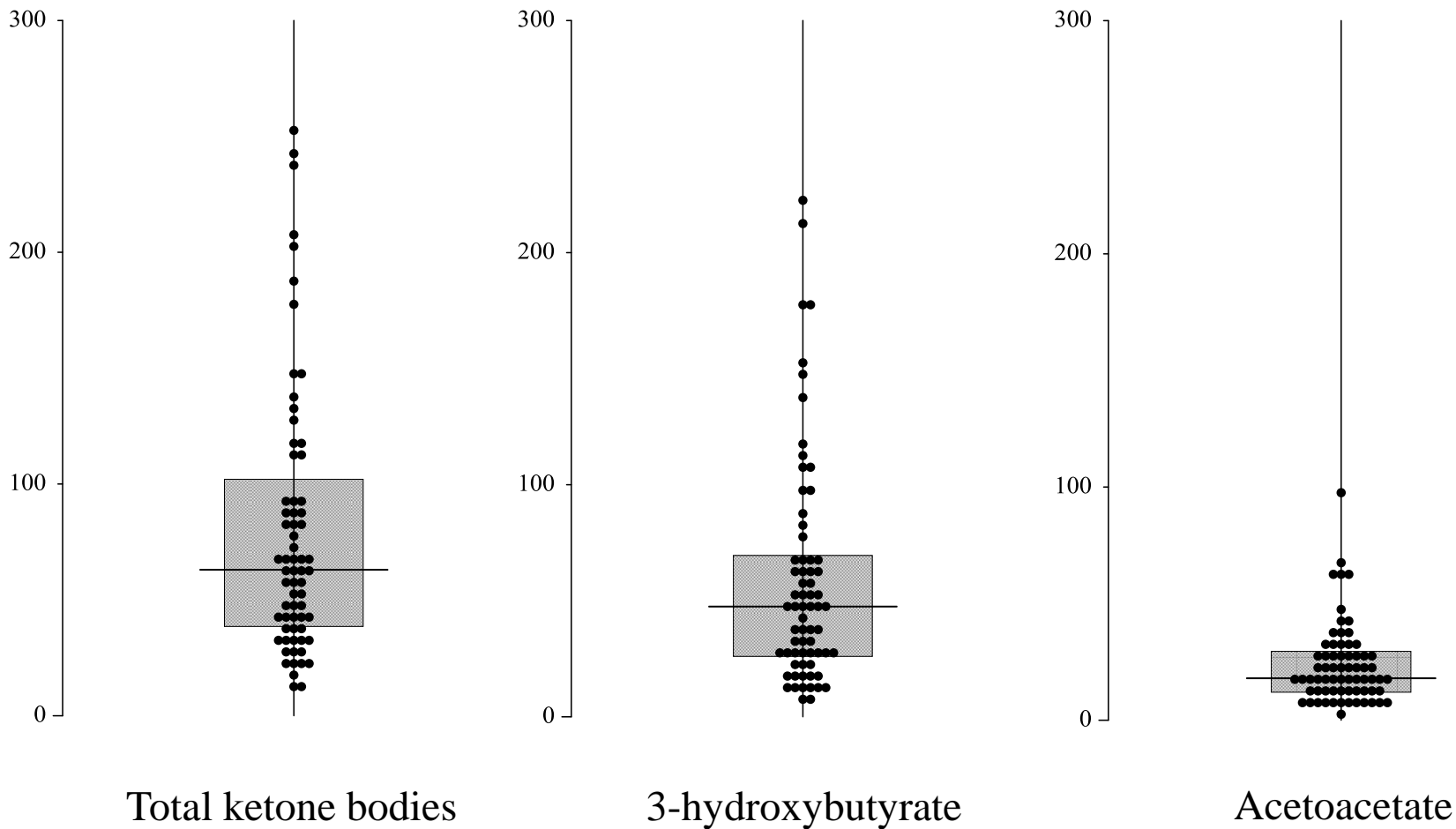
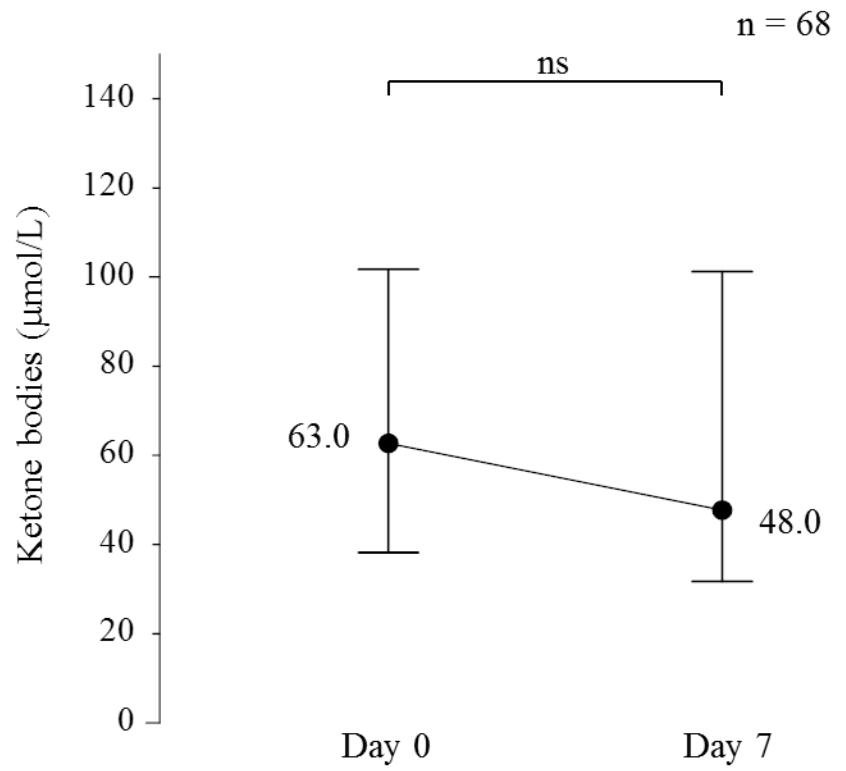


