

Clinicopathological Study of Primary Intraosseous Squamous Cell Carcinoma of the Jaw and a Review of the Literature

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1 **Abstract**

2 **Background:** Primary intraosseous squamous cell carcinoma (PIOSCC) is a rare
3 malignant odontogenic tumor that originates from odontogenic epithelial remnants. It is
4 often difficult to definitively diagnose PIOSCC, and hence, extraction or surgical
5 treatment is performed prior to initial diagnosis in most cases. In the present study, we
6 aimed to examine new insights into and prognostic factors of PIOSCC patients admitted
7 to our department.

8 **Methods:** We extensively reviewed the records of patients who underwent radical
9 surgery for PIOSCC between January 2001 and December 2014.

10 **Results:** Among all the cases of OSCC, the frequency of PIOSCC was 1.45%. The
11 2-year relapse-free survival (RFS) and overall survival (OS) rates were found to be
12 50.0% and 41.6% in all cases, respectively. Three patients underwent surgery or tooth
13 extraction prior to the initial diagnosis; in fact, intervention prior to initial diagnosis was
14 found to be a significantly poor prognostic factor for RFS and OS. In contrast, patients
15 who were not treated before the initial diagnosis was made, did not exhibit any
16 loco-regional recurrence.

17 **Conclusions:** The treatment of PIOSCC should be similar to that for oral cancer with
18 clinical stage T3N0 or higher in the National Comprehensive Cancer Network (NCCN)
19 Clinical Practice Guidelines. In addition, the cases of PIOSCC that are not treated prior
20 to the initial diagnosis are more likely to obtain a good prognosis.

21

22 **Key words:** Primary intraosseous squamous cell carcinoma, extraction, prognostic
23 factor

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1 **Introduction**

2 The World Health Organization (WHO) in 2005 described that ameloblastic
3 carcinomas and primary intraosseous squamous cell carcinoma (PIOSCC) are
4 odontogenic carcinomas that originate from odontogenic epithelial remnants¹. Although
5 the pathogenesis of PIOSCC remains unclear, chronic inflammation from an
6 odontogenic infection has been hypothesized as a key factor in carcinogenesis.² A
7 comparison of PIOSCC and mucosal OSCC suggested that the carcinogenic process
8 may be markedly similar, but that both tumors exhibit different sets of oncogenes and
9 tumor markers, which would indicate different genetic pathways.³

10 In 2005, the WHO indicated 3 subcategories of PIOSCC: a solid tumor that invades
11 the marrow spaces and induces osseous resorption, squamous cancer that arises from the
12 lining of an odontogenic cyst, and a squamous cell carcinoma in association with other
13 benign epithelial odontogenic tumors.¹ Waldron and Mustoe proposed a different
14 classification that has been widely accepted; however, certain more recently described
15 types of odontogenic epithelial malignancies are not included.⁴ It is often difficult to
16 definitively diagnose PIOSCC as the lesions need to be distinguished from alveolar
17 carcinoma that could invade the bone from the overlying soft tissues or from tumors that
18 have metastasized to the jaw from a distant site and from primary tumors of maxillary
19 sinus origin.⁵

20 The overall prognosis for patients with PIOSCC is reportedly poor. The primarily
21 causes for this poor prognosis include the vague symptoms and aggressive behavior of
22 tumor cells, which lead to tumor growth, frequent local recurrence and lymph node
23 metastasis.⁶⁻⁹ However, the WHO 2005 report^{1,10} and National Comprehensive Cancer
24 Network (NCCN) Clinical Practice Guidelines¹¹ have not described the T classification
25 of PIOSCC in detail or the standard therapy for this condition; moreover, several other

1 clinical features of PIOSCC remain unclear.

2 In the present study, we reviewed cases of PIOSCC that underwent radical surgery, and
3 elucidated certain novel insights and prognostic factors.

4

5 **Materials and Methods**

6 *Patients*

7 We retrospectively reviewed the records of patients who underwent radical surgery
8 for PIOSCC between January 2001 and December 2014, and those who were followed
9 for >1 year. This study was approved by the independent ethics committee of our
10 hospital. Tumor stage was classified according to the TNM classification of the
11 International Union Against Cancer,¹⁰ whereas histologic tumor differentiation was
12 defined according to the WHO classification.

13 All the study patients underwent extensive pretreatment evaluation, including
14 physical examination, computed tomography (CT), magnetic resonance imaging (MRI),
15 and ultrasonography (US). The diagnostic criteria of PIOSCC included the absence of
16 an initial connection with the overlying mucosa or skin and the exclusion of metastasis
17 from a distant primary site or association with another odontogenic tumor or maxillary
18 sinus. In addition, the information obtained from clinical findings was thoroughly
19 evaluated.

20

21 *Statistical analysis*

22 The relapse-free survival (RFS) and overall survival (OS) rates were calculated by
23 using the Kaplan-Meier method and compared by using the log-rank test. P values of <
24 0.05 were considered significant.

25

1 **Results**

2 *Patient characteristics*

3 The clinicopathological characteristics of the patients are summarized in Table 1.
4 During the 11-year period between January 2001 and December 2014, 6 of 414 patients
5 (1.45%) were diagnosed with PIOSCC, including 5 male and 1 female patient. The
6 mean patient age was 71 years (range, 59–81 years). The chief complaints included
7 non-healing of the extracted socket, pain and swelling, or swelling with sensory
8 disturbance of the region. Three patients underwent surgery or tooth extraction prior to
9 the initial diagnosis, and the duration after surgery was either 1 month or 2 months.
10 Positive nodes were detected in 4 patients, although distant metastasis was not observed
11 in any of the patients on imaging. A panoramic examination indicated the presence of a
12 radiolucent lesion in all the cases, and the lesion borders were found to be well-defined
13 in 2 cases (Fig 1A and B), irregular in 3 cases (Fig 2A and B), and non-evaluable in 1
14 case. The greatest dimension of the lesions ranged from 25 to 56mm. Biopsy was
15 performed in 5 patients, and the duration between biopsy and surgery ranged from 8 to
16 36 days.

