1	Photodecomposition of natural organic metal-binding ligands from deep
2	seawater
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16 Abstract

To reveal the effect of solar irradiation on the biogeochemical cycling of trace metals in 17 the open ocean, the photodecomposition of organic iron- and copper-binding ligands 18 was examined in an experiment using filtered seawater collected from a deep layer of 19 20 the East China Sea. Six days of natural solar irradiation caused a significant decrease in 21 the concentrations of both ligands, along with the loss of fluorescent dissolved organic 22 matters. The relative decrease of iron-binding ligands, which are considered to be 23 mainly composed of humic substances, was larger than that of copper-binding ligands or 24 humic-like fluorescence. This may be attributable to relatively high photoreactivity of hydrophilic fluvic acids, which have higher affinity to ferric ions. Surprisingly, an 25 26 accumulation of both iron- and copper-binding ligands was observed in the dark control bottles, but without a significant change in the fluorescence of humic-like substances. In 27 conclusion, the upwelling of deep seawater into the sunlit surface layer can result in the 28 29 rapid loss of the complexation capacity for both iron and copper ions. The stronger 30 ligands for both metals, which were readily decomposed by sunlight irradiation, appears to have a similar composition, suggesting that competition could be important to 31 32 determine the solubility and bioavailability of iron and copper. Keywords: Iron; copper; ligands; humic substances 33

35 Introduction

The biogeochemical cycling of trace metals, including iron (Fe), copper (Cu), zinc 36 (Zn), and cobalt (Co) in the ocean is tightly coupled with that of dissolved organic 37 38 matter. This is because most trace metal ions in seawater exist as complexes with 39 organic ligands (Fe: Gledhill and Buck, 2012; Cu: Moffett and Dupont, 2007; Whitby et 40 al., 2018; Zn: Ellwood and van den Berg, 2000; Kim et al., 2015b; Co: Ellwood et al., 41 2005; Noble et al., 2017). Organic complexation plays a pivotal role in stabilizing trace metal ions in the dissolved phase. For example, Fe, which is an essential element for 42 43 most organisms, is virtually insoluble as an inorganic ion in oxygenated seawater and can be present at a concentration as low as 0.1 nM (Liu and Millero, 2002). In 44 45 oversaturated conditions, Fe can be readily removed from seawater via the formation of colloids and particles. Organic ligands, on the other hand, help ferric ions ( $Fe^{3+}$ ) to 46 remain in solution in the water column (Kuma et al., 1998), thus making them available 47 48 for subsequent biological uptake. 49 Among all of the trace metals, Fe and Cu are of notable importance for biological production and the recycling of carbon in seawater. Both metals are essential elements 50 for every organism, and are particularly required by photosynthetic organisms. 51 52 Dissolved Fe can be depleted in the surface water of the open ocean to a level that can 53 limit primary production (Martin et al., 1994; Boyd et al., 2000; Tsuda et al., 2003). 54 Some reports have suggested that the primary production of some phytoplankton may also be limited by Cu deficiency (Maldonado et al., 2006). 55 Organic complexation has a profound influence on the biological uptake of trace 56 metal ions in seawater as well as their stability in the water column. Although a detailed 57 chemical inventory of organic Fe-binding ligands in seawater has yet to be reported, 58

siderophores, humic substances, and microbial exopolymeric substances (porphyrins, 59 60 saccharides, and humic-like substances) have been proposed as possible ligands (Hassler et al., 2017). Different ligands exhibit different complexation, dissociation, and 61 biological uptake mechanisms: hence, they have varying affinities for  $Fe^{3+}$ . This means 62 that the bioavailability of Fe complexed with different ligands varies, which can also 63 64 further depend on the target organism. For example, Fe complexed with siderophores, a compound released by bacteria to specifically incorporate low concentrations of Fe<sup>3+</sup>, is 65 less available to eukaryotic phytoplankton than to prokaryotic cyanobacteria (Hutchins 66 67 et al., 1999). This means that understanding the composition of organic ligands as well 68 as their concentration is essential to elucidate the biogeochemical cycling of trace 69 metals.

The organic complexation of Cu ions also controls the bioavailability of Cu to 70 microbes. It is known that organic Cu-binding ligands are mainly composed of protein-71 72 based phytoplankton exudates, thiols, and humic substances (Dupont et al., 2006; 73 Laglera and van den Berg, 2009; Leal and van den Berg, 1998; Whitby and van den Berg, 2015). These are particularly rich in estuarine and coastal waters, which can 74 75 maintain the stability of Cu ions in the water column. Although Cu is an essential element for every organism, a surplus of free cupric ions (Cu<sup>2+</sup>) is toxic to microbes 76 (Coale, 1991). A high input of Cu into the ocean may control the composition and 77 growth of the natural phytoplankton community in some areas (Paytan et al., 2009). 78 Organic Cu-binding ligands buffer the toxicity of free  $Cu^{2+}$  through complexation. 79 Understanding the sources and sinks of organic trace-metal binding ligands and trace 80 metals is key for revealing the biogeochemical cycling of trace metals. Organic metal-81 binding ligands are mainly supplied to the ocean through riverine discharge, 82

atmospheric deposition, hydrothermal vents, biological production/excretion, and the
microbial decomposition of organic materials (Gledhill and Buck, 2012; Hassler et al.,
2017). On the other hand, metal-binding ligands can be decomposed via biological
processes operating throughout the water column as well as by photodegradation in the
sunlit surface water. In the surface mixed-layer, where biological production occurs,
photodecomposition by solar irradiation is considered to be a main sink of organic trace
metal-binding ligands (Hassler et al., 2017).

