

Alliance formation of Indo-Pacific bottlenose dolphins (*Tursiops aduncus*) off Amakusa, western Kyushu, Japan

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Abstract. Indo-Pacific bottlenose dolphins (*Tursiops aduncus*) off Amakusa-Shimoshima, approximately 200 individuals, form relatively large groups frequently exceeding 100 individuals and show high site fidelity to the area around Tsuji Island, northern coast of Amakusa-Shimoshima. This suggests that individual dolphins may have long interaction times with many other individuals. Consequently, competition between males is likely to be high and formation of alliances may be expected. However, this has not yet been confirmed. With photo-identification data collected between 2010 and 2014, we examined individual associations. Pairs of males formed significantly non-random associations for multiple years, and were seen surrounding females, many of whom were considered to be receptive at that time. Our results suggest that male Indo-Pacific bottlenose dolphins form alliances in this population, where dolphins form large groups and show high site-fidelity.

Key words: association, social strategy.

Cooperative behavior to gain access to a receptive female is unusual because fertilizations are non-shareable (Watts 1998). However, males of some species form cooperative stable relationships to gain access to or defend females, or to increase social rank (Goodall 1986; Packer et al. 1991; Connor et al. 1996). These cooperative relationships between males are called alliances or coalitions. The formation of alliances is regarded as one of the most socially complex male mating strategies in mammals (Wiszniewski et al. 2012).

The prevalence and complexity of these cooperative relationships, however, varies considerably among species as well as within and between populations depending on ecological and social environments (Wiszniewski et al. 2012). While there are some populations where dolphins are considered to not form alliances (bottlenose dolphins, *Tursiops truncatus*, in Moray Firth, Scotland, Wilson 1995; in Doubtful Sound in New Zealand, Lusseau 2007), some studies on bottlenose dolphins (*Tursiops* spp., in Shark Bay, Australia, Connor et al. 1992, 2001; Connor and Krützen 2015) and Atlantic spotted dolphins (*Stenella frontalis*, in the Bahamas, Elliser and Herzing 2014) have reported the complex formation of alliances.

To make sense of the variation in the likelihood of

males forming alliances between and within populations, Whitehead and Connor (2005) examined the ecological basis for the formation of alliances. Their modeling demonstrated that the likelihood of males forming alliances was affected by the mean number of males competing for a female. This number is approximately the product of resource utilization time and the rate at which resources are encountered by males (Connor and Whitehead 2005). As encounter rate increases, the mean number of males competing for a female becomes higher and males are thought to form alliances but there are not many reports confirming the alliance formation in such situations, and the ecological basis for the alliance formation has not been well examined.

Approximately 200 Indo-Pacific bottlenose dolphins (*T. aduncus*) are seen off Amakusa-Shimoshima, and dolphins in this population demonstrate high site-fidelity for the area around Tsuji Island located in the northern coast of Amakusa-Shimoshima, western Kyushu, Japan (Inoue et al. 2017; Fig. 1) and form relatively large groups exceeding 100 individuals (Shirakihara et al. 2002). When group size is large, number of groups will be fewer, and thus males will have longer travel times between groups, which will promote longer residence of

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males (Whitehead 1990, 1998). Under such circumstances, males may face increased competition with a higher number of other males because high site-fidelity and large group size indicate that dolphins spend longer time with many other individuals. Therefore, encounter rate and thus, the mean number of males competing for a female in Amakusa-Shimoshima population is thought to be higher than other previously studied populations, where bottlenose dolphins form smaller groups and show typical fission-fusion societies (e.g., $\bar{x} = 4.8$ in Shark Bay, Smolker et al. 1992; $\bar{x} = 7$ in Sarasota Bay, Scott et al. 1990; $\bar{x} = 15$ in the Gulf of California, Balance 1990; $\bar{x} = 3.45$ in the Bahamas, Rogers et al. 2004). In this study, we examined whether male dolphins form alliances in the northern coast of Amakusa-Shimoshima where the number of males competing for receptive females is thought to be larger than other populations owing to a high site-fidelity and large group size.

