

1 **Effects of joint immobilization on changes in myofibroblasts and collagen in the rat knee**  
2 **contracture model**

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29 Running title: Immobilization-induced joint capsule fibrosis

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35 approved the final manuscript.

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**39 ABSTRACT**

40 The purpose of this study was to examine the time-dependent changes in the development of  
41 joint capsule fibrosis and in the number of myofibroblasts in the joint capsule after  
42 immobilization, using a rat knee contracture model. Both knee joints were fixed in full flexion  
43 for 1, 2, and 4 weeks (immobilization group). Untreated rats were bred for each  
44 immobilization period (control group). Histological analysis was performed to evaluate  
45 changes in the amount and density of collagen in the joint capsule. The changes in type I and  
46 III collagen mRNA were examined by in situ hybridization. The number of myofibroblasts in  
47 the joint capsule was assessed by immunohistochemical methods. In the immobilization group,  
48 the amount of collagen increased within 1 week and the density of collagen increased within 2  
49 weeks, as compared with that in the control group. Type I collagen mRNA-positive cell  
50 numbers in the immobilization group increased at all time points. However, type III collagen  
51 mRNA-positive cell numbers did not increase. Myofibroblasts in the immobilization group  
52 significantly increased compared with those in the control group at all time points, and they  
53 increased significantly with the period of immobilization. These results suggest that joint  
54 capsule fibrosis with overexpression of type I collagen occurs and progresses within 1 week  
55 after immobilization, and an increase in myofibroblasts is related to the mechanism of joint  
56 capsule fibrosis. The findings suggest the need for a treatment targeting accumulation of type  
57 I collagen associated with an increase in myofibroblasts.

58 Key words: immobilization; joint capsule; fibrosis; myofibroblasts; collagen

## 59 INTRODUCTION

60 Joint contracture is characterized by loss of passive range of motion (ROM) and restriction  
61 of the activities of daily living<sup>1</sup>, and is an important clinical problem in orthopedics and  
62 rehabilitation medicine. The most common cause of joint contracture is immobilization<sup>1;2</sup>,  
63 which is a common orthopedic treatment for patients with severe traumatic injuries of the  
64 joints or other musculoskeletal disorders<sup>3-7</sup>. In addition, several studies demonstrated that  
65 patients with conditions limiting their mobility are at a high risk for joint contracture<sup>8-10</sup>. Joint  
66 immobilization without traumatic or inflammatory conditions (e.g., bed rest after spinal cord  
67 injury or hemiplegia) may also be a factor in joint contracture.

68 Experimental research has shown two components contribute to the development of  
69 immobilization-induced joint contractures: arthrogenic (bone, cartilage, synovial membrane,  
70 capsule, and ligaments) and myogenic<sup>11</sup>. Arthrogenic components, particularly the joint  
71 capsule, play an important role in immobilization-induced joint contracture<sup>9; 12; 13</sup>. One study  
72 reported that the ROM on extension increased significantly after incision of the posterior  
73 capsule in knee joints with immobilization-induced flexion contractures<sup>14</sup>. Thus, the joint  
74 capsule is one of the main contributors to the development of arthrogenic contractures.

75 Previous studies suggested that immobilization induces joint capsule fibrosis<sup>15-18</sup>. Higher  
76 amounts of type I collagen have been reported in the capsules of immobilized knees<sup>19</sup>, and  
77 synovial hypertrophy with fibrosis in the joint capsule has been observed after  
78 immobilization<sup>20</sup>. These studies suggest that joint capsule fibrosis may be a primary cause of

79 pathology in joint contractures. Several studies examined changes in the expression of type I  
80 and III collagen—the major structural collagens of the joint capsule<sup>21</sup>—to determine the  
81 pathology of joint capsule fibrosis<sup>19; 22; 23</sup>. Although these studies utilized  
82 immunohistochemical, biochemical, and molecular biological methods, the pathology of  
83 joint capsule fibrosis could not be conclusively determined, as the findings were not in  
84 agreement<sup>19; 20; 22; 24</sup>. Other studies examined factors related to the development of fibrosis  
85 (e.g., the role of cytokines, and inflammatory and hypoxic conditions) in a rat knee joint  
86 contracture model. However, fibrotic change was not observed with upregulation of these  
87 factors<sup>25; 26</sup>. The changes in types I and III collagen in the capsule after immobilization  
88 remain unclear.