17 18 *Treatment and outcome*

19 The treatment courses of the patients are summarized in Table 2. Initial treatment
20 involved the excision of the primary tumor at the time of neck dissection or excision
21 only in all the cases; thereafter, postoperative radiotherapy (RT; total 66Gy) with or
22 without concurrent chemotherapy (60-100mg/m² Cisplatin [CDDP]) was performed in
23 patients with adverse risk features according to the NCCN Clinical Practice Guidelines.
24 Pathological lymph node metastasis was detected in 3 patients, and positive
25 extracapsular spread (ECS) was observed in 1 patient. Recurrence or distant metastasis

1 was noted in 3 patients, and salvage surgery was required in 2 patients. After the surgery,
2 since 2 patients had unresectable recurrent tumors, systemic chemotherapy (400 mg/m²
3 for the first injection and 250mg/m² Cetuximab, 60-80mg/m² Paclitaxel) was performed.
4 Since 1 patient had unresectable recurrent tumors and multiple distant metastases,
5 palliative treatment was performed. Three patients died because of local failure,
6 regional failure, or distant metastasis. At present, the remaining 3 patients are being
7 closely followed (duration of follow-up, 12–74 months). Three patients did not exhibit
8 any evidence of the disease at the final follow-up.

9

10 *RFS and OS rates*

11 The 2-year RFS and OS rates were found to be 50.0% and 41.6% in all cases,
12 respectively (Fig 3). Moreover, the patients were classified into 2 different groups:
13 (Group A) intervention group, wherein surgery or extraction was performed prior to the
14 initial diagnosis; and (Group B) non-intervention group, wherein no surgery or
15 extraction was performed prior to the initial diagnosis. The RFS and OS rates were
16 examined between the groups. The 1-year RFS rates in the intervention and
17 non-intervention groups were 0% and 100%, respectively (P = 0.0246; Fig 4A), and a
18 significant correlation in this value was observed between the groups. Moreover, the
19 1-year OS rates in the intervention and non-intervention groups were found to be 66.7%
20 and 100%, respectively (P = 0.052; Fig 4B).

21

22 *Histopathological findings*

23 The surface of the tumor was covered by non-ulcerative mucosa without moderate to
24 severe dysplasia or carcinoma in all cases (Fig 5A). With regard to the differentiation
25 of PIOSCC according to the WHO classification, 3 cases exhibited a

1 well-differentiated tumor, 2 cases exhibited a moderately differentiated tumor, and 1
2 case exhibited a poorly differentiated tumor. In cases where the PIOSCC arose from
3 cystic lesions, the lesions exhibited hypokeratinizing tumor cells that formed relatively
4 round or ovoid tumor nests with a palisading arrangement of basal cells (Fig 5B).
5 Although the sequences between the cyst epithelium and tumor epithelium were not
6 clear, this tumor was confirmed as a PIOSCC originating from odontogenic cysts
7 because cytokeratin 19 immunohistochemical staining yielded positive results in the
8 tumor cells but not in the oral mucosa (Fig 5C). In cases where the PIOSCC arose de
9 novo, the growth of tumor cells was observed in the bone marrow of the mandible (Fig
10 5D). This tumor was not associated with any cystic component and appeared to arise
11 de novo.

12

13 **Discussion**

14 Both the WHO 2005 report and NCCN Clinical Practice Guidelines have not provided
15 detailed information regarding PIOSCC, and hence, further insights and data on
16 prognostic factors could help better understand the condition and develop novel
17 treatments. In the present study, we aimed to elucidate new insights and the prognostic
18 factors of PIOSCC.

19 PIOSCC is a rare malignant odontogenic tumor that accounts of <2% of all cases of
20 oral SCC.^{12,13} The most common site of PIOSCC ranges from the retromolar region to
21 the ramus of the mandible; the ratio of occurrence at the mandible and maxilla has been
22 found to be 4:1.^{7,14} In the present study, we detected 6 cases of PIOSCC in a series of
23 414 cases of oral SCC; the ratio of occurrence at the mandible and maxilla was similar
24 in these cases.

25 The radiologic findings of PIOSCC are varied, including radiolucent appearance,

1 well-defined lesions with cortical preservation, small or massive amounts of bone
2 resorption, and more aggressive forms with irregular borders.¹⁵ Kaffe et al reported that
3 the radiologic borders of PIOSCC were defined, but non-corticated, in 57% of cases and
4 diffuse in 43% of cases; hence, this feature could be used as a criterion for diagnosis.¹⁴
5 To our knowledge, no cases of root resorption or tooth displacement have been reported
6 thus far. In the present study, we noted 3 cases with diffuse and irregular borders and 2
7 cases with defined borders that were non-corticated.

8 With regard to the histopathologic features of PIOSCC, tumors that arise from the
9 cystic component reportedly show well-differentiated keratinizing carcinomas. In
10 contrast, tumors with de novo origin show less-differentiated keratinizing or
11 non-keratinizing carcinomas.¹⁶ In the present study, however, the histopathologic
12 features were not associated with the site of origin.

13 Most studies, including case reports, have indicated that the prognosis of PIOSCC is
14 poor.^{6,9,16} One of the reasons underlying this poor prognosis is that the PIOSCC
15 symptoms are vague, and usually involve pain, swelling, and sensory paralysis in the
16 lower lip; moreover, except for cases that are detected incidentally during radiography,
17 the tumor cells in these cases have already invaded the extra jawbone prior to the initial
18 diagnosis.^{6,7,14} In the present study, none of the cases exhibited tumor cells that were
19 strictly confined to the jawbone.

20 Furthermore, the rate of metastasis in cases of PIOSCC is reported to be 18.1–
21 51%,^{6,7,9,17} with marked differences between tumors of de novo (36.5%) and cystic
22 origin (4.4%).⁹ In the present study, 3 cases were diagnosed as pN (+) and were found to
23 have tumors of de novo origin. In addition, in PIOSCC cases, the local recurrence rate is
24 reportedly 50–60%,^{6,18} whereas the 5-year survival rate is reportedly 30–40%,^{7,8} with a
25 2-year survival rate of 40–68%.^{6,7,18} In the present study, local recurrence was only

1 observed in 1 case and the RFS and OS rates were similar among the cases.

2 The NCCN Clinical Practice Guidelines have not described any standard therapy for
3 PIOSCC. However, since the survival rate is consistent with that of other stage IV oral
4 cavity lesions,^{7,8} we believe that the treatment should be similar to that for oral cavity
5 cancers with clinical stage T3N0 or greater. Thus, in the present study, surgery and
6 postoperative RT, with or without concurrent chemotherapy, was performed for
7 high-risk cases, including those with extracapsular spread of lymph node metastasis and
8 positive margins. Elective neck dissection is generally recommended even in patients
9 not diagnosed with cervical lymph node involvement because the reconstruction of hard
10 tissues is required in most of these cases.