Materials and methods

Data collections

Commercial dolphin-watching tours are conducted regularly in the study area (Inoue et al. 2017). The dolphin-watching tour boats (most of them approximately ten meters in length) depart at Futae Port on the northern coast of Amakusa-Shimoshima, western Kyushu, Japan (Fig. 1), and one to five ‘one-hour cruise’ are conducted in a day throughout the year. Photo-

identification sampling sessions were conducted by using these commercial dolphin-watching tour boats between 2010 and 2014. One-hour sampling trip represented one cruise from departure to arrival at the port. The dorsal fins of dolphins around the boat were photographed using a digital camera (CANON EOS Kiss x3, Canon EOS 40D, or Canon EOS 7D) with a 75- to 300-mm zoom lens. Photographs were randomly collected by focusing on an individual that is close to our boat one by one as many as we can. Photographs in which more than one individual were photographed were used for the detection of alliances. For each dorsal fin in the photographs, the photo quality (focus, contrast, relative size of the dorsal fin to the frame size, and visibility of the entire dorsal fin) was evaluated and only photographs of the dorsal fins with sufficiently high photo-quality were used for analyses. The sex of dolphins was determined on the basis of the presence of calves in photo-identification data collected between 1994 and 2013: individuals repeatedly observed accompanied by relatively smaller dolphins presumed to be their calves were regarded as females, and individuals that have never been observed accompanied by smaller dolphins for ten years were regarded as males (Van Bresseem et al. 2013). All of the procedures performed involving animals were in accordance with the ethical standards of the Institutional Animal Care and Use Committee of Nagasaki University, Japan (approval number 1506181239).

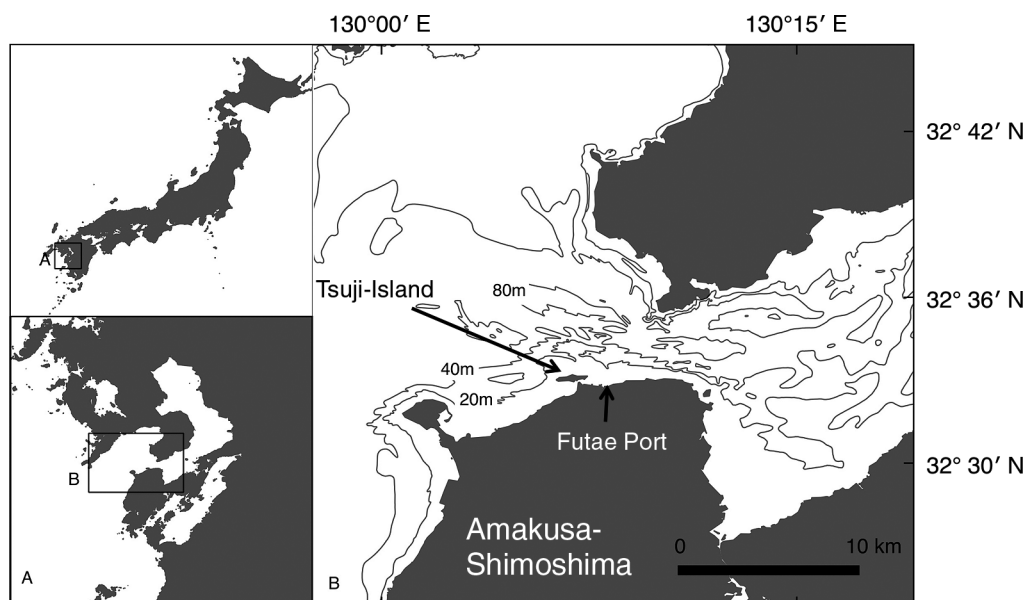


Fig. 1. Study area around Amakusa-Shimoshima, western Kyushu, Japan.

Table 1. Number of survey days, males, and images in which multiple males were photo-captured, and mean and coefficient of variation (*CV*) of observed and randomly estimated half-weight indices (HWIs)

Year	Survey days	Number of males	Number of images in which multiple males were photo-captured	Mean of HWIs		<i>CV</i> of HWIs		<i>P</i> -value
				Observed	Random	Observed	Random	
2010	23	31	365	0.03140	0.03140	1.90308	1.39576	0.0005
2011	30	20	256	0.04031	0.04031	1.46466	1.07105	<0.0001
2012	32	31	896	0.04930	0.04920	1.43859	0.95072	<0.0001
2013	35	12	242	0.07497	0.07483	1.14924	0.66936	<0.0001
2014	31	19	368	0.05547	0.05545	1.53099	1.00046	<0.0001

P-values are from permutation tests for differences in *CV* between observed and random HWIs.