Figure 1. Scatter plot of venous total ketone bodies, 3-hydroxybutyrate and acetoacetate

a



b

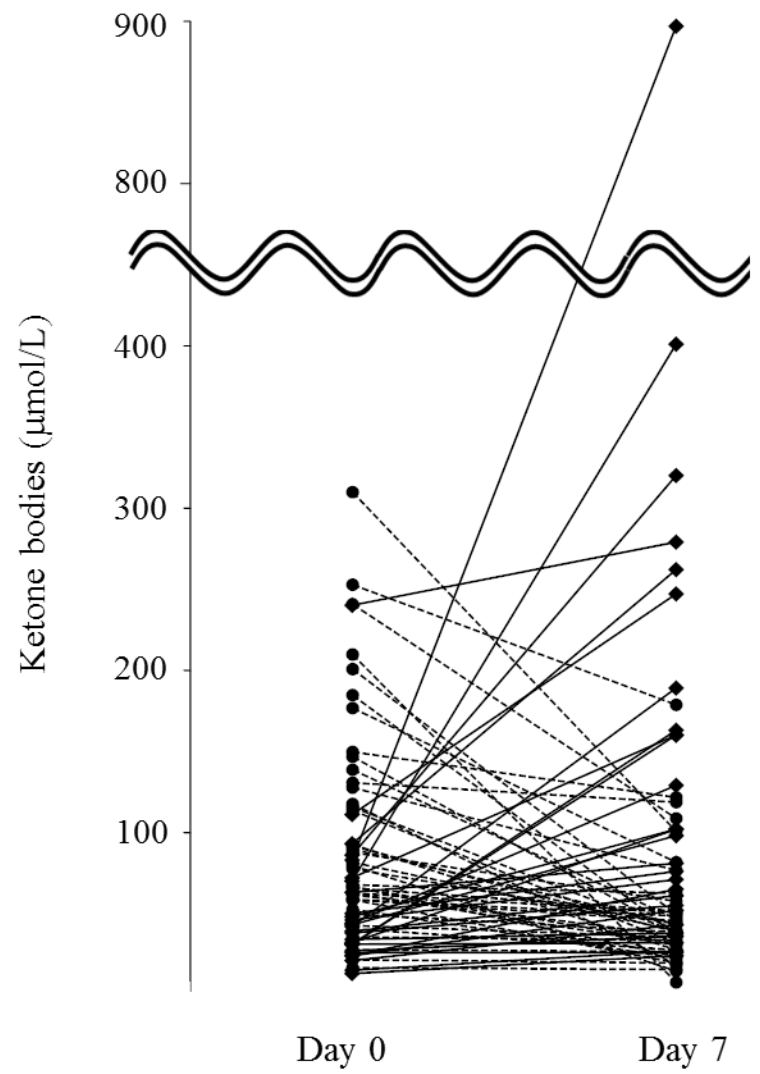