89 A number of studies have implicated myofibroblasts in various fibrotic disorders.  
90 Myofibroblasts contribute to tissue repair during wound healing by migrating into damaged  
91 tissue and synthesizing extracellular matrix (ECM)<sup>27; 28</sup>. In liver fibrosis, hepatic stellate cells  
92 differentiate into myofibroblasts and contribute to the production of ECM protein<sup>29</sup>. The  
93 myofibroblasts in renal fibrosis replace kidney parenchymal cells with scarring connective  
94 tissue and ECM components<sup>30</sup>. In pulmonary fibrosis, myofibroblasts promote ECM  
95 deposition by releasing inflammatory mediators<sup>27</sup>. In Dupuytren's contractures and  
96 hypertrophic scarring after a burn injury, the number of myofibroblasts contributing to matrix  
97 remodeling is increased<sup>31; 32</sup>. Immobilization after traumatic surgery reportedly increases the  
98 number of myofibroblasts in the joint capsule<sup>33-35</sup>. In contrast, another study demonstrated that

99 only the reduction of cyclic mechanical stress increased the differentiation of lung fibroblasts  
100 into myofibroblasts<sup>36</sup>. Honda et al. reported that the myofibroblasts in the soleus muscle were  
101 increased after immobilization<sup>37</sup>. These previous findings suggest that the increase in  
102 myofibroblasts may be induced by immobilization, which leads to immobilization-induced  
103 joint capsule fibrosis; however, the influence of immobilization alone on myofibroblasts in the  
104 joint capsule is unknown.

105 Although alterations in the joint capsule after immobilization have been demonstrated, the  
106 pathology and mechanism of immobilization-induced arthrogenic contracture, and whether  
107 joint capsule fibrosis occurs after immobilization, remain unclear. Identification of the basis  
108 for immobilization-induced arthrogenic contracture would be useful for the development of  
109 treatments to prevent such contractures. The aim of this study was to examine the  
110 time-dependent changes in the development of joint capsule fibrosis and in the numbers of  
111 myofibroblasts in the joint capsule after immobilization, using a rat knee contracture model.

112

## 113 **MATERIALS AND METHODS**

### 114 **Animals**

115 Sixty 12-week-old male SPF Wistar rats were obtained from Kyudo Laboratories (Saga,  
116 Japan); their weights were 350-450 g. All rats were housed in cages (2 or 3 per cage) at  
117 22-24 °C with a 12-hour light-dark cycle and were allowed free access to food and water. The

118 experimental protocol was approved by the local ethics review committee for animal  
119 experimentation (approval number. 1404161137).

120

## 121 **Experimental Design**

122 The 60 rats were divided into an immobilization group (10 per time point) and a control  
123 group (10 per time point). Rats in the immobilized group had the knee joints of both hind  
124 limbs fixed in full flexion for 1, 2, and 4 weeks, using plaster casts placed under anesthesia  
125 with intraperitoneal pentobarbital sodium (40 mg/kg). The plaster casts were replaced at least  
126 every 2 to 3 days to prevent loosening of the casts and edema of the hind limbs. Rats were  
127 able to move freely in their cages using their forelimbs. When the immobilized rats were  
128 anesthetized, the controls were also anesthetized to avoid possible confounding by the  
129 aesthesia.

130

## 131 **Measurement of ROM of Knee Joint Extension and Calculation of the Arthrogenic**

### 132 **Contribution to Total Contracture**

133 At 1, 2, and 4 weeks of immobilization, the rats were anesthetized with pentobarbital sodium  
134 (40 mg/kg) and the ROM of knee joint extension was quantified by measuring the angle (from  
135  $-25^{\circ}$  to  $-135^{\circ}$ ) between the line connecting the greater trochanter of the femur to the center of  
136 the knee joint and the line connecting the center of the knee joint to the lateral malleolus of  
137 the fibula, with the knee joint passively extended using a tension of 0.3 N generated by



138 ultra-small-capacity load cells (LTS-1KA, Kyowa Electronic Instruments Co., Tokyo, Japan).  
139 We confirmed that 0.3 N was the minimum tension required to achieve maximum extension in  
140 the knee joints of control rats. The measured ROM at each immobilization time point was  
141 used to calculate the arthrogenic (excluding the effect of skin and muscle) contribution to  
142 limitation of ROM using the following formulas: (1) Limitation in ROM (= total contracture)  
143 ( $^{\circ}$ ) = ROM (before immobilization) - ROM (after immobilization); (2) Limitation in ROM  
144 due to periarticular component (= arthrogenic contracture) ( $^{\circ}$ ) = ROM after skin excision  
145 (popliteal region) and myotomy (knee flexor) - Limitation in ROM. These procedures were  
146 carried out after measurement of the ROM in the immobilization group; (3) Arthrogenic  
147 restriction to total contracture (%) = arthrogenic contracture / total contracture  $\times 100$ <sup>13</sup>.