The photodecomposition of organic Cu-binding ligands has been investigated in 90 91 estuarine waters (Laglera and van den Berg, 2006; Shank et al., 2006). As a significant 92 fraction of the organic Cu-binding ligands in the ocean is composed of humic 93 substances, their photochemistry has been studied in relation to humic substances (Vidali et al., 2010; Tonietto et al., 2011), which have a high photoreactivity (Kulovaara 94 et al., 1996). These previous studies demonstrated that solar radiation can result in the 95 96 rapid decomposition of humic substances. However, there are still few studies regarding 97 the photoreactivity of Cu-binding ligands in the marine environment, especially in the open ocean (Moffett et al., 1990). 98

99 The photochemistry of purified siderophores has been relatively well studied

100 (Barbeau, 2006), whereby their photoreactivity is understood to depend on their

101 chemical form and binding state. However, Fe-binding ligands in natural seawater are a

102 mixture of various chemical forms, and siderophores occupy only a small fraction of

103 Fe-binding ligands in natural seawater (Hassler et al., 2017). Further, some field

104 experiments have yielded inconsistent outcomes, for example, rapid

105 photodecomposition was observed in the Gulf of Mexico (Powell and Wilson-Finelli,

106 2003), whereas no discernible change was found in Dutch estuaries (Rijkenberg et al.,

107 2006). This is probably partly due to the different compositions of Fe-binding ligands in 108 different areas. As organic ligands may have unique geographical features, there is a need to reveal the photoreactivity of organic ligands in various marine environments. 109 In the present study, we focus on the photoreactivity of organic Fe- and Cu-binding 110 111 ligands within water samples collected from depth in the East China Sea. Deep seawater 112 was selected as the research object for the following two reasons. First, deep seawater 113 contains a different composition of organic metal-binding ligands than that in ocean 114 surface waters or estuarine seawater. Recent studies suggested that the contribution of 115 humic substances to Fe complexation may increase in the deep water of the open ocean (Kitayama et al., 2009; Hassler et al., 2017). As mentioned previously, the high 116 117 photoreactivity of humic substances can affect the complexing capacities of trace metals in seawater. Second, deep water upwelling is one of the principal supply pathways of 118 119 trace metals to the surface mixed layer (e.g. Nishioka et al., 2020), whereby trace metals 120 are supplied with organic ligands that occur in deep water. Therefore, revealing the 121 photochemical reaction of organic ligands to sunlight is essential to determine the fate of trace metals supplied to the surface layer. However, because the photoreactivity of 122 organic trace metal ligands from deep water is currently unknown, we conducted a 123 sunlight irradiation experiment using deep seawater collected from the East China Sea 124 125 to investigate this aspect.

126

127 Materials and Methods

128 Sampling

129 The seawater for the irradiation experiment was collected at Station G1 (32°09'N,

130 129°11′E, bottom depth: 563 m) aboard T/S Kakuyo-maru in on 21 August 2019.

131 Vertical profiles of temperature, salinity, and chlorophyll a fluorescence were obtained from a CTD instrument (SBE-911plus, Sea-Bird Scientific) and Seapoint Chlorophyll 132 Fluorometer (Seapoint Sensors, Inc.) installed on a rosette sampler with X-type Niskin 133 samplers acid-cleaned according to Kim et al. (2015b), which were suspended by a 134 135 stainless steel-armored cable. The CTD sensor have been annually calibrated by the 136 manufacturer. Samples for the analysis of fluorescent dissolved organic matter (FDOM) were collected from different depths using the Niskin samplers. Seawater for the 137 photodecomposition experiment was collected from a depth of 554 m., about 9 m above 138 139 the bottom of the sea.

140 <u>FDOM</u>

141 The samples for the analysis of FDOM were collected using polypropylene syringes, which had been rinsed with Milli-Q water (> 18.2 M $\Omega$  cm) after soaked in 1.2 N HCl 142 overnight. The samples were filtered directly through pre-combusted GF/F Whatman 143 144 filters that were set on a filter holder connected to the syringe. Then, approximately 20 145 mL of each aliquot was dispensed into a pre-combusted (450 °C for 3 h) 30-mL amber glass vial. The filtrates were stored frozen (-20 °C) awaiting analysis on-land. 146 147 In the laboratory on land, these samples were first thawed in the dark at room temperature before analysis using a spectrofluorometer. The 3-D excitation emission 148 149 spectrum matrix was obtained for each sample for excitation and emission ranges from 150 225 nm to 400 nm (5 nm intervals) and 225 nm to 507 nm (1 nm interval), respectively, 151 using a spectrofluorometer (HITACHI F-2700) (Yamashita and Tanoue, 2003). The response time was set to 0.08 s when the scan speed was 1500 nm min<sup>-1</sup>. The 152 fluorescence intensity was normalized to the integral intensity of Raman scattering for 153 Milli-Q water at an emission range of between 365 nm to 450 nm (1 nm interval) with a 154

155 350 nm excitation wavelength expressed in Raman units (RU). A PARAFAC analysis

156 for peak identification was run on MATLAB R2014a (MathWorks) and

157 DOMFluortoolbox (Stedmon and Bro, 2008). The vertical profile samples and samples

158 from the photodecomposition experiment were analyzed together, which included the

samples collected from the other stations during the cruise, and the total number of

160 sample was 133. Four peaks were identified, which were attributed to humic substances

161 of terrestrial and marine origins, and tryptophan-like and tyrosine-like proteins,

respectively, according to Yamashita and Tanoue (2003).