11 PIOSCC is often misdiagnosed as periodontitis or pericoronitis based on the imaging
12 findings, and hence, tooth extraction or surgical treatment is commonly performed prior
13 to the initial diagnosis. In these cases, the extracted socket exhibited non-healing,
14 followed by pain, swelling, and sensory paralysis.^{9,19,20} However, no assessment of the
15 error rate of the preoperative diagnosis of PIOSCC has been performed. To our
16 knowledge, preoperative dental operations were performed in 30 of 53 cases
17 (56.6%).^{6,8,9,14,18,20-23} In contrast, the error rate for SCC of the gingiva have been
18 reported to be 25.5% (26 of 102 cases).²⁴ Although preoperative dental operations are
19 considered to be significantly associated with a poor prognosis in patients with OSCC,²⁴
20 no investigations of the prognosis of PIOSCC have been performed thus far. A review of
21 previous reports classified 12 of 53 cases who were followed up for > 12 months and
22 could be evaluated into an intervention group and non- intervention group; none of the 6
23 cases in the non-intervention group exhibited any evidence of disease, whereas 3 of the
24 remaining cases in the intervention group exhibited a poor prognosis.^{8,9,14,18,20-22} In the
25 present study, marked differences in the RFS and OS rates were observed between the

1 intervention group and non-intervention group. The patients who did not undergo
2 treatment prior to the PIOSCC diagnosis exhibited no recurrence. These results
3 suggested that preoperative dental operations in PIOSCC may be a potential prognostic
4 factor. In the intervention group, 2 cases presented with cystic type on radiographs and
5 the other case had been followed for only 12 months. Hence, there may be some biases
6 against the clinical outcome. However, as tumor cells in all the cases had ruptured
7 through the jaw and into the peripheral soft tissues on both radiography and
8 histopathology, there were minimal differences based on the extent of the disease.

9 Thus, we discussed novel insights about PIOSCC and the prognostic factors.
10 Nevertheless, the study has 2 major limitations, including its retrospective nature and
11 the small number of cases. Moreover, there were some biases that were inherent in these
12 studies, including the data recorded by the authors. The management of our department
13 in PIOSCC has been uniformed to reduce biases associated with retrospective study
14 design. In addition, the incidence of PIOSCC is very low among all the cases of OSCC.
15 Hence, an intergroup study with a further number of cases is needed.

16 In conclusion, based on the clinical features of PIOSCC, we believe that the treatment
17 of this condition should be similar to that of oral cancers with clinical stage T3N0 or
18 greater. Moreover, the lack of any intervention prior to the initial diagnosis in PIOSCC
19 cases could possibly achieve a better prognosis, particularly if the diagnosis is made
20 early and an adequate surgical strategy is adopted.

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22 **Conflicts of interest statement**

23 None declared.

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1 **Figure legends**

2 Figure 1. Radiographic (A) and Computed tomography (B) findings showing
3 well-defined borders of the lesions.

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5 Figure 2. Radiographic (A) and Computed tomography (B) findings showing irregular
6 border of the lesions.

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8 Figure 3. Kaplan-Meier survival curve of the relapse-free survival (RFS) and overall
9 survival (OS) rates.

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11 Figure 4. Relapse-free survival (RFS; A) and overall survival (OS; B) rate curve
12 showing a worse prognosis for patients in the intervention group than for those in the
13 non-intervention group ($P = 0.0246$, $P = 0.053$, respectively). Group A, no intervention;
14 Group B, intervention. The differences between the 2 groups are evaluated using the
15 log-rank test.

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17 Figure 5. Representative histopathological features of primary intraosseous squamous
18 cell carcinoma. A, The surface of the tumor is covered with non-cancerous oral mucosa
19 (hematoxylin and eosin stain, original magnification $\times 40$). B, Hypokeratinizing tumor
20 cells form tumor nests with a palisading arrangement of basal cells (hematoxylin and
21 eosin stain, original magnification $\times 400$). C, Positive staining for cytokeratin 19 is seen
22 in the tumor nest (original magnification $\times 40$). D, Growth of tumor cells is observed in
23 the bone marrow of the jaw (hematoxylin and eosin stain, original magnification $\times 40$).

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1 Table 1: Clinical characteristics of 6 patients with PIOSCC

Case	Age/Sex	Primary site	Chief complaint	Treatment before diagnosis	Duration after treatment (Month)	X-ray findings	Greatest dimension (mm)	Duration between biopsy and surgery (Day)
1	79/M	Maxillary molar	Pain/ Swelling	None	-	Cystic	25	36
2	59/M	Mandibular molar	Non healing/ Trismus	Osteotomy	1	Non-evaluable	Non-evaluable	None
3	76/M	Mandibular molar	Pain/ Swelling	Extraction	2	Invasive	45	28
4	66/M	Maxillary incisor	Swelling/ Sensory disturbance	None	-	Cystic	28	12
5	65/M	Mandibular molar	Pain/ Swelling	None	-	Invasive	56	20
6	81/F	Maxillary premolar	Non healing	Extraction	2	Invasive	38	8

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1 Table 2: Treatment courses of 6 patients with PIOSCC

Case	Initial treatment	RT or CCRT regimen	Histopathology	The number of pN	ECS	Recurrence and distant metastasis	Salvage treatment	Prognosis
1	Surgery (+mRND)	None	Well	0	-	None	None	NED 74 months
2	Surgery (+mRND) + CCRT	66Gy + CDDP240mg/m ²	Poorly	3	Positive	Skull base, Supraclavicular fossa, sternal notch	Palliative care	Died of LF 9 months
3	Surgery (+mRND) + RT	66Gy	Well	1	Negative	Contralateral neck	mRND C-mab +TXL	Died of NF 16 months
4	Surgery (+mRND)	None	Moderately	0	-	None	None	NED 20 months
5	Surgery (+mRND)	None	Moderately	1	Negative	None	None	NED 12 months
6	Surgery	None	Well	None	-	Bilateral neck and Lung	mRND C-mab+ TXL	Died of DF 15 months