Data analyses

Alliances are recognizable by their constant association, side-by-side travel formation and synchronous surfacing (Connor et al. 2001). In Amakusa-Shimoshima population, it is difficult to follow and describe some specific dolphins' behavior in a large group of 100 individuals. Therefore, individuals photo-captured on the same photograph, within approximately three body lengths from one another were defined as associated. The sampling period was set to daily, and half-weight association indices (HWIs) were calculated (Cairns and Schwager 1987). Permutation tests for non-random associations were conducted using the annual dataset for males that identified throughout the year. In the permutation test, the coefficient of variation (*CV*) of the observed HWI was compared with that of the randomized HWI calculated from 20 000 permutations with 100 flips per permutation.

Possible alliance members were identified according to the following association criteria: (1) significantly non-random associations defined by emerging every time across ten permutation tests for multiple years; (2) reciprocally the best associates; (3) higher associations compared with the mean of the maximum HWI among males, following Möller et al. (2001).

For the image in which possible alliance members were photographed, we investigated whether they jointly surrounded a female in that image. The reproductive states of females were categorized based on the presence of calves and their age estimated based on their sighting histories collected until 2015. Because the minimum calving interval for mothers that succeeded in bringing a calf to weaning age was three years (Kogi et al. 2004), females with a calf whose age is more than two year of age were presumed to be receptive at that time. Females who gave birth in the following year were also presumed to be receptive at that time. However, female who gave birth within ten months from the observation with the possible

Table 2. Half-weight association indices (HWIs) between male pairs that have significantly higher HWI in multiple years and the mean maximum HWIs of males

	Pair	2010	2011	2012	2013	2014
A	#0030, #0172	0.44	0.33	0.36	–	–
B	#0117, #0120	0.53	–	0.20	–	0.49
C	#0039, #0129	0.32	–	0.47	–	0.58
D	#0083, #0149	0	0.34	0.23	–	0.52
E	#0041, #0083	0.16	0	0.35	–	0.24
F	#0022, #0023	0.17	0	0.19	0.25	0.04
G	#0024, #0073	0.23	–	0.30	–	–
H	#0073, #0193	0.11	0.12	0.11	0.26	0.10
I	#0065, #0086	0.53	–	0.67	–	–
J	#0076, #0208	0.27	0.04	0.54	–	–
	Mean of maximum HWI (<i>SD</i>)	0.23 (0.15)	0.21 (0.10)	0.30 (0.16)	0.26 (0.12)	0.29 (0.19)

The significantly higher HWIs (shown in bold) were detected by permutation tests, in which they were compared with random HWIs calculated by 20 000 permutations. The figures in italics indicate that the pair was reciprocal top associates. Hyphen indicates that each or both of the pair was not identified for a certain period of time in that year.

alliance members were considered to be pregnant.

All social analyses were conducted using SOCPROG 2.6 (Whitehead 2009).

Results

Data collections

A total of >203 000 photographs were collected during 480 sampling sessions on 151 days (Table 1). Throughout the five-year study period, a total of 31 males were identified (Table 1). Of all 103 631 images in which individuals were identified with enough quality, 22 925 images (22.1%) included two identified individuals, and 6006 images (5.8%) included more than two identified individuals. On average, 1.35 individuals (*SD* = 0.64) were identified in a single image.

Table 3. List of cases in which the male pairs surrounded a female

Case	IDs	Date	Females surrounded by the pair			
			ID	Reproductive state	Give birth in the next year?	Receptive?
A-1	#0030, #0172	2010/8/9 10:10	#0135	?	?	?
A-2		2010/9/19 10:25	#6011	without calves	Yes	Yes
B-1	#0117, #0120	2010/5/9 16:49	#9906	without calves	Yes	Yes
B-2		2010/5/30 13:36	#9906	without calves	Yes	Yes
B-3		2010/7/29 11:45	#0248	with a calf (age unknown)	No	?
B-4		2010/8/10 11:58	#0248	with a calf (age unknown)	No	?
B-5		2010/9/19 14:42	#0248	with a calf (age unknown)	No	?
B-6		2013/9/30 14:05	#0050	without calves and considered to be pregnant	Yes	No
B-7		2014/2/25 13:39	#9997	with a calf (1–2 yr old)	Yes	Yes
B-8		2014/3/27 12:31	#9997	with a calf (1–2 yr old)	Yes	Yes
D-1	#0083, #0149	2014/7/20 10:44	#0107	with a calf (>2 yr old)	No	No
D-2		2011/7/29 12:13	#0113	with a calf (1–3 yr old)	Yes	Yes
D-3		2012/7/23 13:58	#0100	with a calf (>2 yr old)	No	Yes
E-1	#0041, #0083	2014/1/17 11:39	#0100	with a calf (>2 yr old)	No	Yes
E-2		2014/1/17 13:30	#0100	with a calf (>2 yr old)	No	Yes
G-1	#0024, #0073	2010/5/30 13:26	#6043	?	Yes	Yes
H-1	#0073, #0193	2013/7/18 10:34	#6030	with a calf (<1 yr old)	No	No
H-2		2014/1/23 11:47	#0177	with a calf (>2 yr old) and considered to be pregnant	No	No
I-1	#0065, #0086	2010/5/30 13:48	#0166	?	?	?
I-2		2010/6/20 12:14	#0166	?	?	?
I-3		2011/4/16 10:43	#0050	without calves	Yes	Yes
I-4		2012/5/27 16:38	#0106	with a calf (1–3 yr old)	No	No
I-5		2012/8/9 11:57	#0040	without calves	No	Yes
I-6		2012/9/11 10:44	#0087	without calves	No	Yes
I-7		2013/1/10 13:15	#0100	with a calf (>2 yr old)	No	Yes
J-1	#0076, #0208	2010/5/9 13:15	#6068	with a calf (age unknown)	No	No
J-2		2010/6/20 12:10	#0113	with a calf (<2 yr old)	No	No
J-3		2012/4/23 10:10	#6025	with a calf (age unknown)	Yes	Yes
J-4		2012/5/23 15:04	#6025	with a calf (age unknown)	Yes	Yes
J-5		2012/6/25 11:34	#6025	with a calf (>2 yr old)	Yes	Yes