## 163 <u>Photodecomposition experiment</u>

The response of FDOM and metal-binding ligands to natural sunlight was examined 164 165 by the natural sunlight irradiation experiment as shown in Fig. 1. The deep seawater collected for the photodecomposition experiment was dispensed into 24 500-mL Teflon 166 FEP bottles (Nalgene), which had been washed by heating in a mixture of concentrated 167 168 nitric, sulfuric and perchloric acid, 6 M hydrochloric acid, and Milli-Q water as 169 previously described (Obata et al., 1993). The samples were filtered through an AcroPak capsule filter with a nominal pore size of 0.2 µm that was directly connected to a Teflon 170 171 spigot of the Niskin sampler. Before use, the capsule filter was filled by 0.05 N HCl (metal analysis grade) overnight at 60°C, thoroughly rinsed with Milli-Q water and then 172 filled by Milli-Q water overnight at 60°C, followed by thorough rinsing with Milli-Q 173 174 water to minimize the possible contamination of metals and organic materials (Yoro et 175 al., 1999). All filtrates were stored in the dark with ice packs to minimize the effects of light and temperature. Two days after collection, all of the bottles were transferred to the 176 rooftop of a building in Nagasaki University for the experiment. Six bottles were 177 wrapped with aluminum foil for the dark control. The bottles were placed in a 178

179 temperature-controlled (25 °C) water tank under non-attenuated sunlight. While the air temperature fluctuated between 20 and 30 °C during the experiment, the water 180 temperature was maintained between 25 and 26 °C. The experiment continued for 6 181 days, and six bottles were recovered on days 0, 1, and 6. The dark control bottles were 182 183 recovered after 6 days. Solar irradiation was monitored by measuring the downward 184 flux of 400–700 nm irradiation with a cosine-type quantum sensor (DEFI-L, JFE Advantec). With the exception of the final day of the experiment, it was overcast during 185 the experiment, and the maximum daytime PAR flux was approximately 500  $\mu$ mol m<sup>-2</sup> 186  $s^{-1}$ . 187

For the analysis of FDOM, 30 mL aliquots were dispensed into pre-combusted 30mL amber glass bottles from each of the six bottles. All of the remaining seawater samples were stored at -20 °C awaiting trace metal and ligand analysis. Three of the bottles were used for dissolved Fe and organic Fe-binding ligand analyses, while the other three were used for Cu and organic Cu-binding ligand analyses.

193 Dissolved Cu and Fe concentrations

194 The total dissolved Cu and Fe concentrations were determined using cathodic 195 stripping voltammetry (CSV) following the protocol described (Campos and van den Berg, 1994 and Abualhaija and van den Berg, 2014, respectively), after the seawater 196 samples were exposed to UV-irradiation. For Cu measurement, acidified seawater 197 198 samples were first UV-irradiated for 60 min in Teflon beakers covered by quartz caps 199 (Kim et al., 2015a). After neutralization with 25 µL of 20% ammonia solution (Tamapure AA-100, Tama Chemicals), 10 mL of each UV-irradiated sample was 200 pipetted into the voltammetric cell and spiked with 25 µL of 10 mM salicylaldoxime 201 (SA, Aldrich, >98.0%) and 100 µL of 1 M borate buffer. For Fe samples, the seawater 202

203	was UV-irradiated by a flow-through irradiation system with twin 15-V UV lamps (VL-
204	215, Vilber Lourmat) followed by overnight cooling. After that, 10 mL of the aliquot
205	was spiked with 100 $\mu$ L of 1 M borate buffer and 50 $\mu$ L of 1 mM SA solution.
206	For Cu measurement, a 797 VA Computrace (Metrohm) voltammetric system was
207	used. A glassy carbon rod and Ag/AgCl with a 3 M NaCl salt bridge were used for the
208	auxiliary and reference electrodes, respectively. Each aliquot was transferred to a Teflon
209	sample cell and purged with $N_2$ gas (99.9995%) for 180 s before analysis. During
210	deposition, the sample was stirred using a magnetic stirrer at 2000 rpm. The mercury
211	size was set to 8.
212	The reduction current of Fe-SA complexes was measured by a controlled growth
213	mercury electrode cell stand (BASi) connected to a laptop PC. For the auxiliary and
214	reference electrodes, platinum wire (0.5 mm diameter) and Ag/AgCl with a 3M NaCl
215	salt bridge were adopted, respectively. Each aliquot was transferred to a Teflon sample
216	cell and saturated with filtered clean air (Air Liquide Japan) for 120 s before analysis.
217	Fe-SA complexes were deposited on a mercury drop (drop size = 16) while stirred using
218	a magnetic stirrer at 600 rpm.
219	The voltammetric parameters were listed in Table 1. The analyses were repeated
220	three times for each sample. The total Cu and Fe concentrations were calibrated by the
221	addition of standard solution prepared by diluting atomic absorption spectrometry
222	standard Cu(NO <sub>3</sub> ) <sub>2</sub> and Fe(NO <sub>3</sub> ) <sub>3</sub> solutions, respectively.
223	Titration of Cu- and Fe-binding ligands
224	The concentrations and conditional stability constants of Cu- and Fe-binding organic
225	ligands were determined using competitive ligand-exchange adsorptive CSV (CLE-