2 * mRND, modified radical neck dissection; DM, distant metastasis; NED, no evidence

3 of disease; LF, local failure; NF, neck failure; DF, distant failure

Fig. 1 A

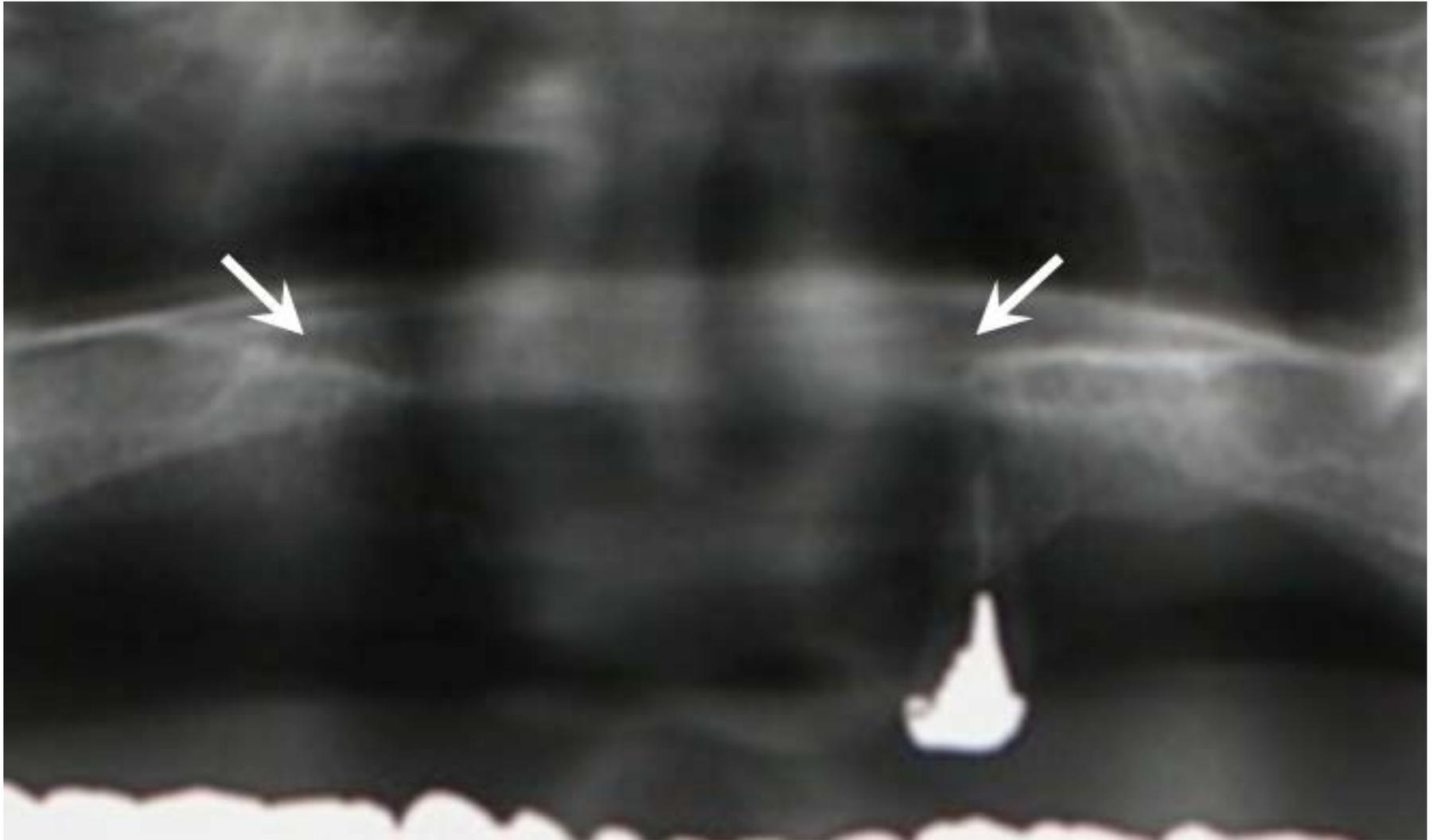


Fig. 1 B



Fig. 2 A



Fig. 2 B