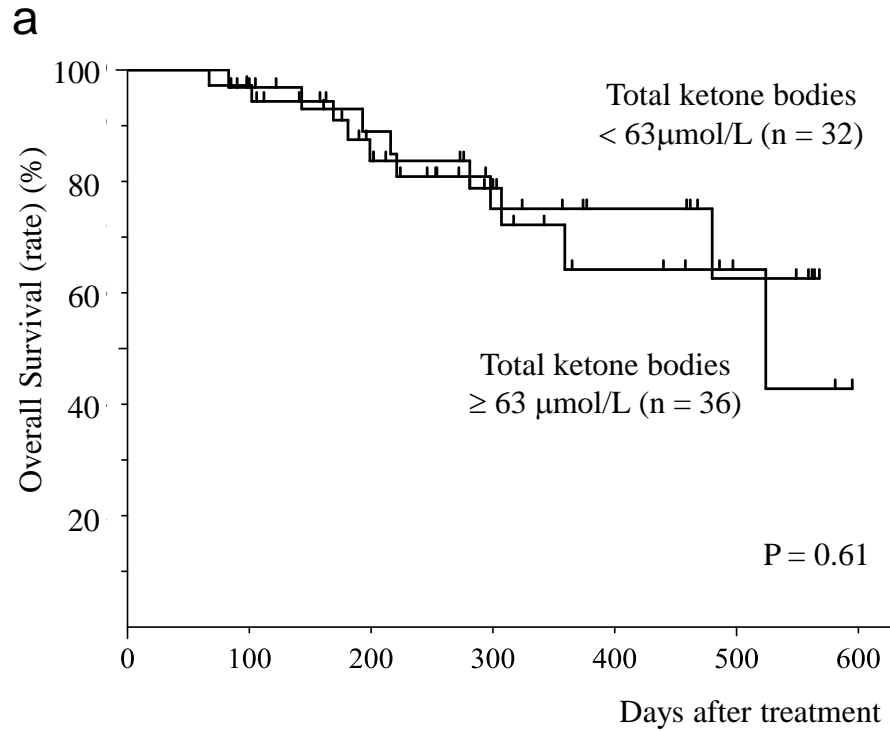
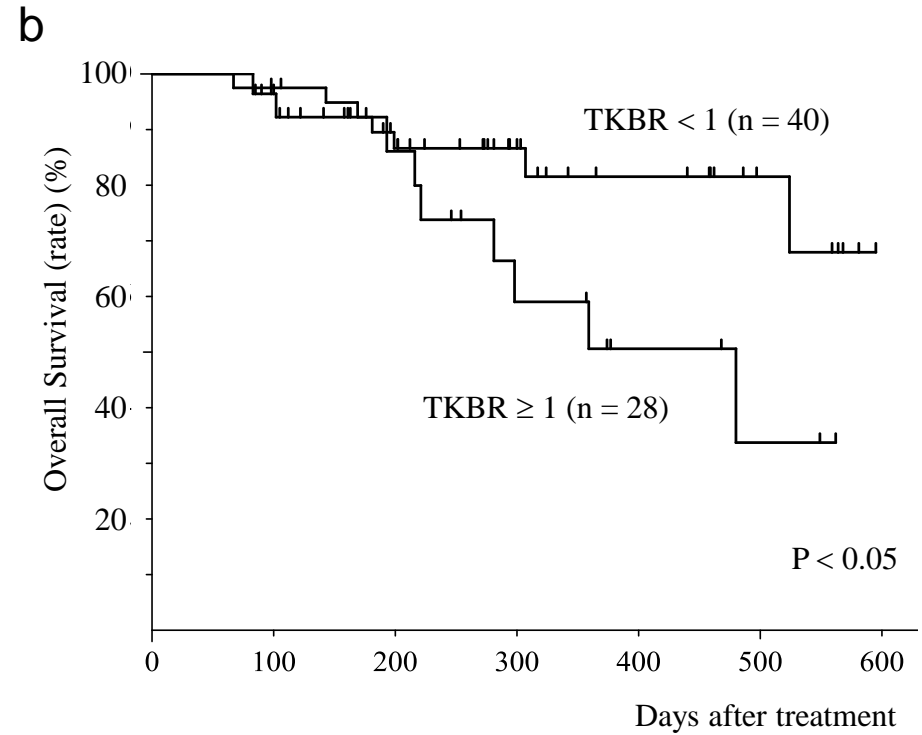


Figure 2. Chronological changes in the total ketone bodies and ketone body levels