226 AdCSV). Detailed descriptions of this method can be found elsewhere (Campos and van

227	den Berg, 1994; Abualhaija and van den Berg, 2014). Briefly, a ligand titration consisted
228	of 15 and 12 Teflon vials for Cu and Fe ligands, respectively. In each vial, 10 mL of
229	seawater sample, 100 $\mu L$ of 1 M borate buffer (pH 8.2), and Cu (0 -30 nM) or Fe (0 -7
230	nM) were added. After equilibration (1 h for Cu, 2 h for Fe), 5 $\mu$ M of SA was added to
231	each vial as the competing ligand, and the vials were then left to equilibrate. The
232	equilibration time was overnight and $> 3$ h for Cu- and Fe-binding ligands, respectively.
233	For Cu-binding ligands, the first preparation of the titration was carried out to
234	'condition' the vials and was subsequently discarded. For Fe-binding ligands, Teflon
235	jars were preconditioned by repeating these procedures three times using UV-irradiated
236	surface seawater sampled from the Pacific Ocean. The titration procedure was repeated
237	using the same vials, and the concentration of metal-SA complexes in each vial was
238	measured using CSV. The voltammetric parameters were the same as those used to
239	determine the total dissolved Cu or Fe concentration (Table 1). Deposition and scanning
240	were repeated twice for each aliquot. All the procedures were made in a class-1000
241	clean room pressurized with air processed through a HEPA filter.
242	Peak reduction current values were plotted against the total dissolved metal
243	concentration (i.e., the sum of the dissolved Cu or Fe concentration in the unamended
244	seawater plus the added metal concentration). The titration data were then analyzed
245	using the metal complexation calculation software ProMCC (Omanović et al., 1995).
246	For Cu, two types of ligands, the strong and weak Cu-binding ligands (CuL1 and CuL2,
247	respectively), were assumed. For Fe, as there was no apparent kink or curvature point
248	on the plot, we fitted the titration data using non-linear fitting models on the assumption
249	that all of the samples contained only one type of FeL within the detection window.
250	The concentration of metal-binding ligands is expressed as a molar equivalent

concentration of binding metal ions (nmol L<sup>-1</sup>). Statistical tests were performed using
OriginPro (OriginLab). Differences between days or treatments were tested using the
Tukey–Kramer method.

254

255 Results and Discussion

256 Hydrography and field data

Hydrography data revealed that the sampling station G1 was affected by riverine input down to a depth of 25 m, as evidenced by the layer with a high temperature and reduced salinity (Fig. 2A). The temperature decreased towards the bottom, while salinity peaked at a depth of  $\sim$ 80 m. Chlorophyll-*a* fluorescence showed a subsurface maximum at a depth of  $\sim$ 60 m. The entire water column was highly stabile, which is typical for the East China Sea during the summer.

Of the four identified types of FDOM, tryptophan-like proteins were relatively 263 264 scarce and their fluorescence intensity was highly variable; thus, we only present the 265 data for the other three types of FDOM in this report: terrestrial humic-like substances (THS), marine humic-like substances (MHS), and tyrosine-type protein-like substances 266 (TYR) (Fig. 1B). Of these, THS and MHS exhibited similar vertical distributions, with 267 relatively low fluorescence intensities in the low-salinity surface layer down to 25 m. 268 Below 25 m, the fluorescence intensity of THS slightly increased towards the sea 269 270 bottom, especially below 300 m, whereas the MHS fluorescence intensity was relatively 271 constant. In contrast, the fluorescence intensity of TYR was high in the low-salinity surface water and low in the deep water (Fig. 2B). 272 These contrasting distribution patterns of humic-like and protein-like substances 273

have implications for their origin and decomposition processes. The nutrient-like pattern

275 of humic-like substances suggests that they were produced in deep water, probably through microbial processes. The net production of humic substances by natural 276 277 assemblages (Kramer and Herndl, 2004) or isolated cultures (Shimotori et al., 2012) of 278 marine heterotrophic bacteria has been shown previously. In addition to autochthonous 279 production, THS is likely transferred from a terrestrial origin to deep water via the 280 thermohaline circulation. We note that we use the terms 'terrestrial' and 'marine' as 281 nominal categories based on the fluorescence properties, which are not necessarily 282 consistent with the actual origins. The low fluorescence of humic-like substances in the 283 surface layer could be attributed to their low supply from riverine sources and/or photodecomposition. In the present study, the latter should be more significant because 284 285 during the same cruise, humic-like substances were found to be more abundant at the coastal sampling stations, which were more affected by riverine water inputs. Thus, at 286 the sampling station in the present study, the surface water is considered to have 287 288 experienced irradiation sufficient to decompose photoreactive DOM. On the contrary, a 289 high abundance of protein-like substances in the low-salinity surface water suggests that these substances were transferred from riverine water and/or produced through 290 photosynthesis by phytoplankton. Therefore, DOM and organic metal-binding ligands in 291 the surface water were likely dominated by biologically "labile" components, as 292 proposed by Hassler et al. (2020). 293 Changes in FDOM and metal-binding ligands during the photodecomposition 294 295 experiment During the 6-day experiment with natural sunlight irradiation, the fluorescence of all 296 three types of FDOM exhibited a constant reduction (Fig. 3A). In the dark control 297