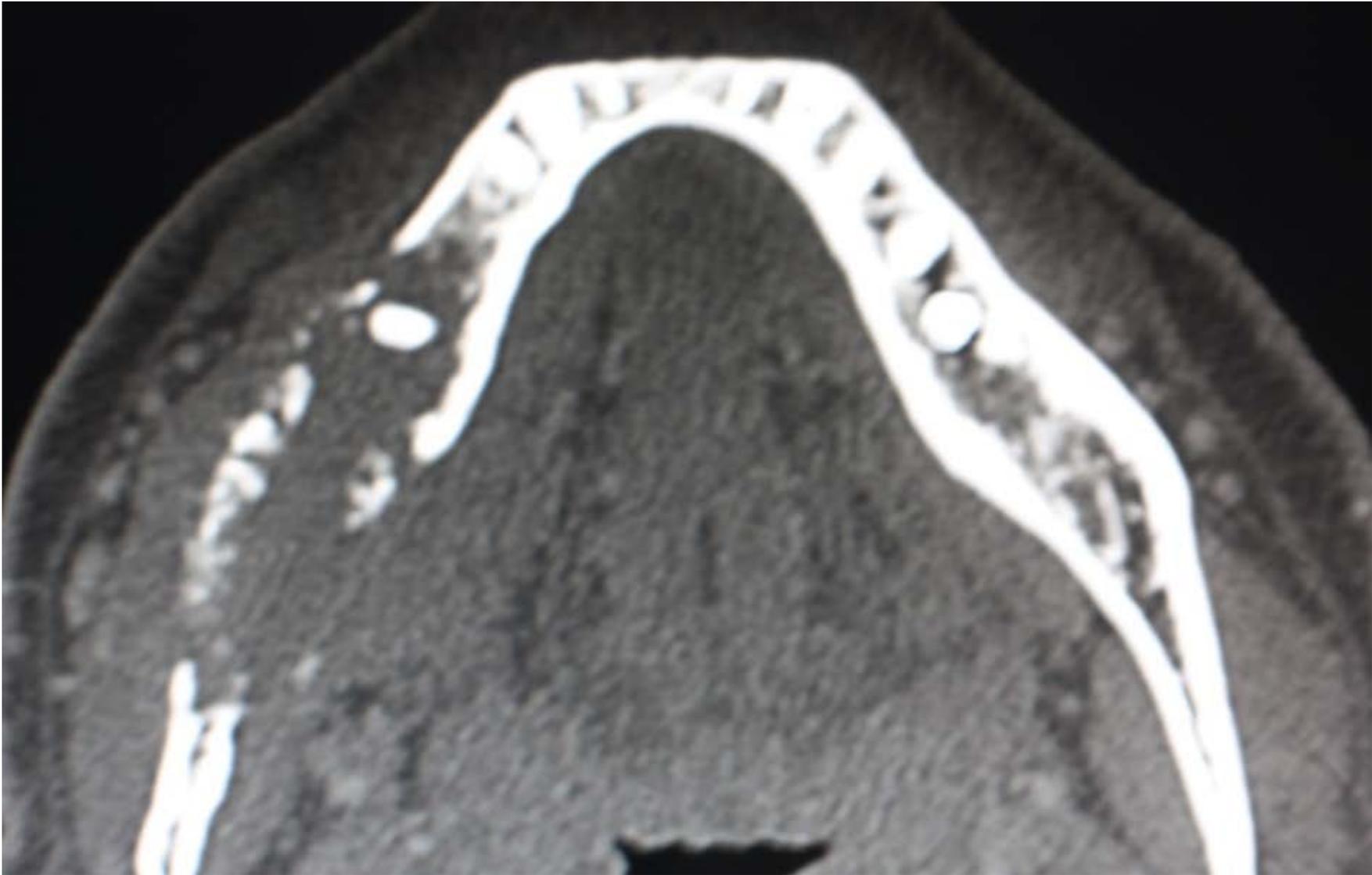


Fig. 3

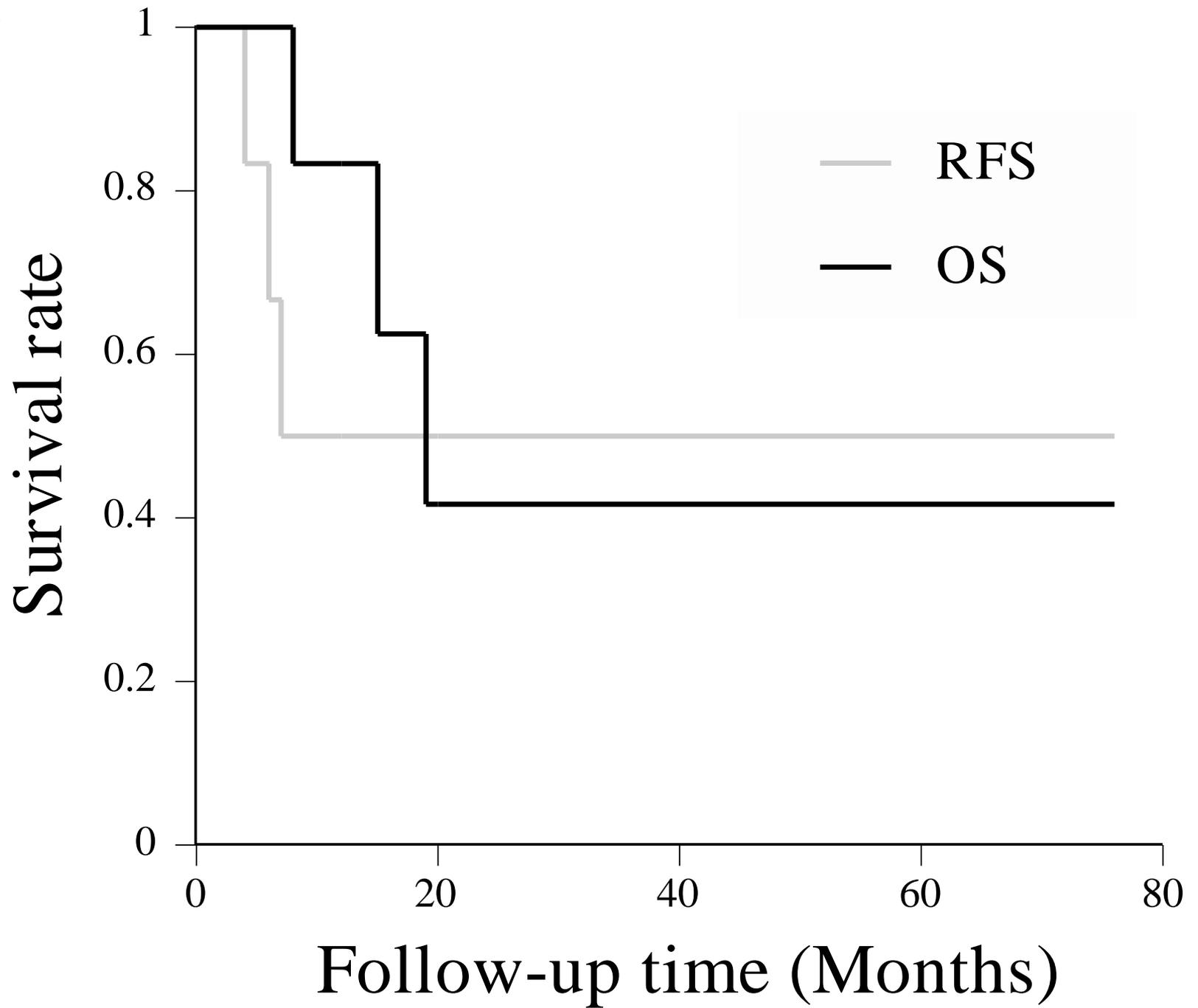


Fig. 4 A