Overall Survival (rate) (%)	100-day	200-day	300-day	400-day
Total ketone bodies < 63 $\mu\text{mol/L}$ (n = 32)	96.9%	88.9%	75.1%	75.1%
Total ketone bodies $\geq 63 \mu\text{mol/L}$ (n = 36)	97.2%	83.6%	78.7%	64.1%



Overall Survival (rate) (%)	100-day	200-day	300-day	400-day
TKBR < 1 (n = 40)	97.5%	86.6%	86.6%	81.5%
TKBR $\geq 1$ (n = 28)	96.4%	86.0%	59.0%	50.6%

Figure 3. Overall survival of HCC patients after TACE

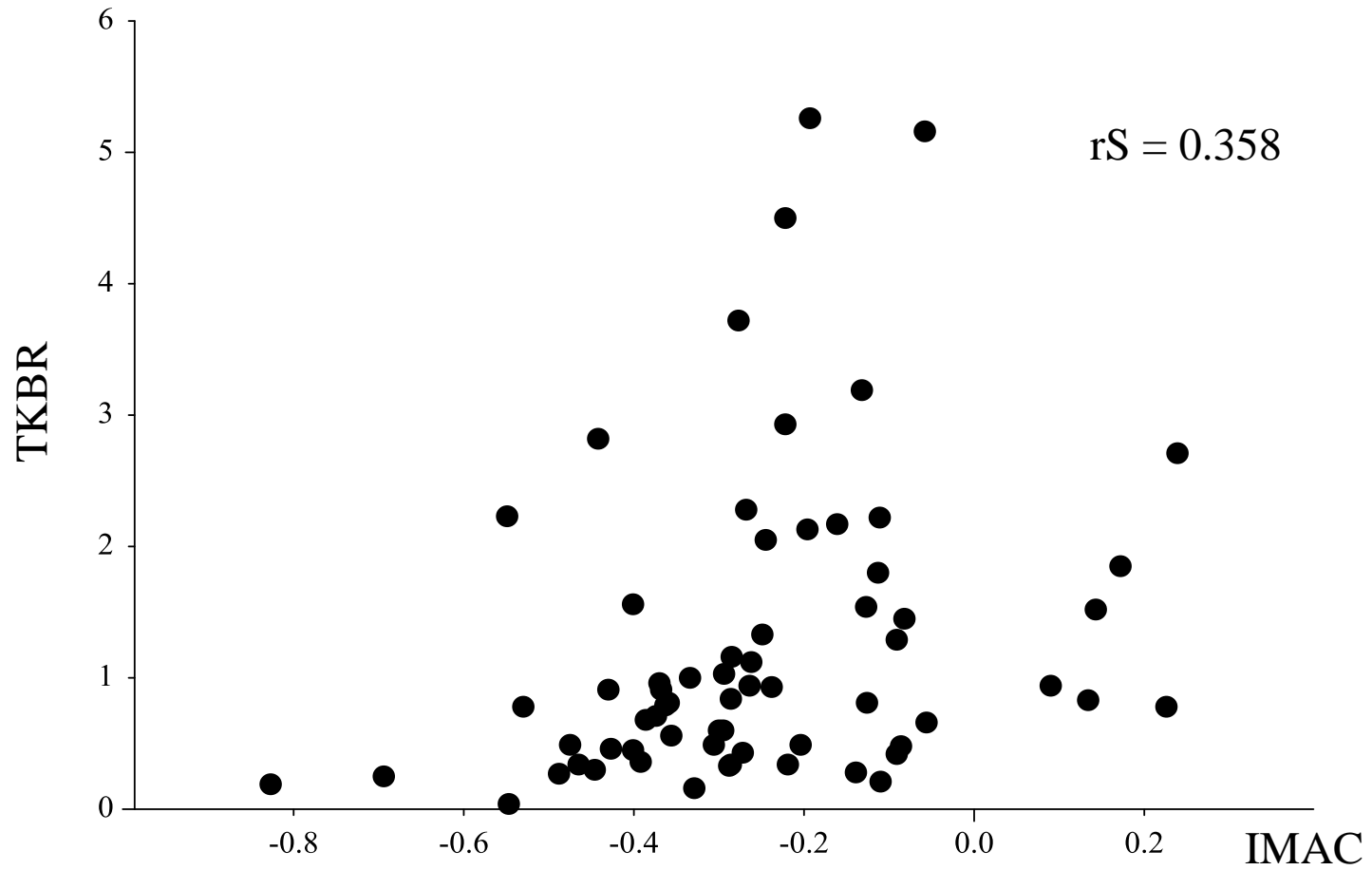


Figure 4. Correlation between the total ketone bodies ratio and intramuscular adipose content