298 bottles, there was no significant change in the FDOM fluorescence after 6 days of

299	incubation, thus indicating that thermodynamic decomposition or aggregation was
300	negligible. Out of the three types of FDOM, THS showed the fastest
301	photodecomposition, with the fluorescence decreasing by 20% on day 1 of the
302	incubation, although it was overcast and the sunlight was relatively weak during the
303	first five days of the experiment. In contrast, the decrease in the fluorescence on day 1
304	was not significant for MHS and TYR ( $p < 0.05$ ). After 6 days of incubation, the
305	relative decrease to the initial fluorescence was 42%, 23%, and 19% for THS, MHS,
306	and TYR, respectively.
307	The seawater used for the irradiation experiment contained $2.08\pm0.13$ and $0.25\pm0.03$
308	nM of dissolved Cu and Fe, respectively, both of which were within the range of the
309	values observed in the deep layers of the East China Sea (Sasayama et al., 2018; Wong
310	et al., 2019). Using these figures and the titration data, the initial concentrations of
311	CuL <sub>1</sub> , CuL <sub>2</sub> , and FeL were calculated to be $1.85\pm0.05$ , $4.93\pm1.83$ , and $1.17\pm0.09$ nM,
312	respectively. The changes in the concentrations of CuL <sub>1</sub> , CuL <sub>2</sub> , and FeL during the 6-
313	day experiment were completely different from those of FDOM (Fig. 3B). On day 1, the
314	concentrations of all three ligands showed subtle increases that were not statistically
315	significant. After 6 days of solar irradiation, $CuL_1$ and FeL decreased by 26% and 53%,
316	respectively, as compared to those before the incubation. In contrast, CuL <sub>2</sub> increased by
317	three times that of the initial sample, although the variance among replicates was large
318	(~127% of the mean initial value) and the increase was not statistically significant ( $p >$
319	0.05). It is notable that there was a marked increase in the concentration of all three
320	ligands in the dark control bottles after 6 days of incubation; however, this was only
321	statistically significant for FeL ( $p < 0.05$ ). As CuL <sub>1</sub> was not detected in one of the
322	triplicates of the dark control, a statistical test was not applied for CuL1.

323	The conditional stability constants of organic metal-binding ligands are indices of
324	their affinity to metal ions. These changed less dramatically during the
325	photodecomposition experiment in comparison to the changed observed in the
326	concentrations of CuL <sub>1</sub> , CuL <sub>2</sub> , and FeL (Table 1). The affinity of CuL <sub>1</sub> to $Cu^{2+}$ was two
327	orders of magnitude higher than that of $CuL_2$ . The stability constant of $CuL_1$
328	significantly decreased after 6 days of solar irradiation ( $p < 0.05$ ), as compared with day
329	0 and dark control. For CuL <sub>2</sub> , the deviation among replicates was large and no
330	discernible trend was observed. The conditional stability constant of FeL was
331	approximately 10 <sup>11</sup> M <sup>-1</sup> , thus corresponding to 'weak' Fe-binding ligands, which have
332	been reported to occur in the deep water of the Pacific Ocean (Rue and Bruland, 1995;
333	Kondo et al., 2012; Buck et al., 2018). The conditional stability constant of FeL was
334	significantly higher on day 6 than on day 0 and in the dark control ( $p < 0.05$ ). The
335	changes in the conditional stability constants (Table 1) demonstrate that FeL with
336	relatively low affinity to Fe <sup>3+</sup> were decomposed by sunlight, whereas a strongly bound
337	fraction of CuL <sub>1</sub> was decomposed.

Taking the relatively weak irradiation during the experiment and light attenuation by 338 339 Teflon bottles into account, the present results demonstrate that FeL from deep seawater are decomposed by natural sunlight on a daily scale. This is consistent with a recent 340 report that the organic materials extracted from deep seawater lost their Fe complexing 341 342 capacity after exposure to simulated sunlight (Hassler et al., 2020). This is in contrast to the results for FeL in the surface water, where no discernible change was observed after 343 UV irradiation (Rijkenberg et al., 2006). This is probably because the surface water 344 contains a small amount of photoreactive FeL, due to rapid photodecomposition of 345 photoreactive FeL as observed in our present study. 346