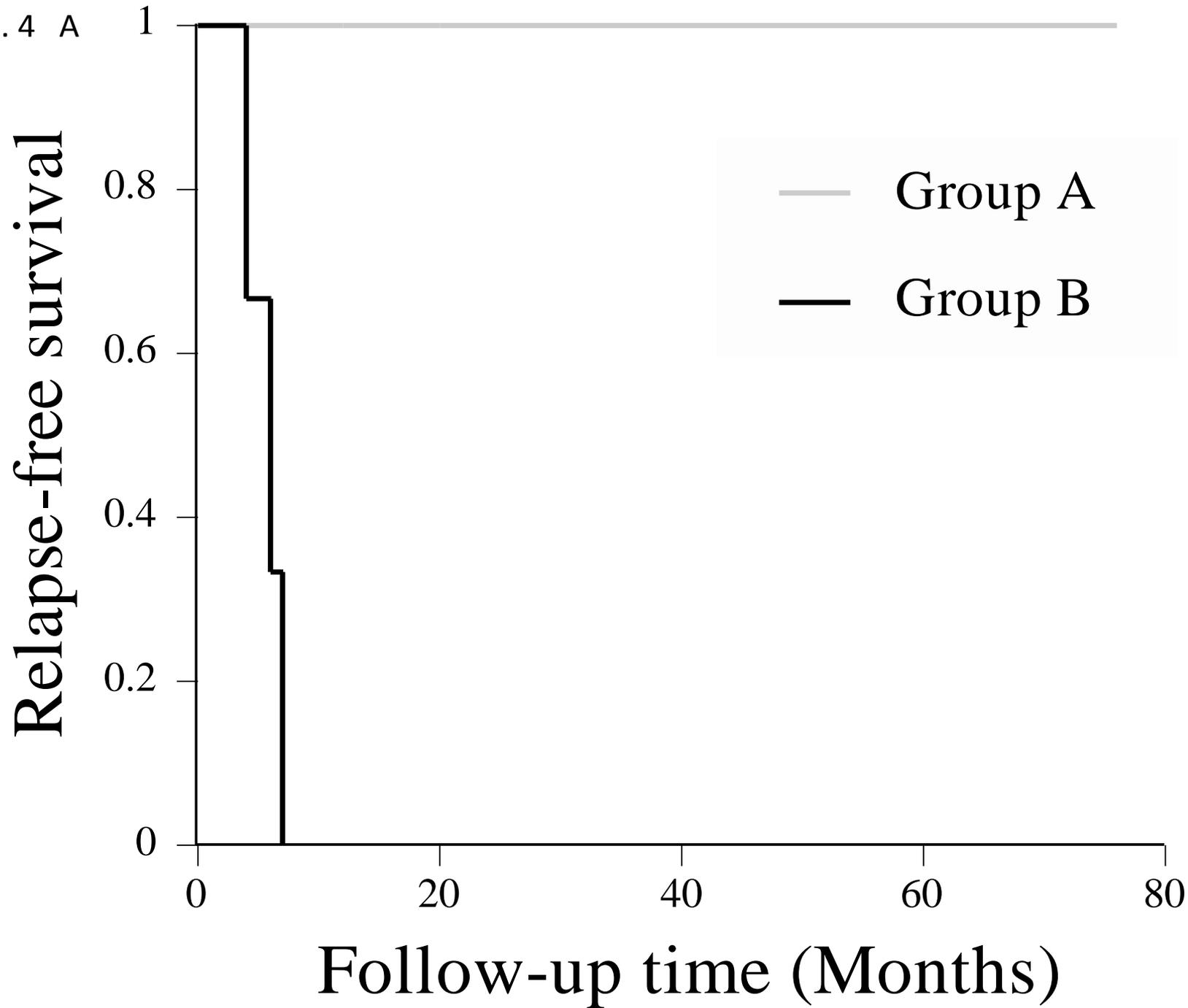


Fig. 4 B

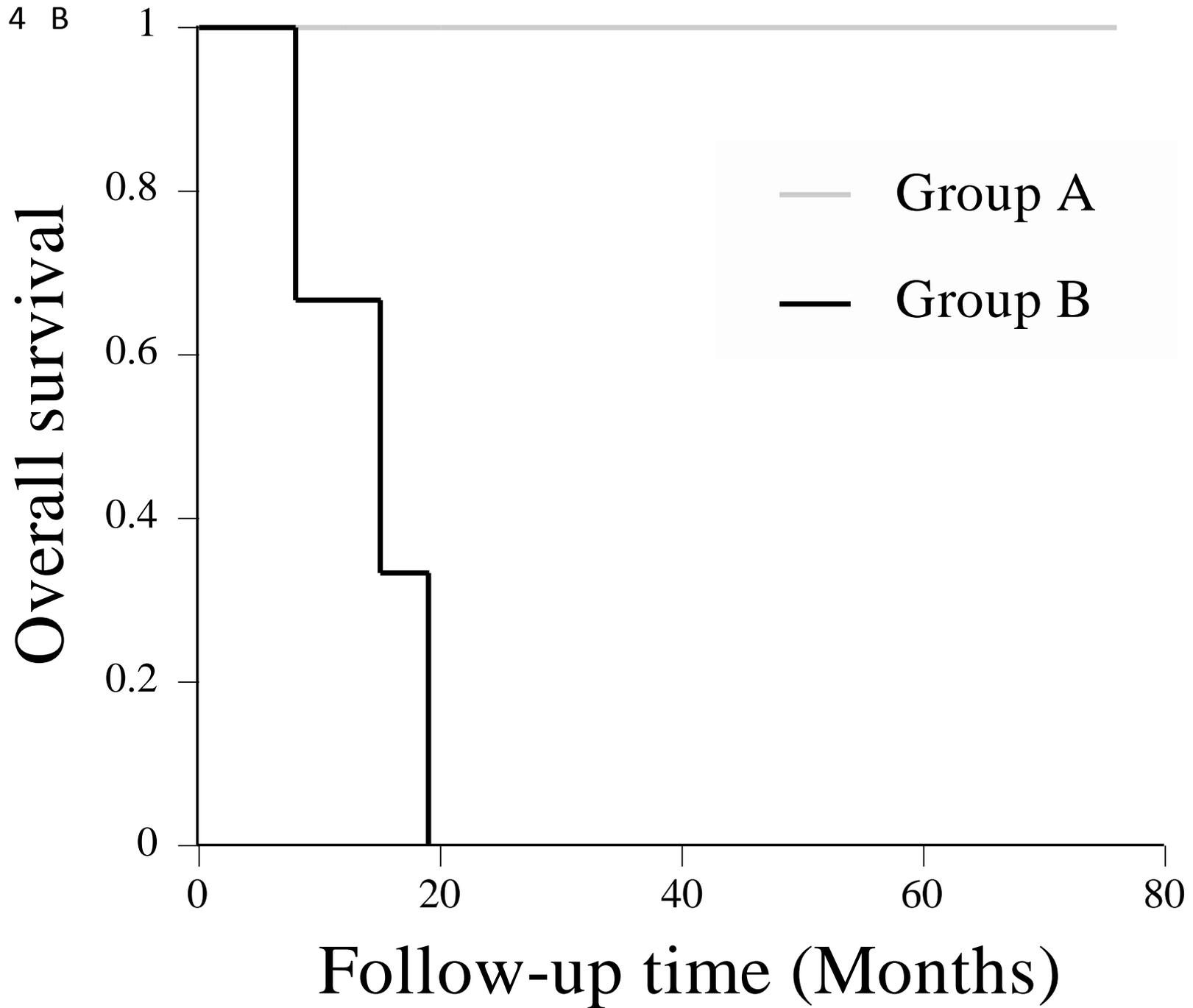


Fig. 5 A

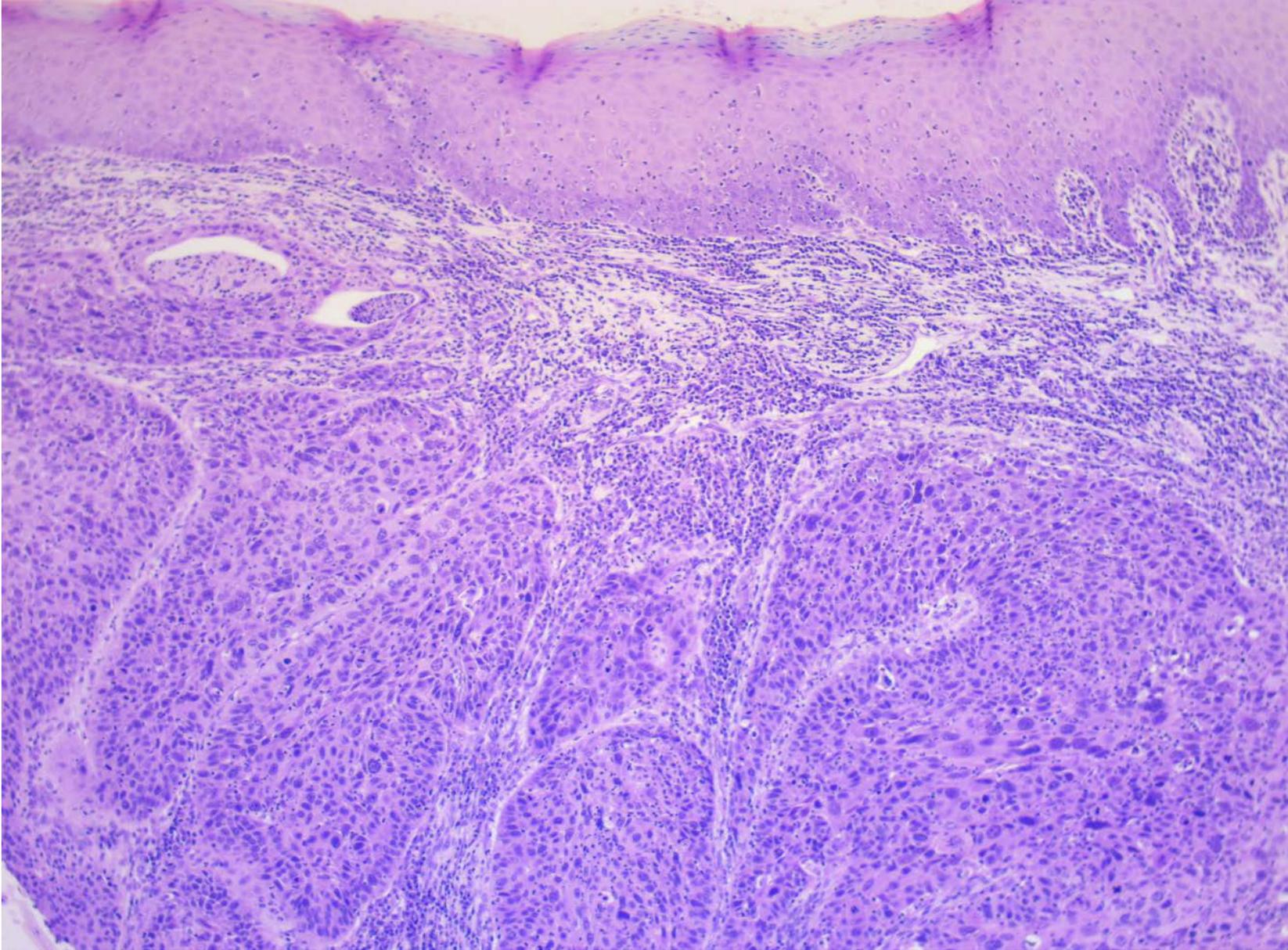