For each female, reproductive states, which are determined by presence of calves and their age and whether they gave birth in the next year are shown. Females who gave birth in the following year, and females who were without calves were considered to be receptive at that time. Females who gave birth within ten months after the observation were considered to be pregnant at that time.

Permutation test against non-random associations

The *CV* of the observed HWIs was higher than that of the random HWIs, indicating non-random associations among male dolphins (Table 1).

Association criteria for possible alliance members

There were ten pairs with HWIs higher than those expected by chance for multiple years, and most of these HWIs were reciprocally the highest for each male of the pairs and higher than the mean of the maximum HWI of males (Table 2).



Fig. 2. A photograph showing a pair of males (#0065 and #0086) surrounding a female.

The associations between males of the possible alliance members and females

Of all photographs in which the males of the possible alliance members were identified, we confirmed 30 cases (one case refers to a series of events in a single sampling session) in which nine of the above-mentioned ten male pairs surrounded a female dolphin (Table 3, Fig. 2). In 17 of these 30 cases, females surrounded by male pairs were considered to be receptive at that time and eight females gave birth in the following year (Table 3).

Discussion

We were able to detect male pairs that satisfied our association criteria to identify possible alliance members and most of them were photographed surrounding females. Approximately half of the females that were surrounded by males were considered to be receptive at that time, and several of them gave birth in the following year. This suggests herding behavior or mate guarding by the male pairs. Connor et al. (1992) reported that males in pairs or triplets of alliance jointly herded females, and when traveling with a herded female, they were usually positioned on either side of and just behind the female or abreast behind her. The behavior in our photographs of the male pairs and females corresponded to these herding-like behaviors reported by Connor et al. (1992).

Our results strongly suggest the formation of alliances in Amakusa-Shimoshima population, as we expected based on the model by Whitehead and Connor (2005), which demonstrated that the likelihood of alliances is affected by the mean number of males competing for a

female. Because of the large group size and the high site-fidelity to the small area, males in Amakusa-Shimoshima population likely face increased competition with a higher number of other males, and this probably contributes to the formation of alliances in this population.

Although most of the male pairs were photographed surrounding females, a couple of pairs were not (Pair C and F; Table 3). The reason why these male pairs were not photographed surrounding females might be insufficient data, because photographs in which more than one dolphins were photo-captured was limited.

For the same reason, we were not able to evaluate how much our methods bias the size of the detected alliances. Although the detected alliances in this study were in pairs, it is hard to say that males in Amakusa-Shimoshima population form alliance in pairs, not in triplets or more. Our association criteria for alliances were strict and this might bias the size of detected alliances. It is likely to happen that some of the alliance members were not photo-captured in the same picture even if three or more individuals form an alliance.

Our results lack detailed behavioral information such as aggressive herding behavior including chasing, biting, and slamming bodily into a female by these male pairs because it is difficult to keep following a specific pair of dolphins in a large group. Such detailed behavioral observations could further support for the apparent formation of alliances.

Further studies should focus on the patterns of alliances, such as alliance size and stability, for further understanding of alliance formation among dolphins.

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