347	The relative changes in the fluorescence intensities of the three types of FDOM and
348	the ligand concentrations during the photodecomposition experiment were compared
349	(Fig. 4). As mentioned, THS, MHS, TYR, CuL <sub>1</sub> , and FeL all decreased during 6 days of
350	solar irradiation in comparison to their initial values and the dark control; however, the
351	individual patterns clearly differed. The most important points are that (1) THS and FeL
352	decreased most rapidly of all the five components, and (2) the two metal-binding
353	ligands were decomposed by solar irradiation, although the net increase in the dark
354	control suggests that they were probably also simultaneously produced.
355	The rapid photodecomposition of FDOM, especially humic-like substances, from
356	deep seawater has been previously reported (Timko et al., 2015; Cao et al., 2020; Yang
357	et al., 2020). Further, a higher photoreactivity of terrestrial humic substances in
358	comparison to marine humic substances was reported for the northern Sargasso Sea
359	(Timko et al., 2015), which could have resulted from differences in chemical forms. The
360	vertical profiles of humic-like substances (Fig. 2B) suggest that it is unlikely that
361	humic-like substances occurring in the experimental seawater originated directly from a
362	riverine source. It is more likely that they were produced through heterotrophic
363	processes by autochthonous microbes (Kramer and Herndl, 2004; Nieto-Cid et al.,
364	2006; Shimotori et al., 2009) or transported via thermohaline cycling. The production of
365	humic-like substances by microbes is also suggested by its good correlation with AOU
366	in the western North Pacific (Kitayama et al., 2009). On the other hand, in the
367	Mediterranean Sea, electrochemically determined concentration of humic-like
368	substances was negatively correlated with AOU, suggesting that degradation by
369	heterotrophic bacteria seemed to be a main sink of humic ligands in the deep sea
370	(Dulaquais et al., 2018). This discrepancy may be attributable to differences in the study

371	region (Pacific vs. Mediterranean) or methodology (fluorometry vs. voltammetry), and
372	the present results are considered to be comparable with the case in the North Pacific.
373	A lower photoreactivity of protein-like FDOM in comparison to humic-like
374	substances (Figs. 3A and 4) has been reported in previous studies (Timko et al., 2015;
375	Yang et al., 2020). This difference in photoreactivity could explain their differential
376	vertical distributions, in which humic-like substances are enriched in deep water,
377	whereas protein-like substances are abundant in surface sunlit water (Cao et al., 2020).
378	Sunlight-induced changes in metal-binding ligands from deep water
379	One of the most important findings in the present experiment is that FeL and $CuL_1$
380	reacted similarly to natural solar irradiation (Fig. 3B); the concentrations of both ligands
381	substantially decreased during the 6 days of irradiation, whereas they accumulated in
382	the dark control bottles. It is unlikely that this was due to any experimental artifact
383	because the samples for FeL and CuL were derived from separate triplicate bottles (see
384	Materials and Methods) and were analyzed by different researchers using different
385	instruments. Therefore, the present results strongly suggest that both ligands possessed a
386	similar photoreactivity. It is also possible that some portion of the $\mathrm{Fe}^{3+}$ and $\mathrm{Cu}^{2+}$ in the
387	deep seawater may have been complexed with common organic ligands.
388	Organic Cu-binding ligands in seawater are mainly composed of humic substances
389	and thiols (Le Gall and van den Berg 1988; Kogut and Voelkler, 2001; Whitby and van
390	den Berg, 2015). In relation to this, the photoreactivity of strong organic Cu-binding
391	ligands has been studied in various situations (Laglera and van den Berg, 2006; Shank
392	et al., 2006), whereby their concentrations were found to decrease after irradiation,
393	which is consistent with the results of the present study.
394	It has been proposed that organic Fe-binding ligands in seawater are a complex

395 mixture of various types of organic matter, including siderophores, humic substances, and biological excretion products (e.g., exopolymer substances and polysaccharides) 396 397 (Hassler et al., 2017). In the present study, the contribution of siderophores to FeL is 398 considered to be minor because the experimental seawater was collected from a relatively Fe-rich (> 0.2 nM) deep layer. The relatively low conditional stability 399 constant ( $\sim 10^{11}$  M<sup>-1</sup>, Table 1) also supports a minor contribution of siderophores to Fe 400 401 complexation. It is known that humic substances play an important role in Fe 402 complexation in estuary systems, where the riverine load of both Fe and humic 403 substances is substantial (Krachler et al., 2015). However, the findings of recent field surveys suggested that humic substances in deep water could control Fe complexation 404 405 even in the open ocean (Laglera and van den Berg, 2009; Heller et al., 2013; Whitby et al., 2020). It has been estimated that humic substances account for between  $\sim 20\%$  to 406 60% of the electrochemically measured Fe-binding capacity (Dulaquais et al., 2018; 407 408 Whitby et al., 2020). The present finding that some fraction of the FeL from the deep 409 seawater was decomposed by natural solar irradiation (in proportion to CuL<sub>1</sub>) agrees with the significant contribution of humic substances to Fe complexation. Moreover, the 410 range of the conditional stability constant ( $K_{Fe'L}$ ) (10<sup>10.8</sup> to 10<sup>11.6</sup> M<sup>-1</sup>; Table 1) in this 411 study was of a similar range to that previously reported for humic substances in 412 seawater (10<sup>10.6</sup> to 10<sup>11.1</sup> M<sup>-1</sup>; Laglera and van den Berg, 2009). The relatively high 413 conditional stability constant of  $CuL_1$  is consistent with reported  $K_{Cu'L}$  values of humic-414 415 like substances (Whitby and van den Berg 2015). However, some caution is required when relating the fluorometrically measured 416

417 humic-like substances with electrochemically measured metal-binding ligands. The

418 decrease in fluorometrically determined FDOM is due to the photodegradation of the