Fig. 5 B

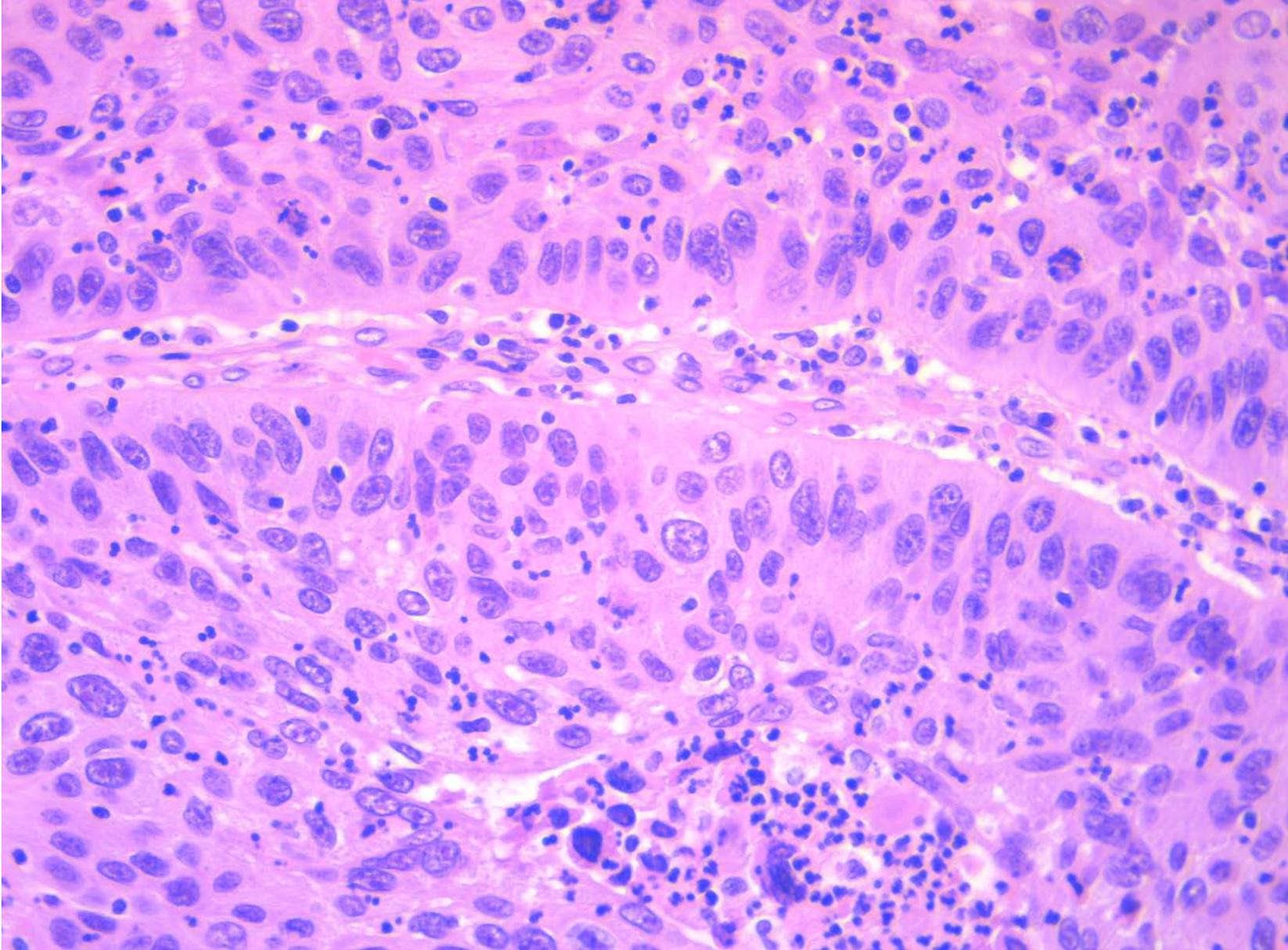


Fig. 5 C

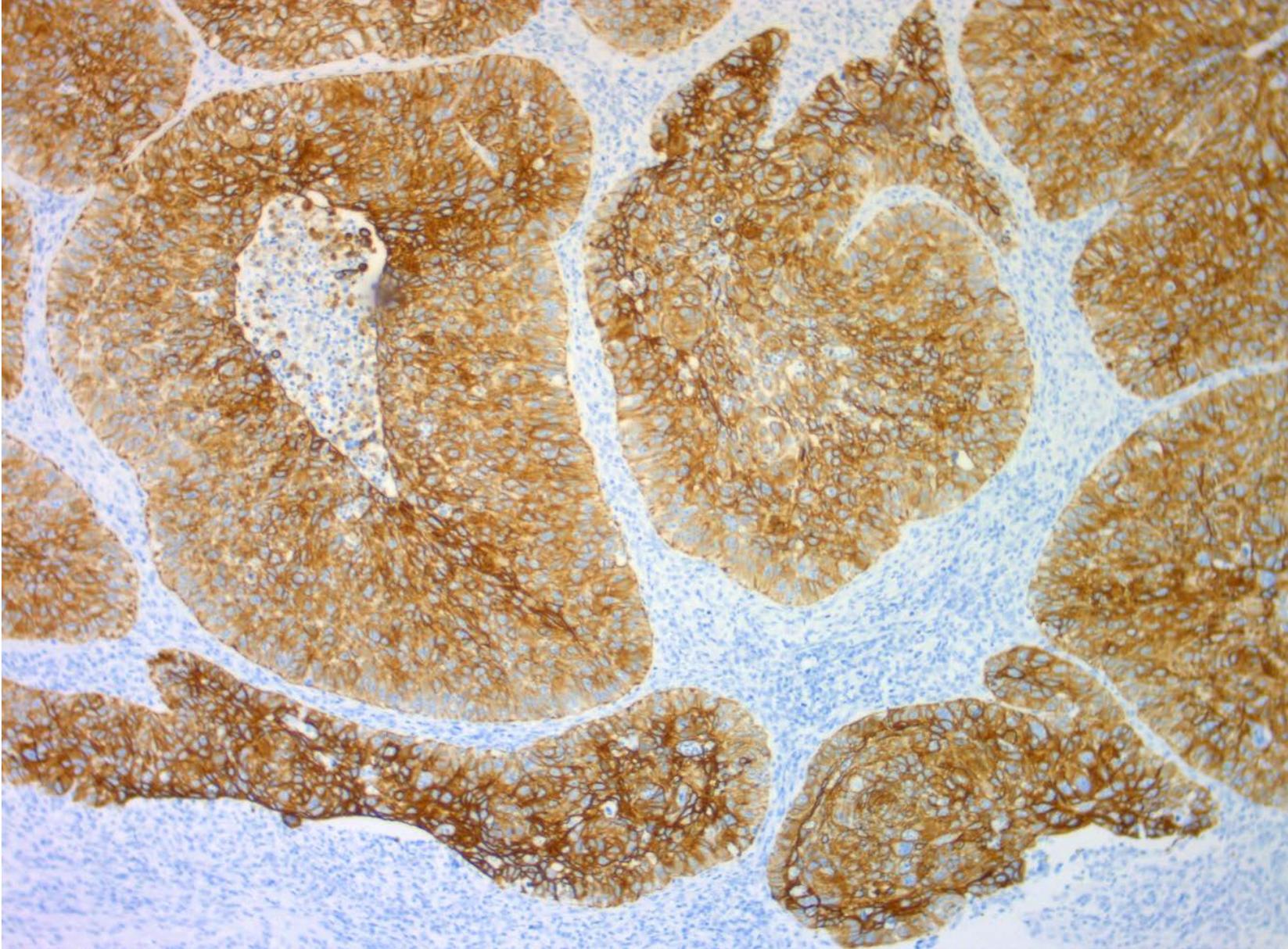


Fig. 5 D