419 fluorophores, which is not accompanied by decrease in total amount of DOC (Omori et 420 al., 2015). Similarly, the decrease of electrochemically determined metal-binding ligands means the loss of binding capacities of ligand molecules, not remineralization of 421 422 the molecules. Considering that aromatic rings, which are preferentially decomposed by 423 photoreaction (Medeiros et al., 2015), play a pivotal role both in fluorescence and ion 424 chelating, these two processes are likely related with each other to some degree. 425 However, we should strictly distinguish these two processes. 426 The net accumulation of FeL and CuL<sub>1</sub> in the dark control, which was not observed 427 for fluorescence of humic-like substances, was noteworthy. By taking the prefiltration through a 0.2-µm filter into consideration, we consider that it was unlikely that there 428 429 was new microbial production of metal-binding ligands, although concomitant bacterial growth during the 6-day incubation cannot be entirely excluded. On the other hand, it is 430 probable that the temperature increase from 7 °C to 25 °C promoted the production of 431

432 metal-binding ligands by some thermodynamic processes. However, it is unknown

433 whether this involved decomposition or an aggregation process because we are unaware

434 of any similar reports of such a dark accumulation of organic metal-binding ligands.

435 The increase of CuL<sub>2</sub> in the light and dark bottles (Fig. 3B) may be similarly explained

436 by thermal reactions, although there was a large variance in the  $CuL_2$  concentration

437 among the triplicate samples.

Assuming that the accumulation of metal-binding ligands occurred irrespective of light intensity, the gross photodecomposition of organic metal-binding ligands should have been larger than the net decomposition in Fig. 4. However, a precise estimation of the gross photodecomposition is difficult in the present experiment because it is unknown whether the accumulation was promoted or suppressed under solar irradiation. In any case, short-duration solar radiation experiments using a solar simulator or UV
lamp may overestimate the daily net decomposition of organic ligands. The rapid
photodecomposition of organic Fe-binding ligands in surface seawater during the
daytime (Powell and Wilson-Finelli, 2003) may be partly compensated for by a similar
process.

448 The absence of a net accumulation of FDOM in the dark control bottles suggests that the metal-binding ligands produced in the dark were non-fluorescent compounds that 449 probably differed to those that originally occurred in the deep seawater, and were 450 451 decomposed by solar irradiation. This suggests that the solar irradiation of the deep seawater changed the chemical compositions of the organic metal-binding ligands more 452 453 than their concentrations. Although the photoreactivity of the ligands produced in the dark was not examined in the present study, it is possible that some of them were 454 tolerant to solar irradiation and remained as recalcitrant organic matter. It will be an 455 456 important step to reveal the fate of dissolved Fe in seawater that has upwelled from the 457 deep ocean to the surface waters.

458 When taken together, the parallel changes in the concentrations of FeL and CuL<sub>1</sub> 459 (Fig. 3B) in response to solar irradiation strongly support high photoreactivity of organic metal-binding ligands collected from the deep water. The photoreactive ligands 460 likely include fluorescent humic-like substances, some fraction of which may be bound 461 to both Cu<sup>2+</sup> and Fe<sup>3+</sup> (Abualhaija et al., 2015; Whitby and van den Berg, 2015; Whitby 462 et al., 2018; 2020), and the loss of their complexing capacity may be related with the 463 loss of fluorescence of the humic-like substances. The loss of fluorescence and binding 464 capacities were likely invoked by light-induced decomposition of polycyclic aromatics 465 (Medeiros et al., 2015). However, it is unlikely that  $Cu^{2+}$  and  $Fe^{3+}$  compete for the 466

totally same coordinate positions of the same molecules of humic-like substances, 467 owing to different affinity of Cu<sup>2+</sup> and Fe<sup>3+</sup> to different types of humic-like substances 468 (Yang and van den Berg, 2009; Muller and Cuscov 2017). Fe<sup>3+</sup> has more affinity to the 469 more acidic hydrophilic fluvic acids (Nuzzo et al., 2013), whereas Cu<sup>2+</sup> make strong 470 complexes with the less acidic and hydrophobic humic acids (McElmurry et al., 2010). 471 472 This difference may explain a relatively faster decomposition of FeL compared to CuL<sub>1</sub> 473 (Fig. 4), because fluvic acids are more readily degraded by sunlight than humic acids (Kulovaara 1996), which is consistent with stronger photoreactivity of FDOM in the 474 hydrophobic fraction (Omori et al., 2015). In addition to differential photoreactivity of 475 humic-like substances, the fact that CuL<sub>1</sub> include other compounds such as thiols 476 (Laglera and van den Berg 2003) may account for relatively slower decomposition of 477 CuL<sub>1</sub> as compared to FeL. 478 When competition between  $Fe^{3+}$  and  $Cu^{2+}$  occurs for the same ligands, the 479 480 equilibrium between free ions and complexation moves toward the free ions, as compared with a single ion model. This may result in more dissociation of  $Fe^{3+}$  from the 481 ligands, because the  $K_{Fe'L}$  was much lower than the  $K_{Cu'L}$  (Table 1), as seen previously 482 (Yang and van den Berg, 2009). Therefore, when competition for ligands occurs, 483

484 photodecomposition of the ligands can facilitate the formation of Fe colloids more

readily than that predicted from a single ion model. To quantify the effect of solar

486 irradiation on the speciation of Fe and Cu in future studies, the concentrations and

487 stability constants of humic substances complexed with  $Fe^{3+}$  and  $Cu^{2+}$  will be required.

488 Moreover, the photoreactivity of organic Fe-binding ligands is known to change

489 according to their complexation status. For example, catecholate-type siderophores lose

490 their photochemical reactivity when complexed with Fe<sup>3+</sup>, whereas  $\alpha$ -hydroxy

491 carboxylate siderophores are more photoreactive when occurring as a free ligand
492 (Barbeau et al., 2003). Terrestrial humic substances become more refractory to UV
493 irradiation when complexed with excess Cu<sup>2+</sup> (Liao et al., 2001). Assuming a similar
494 change in photoreactivity, the photodecomposition of metal-binding ligands is likely
495 decelerated, as the decomposition proceeds and the proportion of free ligands decreases.
496

497 Conclusions

The findings of the present study clearly demonstrated that, over the 6-day 498 499 incubation experiment, natural sunlight could decompose the organic Fe-binding ligands and strong Cu-binding ligands within deep seawater. The complexing capacities of the 500 501 two ligands decreased in parallel with fluorescence of humic-like substances, which are considered to be a main component of metal ligands in the deep seawater. Therefore, it 502 503 is highly probable that photoreaction of humic-like substances causes the loss of their 504 ability to make complexes with transient metal ions, as well as the loss of their 505 fluorescence. Therefore, photodecomposition of the ligands that can be complexed with both ions can result in a more rapid release of ions than that estimated by a single ion 506 model. This means that Fe<sup>3+</sup> can readily form colloids and that Cu toxicity can appear 507 508 more distinctly. To which degree the competition for different metal ions can affect the 509 chemical speciation of metals during the photodecomposition of ligands should be 510 examined using another approach including electrochemical determination of humic 511 substances. Surprisingly, the accumulation of Fe- and Cu-binding ligands was observed in the dark control bottles. The ligands in the dark control bottles had similar affinities 512 to metal ions to those that were decomposed by solar irradiation. However, there was no 513 significant accumulation of FDOM in the dark control bottles, thus indicating that the 514

515	newly produced ligands were photochemically different to those in the original
516	seawater. These observations demonstrate that the upwelling of deep seawater to the
517	sunlit surface can result in both quantitative and qualitative changes in organic Fe- and
518	Cu-binding ligands, and consequently, chemical speciation changes of both ions.
519	
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528	
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748	Fig. 1. Experimental design of the photodecomposition experiment.
749	Fig. 2. Hydrography at Station G1, where the deep seawater for the photodecomposition
750	experiment was collected. (A) Vertical profiles of temperature (°C, solid line, lower
751	axis), salinity (dashed line, lower axis), and chlorophyll-a fluorescence (fluorescence
752	unit, dotted line, upper axis). (B) Vertical profiles of three types of fluorescent dissolved
753	organic matter (FDOM), terrestrial humic-like substances (THS, solid square), marine
754	humic-like substances (MHS, open circle), and tyrosine protein-like substances (TYR,
755	solid triangle).
756	Fig. 3. Temporal variations of (A) three types of fluorescent dissolved organic matter
757	(FDOM) and (B) organic metal-binding ligands in the photodecomposition experiment.
758	All of the data are shown with the standard variation of triplicate samples. The dark
759	control on day 6 is shown on day 6.3 for comparison. (A) Terrestrial humic-like
760	substances (THS, solid square), marine humic-like substances (MHS, open circle), and
761	tyrosine protein-like substances (TYR, solid triangle). (B) Fe-binding ligands (FeL,
762	solid triangle), strong Cu-binding ligands (CuL1, solid circle), and weak Cu-binding
763	ligands (CuL2, open circle).
764	Fig. 4. Changes of terrestrial humic-like substances (THS), marine humic-like
765	substances (MHS), tyrosine protein-like substances (TYR), Fe-binding ligands (FeL),
766	and strong Cu-binding ligands (CuL1) relative to the initial values in the
767	photodecomposition experiment.
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	Cu	Fe
Purge time (s)	180 by N <sub>2</sub> gas	120 by clean air
Deposition potential (V)	-0.05	0
Deposition time (s)	120	240
Equilibration time (s)	5	15
Start potential (V)	-0.05	0
End potential (V)	-0.5	-0.85
Pulse amplitude (V)	0.025	0.035
Pulse time (s)	0.05	0.017
Voltage step (V)	0.005	0.006

785 Table 1. Voltammetric parameters for Cu and Fe measurement.

787	Table 2. Logarithm of the conditional stability constants (M <sup>-1</sup> ) of organic metal-binding
788	ligands against inorganic metal ions (log $K_{M'L}$ ) in the photodecomposition experiment.
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	CuL <sub>1</sub>	CuL <sub>2</sub>	FeL
Day 0	$15.0 \pm 0.2$	$13.0 \pm 0.2$	$10.8 \pm 0.1$
Day 1	$14.6 \pm 0.2$	$12.5 \pm 0.3$	$11.0 \pm 0.1$
Day 6	$14.5 \pm 0.1$	$12.0 \pm 0.2$	$11.6 \pm 0.4$
Dark 6	$15.1 \pm 0.2$	$12.3 \pm 0.5$	$11.1 \pm 0.2$