148

### 149 **Tissue Sampling and Preparation**

150 After each immobilization time period, the animals in each group were sacrificed by  
151 injecting pentobarbital sodium. The hind limb knee joints of each group were excised and  
152 fixed with 4% paraformaldehyde, then decalcified with either 10% ethylenediaminetetraacetic  
153 acid (EDTA) in 0.01 M phosphate buffer, pH 7.4, or Morse solution at 4°C. The specimens  
154 decalcified with 10% EDTA were used for histological and immunohistochemical analysis,  
155 while those decalcified with Morse solution were used for in situ hybridization. Each  
156 specimen was then dehydrated using a graded series of ethanol solutions and embedded in  
157 paraffin.

158

**159 Histological Analysis**

160 Longitudinal 6- $\mu\text{m}$  serial sections were cut with a microtome, and 2 sections from each right  
161 knee sample (medial and lateral) were stained with hematoxylin-eosin (H&E) to identify  
162 pathological changes such as inflammation in the joint capsule. Three sections from each  
163 sample (105  $\mu\text{m}$  apart) were stained with picosirius red (picosirius red stain kit, Polyscience,  
164 Inc., Warrington, PA, USA) to visualize collagen fibers in the posterior capsule; these sections  
165 were used for semiquantification of collagen in the posterior capsule. The sections were  
166 magnified 40 $\times$ , and images including the entire posterior capsule were captured using a digital  
167 camera (Nikon, Tokyo, Japan). Each image was overlaid with a lattice image (50  $\mu\text{m} \times 50$   
168  $\mu\text{m}$ ) using Adobe Photoshop ver. 6.0 (Adobe Systems, San Jose, CA, USA); the number of  
169 intersection points with collagen fibers were then counted and the relative value based on the  
170 control group was calculated for each immobilization period. To evaluate the changes in  
171 collagen density, the posterior capsule was identified and 5 random points on each slide were  
172 photographed at 400 $\times$  magnification with a digital camera. Each image was then binarized  
173 (red-stained areas of collagen made to appear black while other areas appear white) and total  
174 area of black per field was calculated using Image J software (W. Rasband, National Institutes  
175 of Health, Bethesda, MD, USA). The individual performing the analysis was blinded to the  
176 group to which the rats belonged.

177

**178 In situ hybridization**

179 Five rats per group at each time point were provided for in situ hybridization. Sections  
180 (6- $\mu$ m thick) from each right hind limb knee sample were treated with 0.2 N HCl for 20 min  
181 and 10 g/mL proteinase K (Sigma-Aldrich Corp., St. Louis, MO, USA) at 37°C for 15 min.  
182 After fixation for 5 min with 4% paraformaldehyde in 0.01 M phosphate-buffered saline  
183 (PBS; pH 7.4), sections were immersed in PBS with 2 mg/mL glycine and kept in 40%  
184 deionized formamide in 4 $\times$  SSC (1 $\times$  SSC = 0.15 M NaCl and 0.015 M sodium citrate, pH 7.0)  
185 until hybridization. Hybridization was carried out at 37°C overnight with 1  $\mu$ g/mL  
186 digoxigenin-labeled sense and antisense oligo-DNA dissolved in hybridization buffer  
187 containing 10 mM Tris-HCl (pH 7.4), 0.6 M NaCl, 1 mM EDTA, 1 $\times$  Denhardt's solution, 250  
188  $\mu$ g/mL yeast tRNA, 125  $\mu$ g/mL salmon testis DNA, 10% dextran sulfate, and 40% deionized  
189 formamide. After hybridization, the slides were washed twice with increasingly dilute  
190 concentrations of SSC (0.5, 1, 2  $\times$  SSC/50% formamide) for 1 h at 37°C. Sections were  
191 reacted with the blocking solution for 1 h, reacted overnight with horseradish peroxidase  
192 (HRP)-conjugated goat anti-digoxigenin antibody (Roche, Indianapolis, IN, USA), and  
193 washed four times with 0.075% Brij 35 in PBS for 15 min. The HRP sites were visualized  
194 according to a method described in previous reports<sup>38; 39</sup>. The 28s rRNA probe signals were  
195 detected with HRP-conjugated mouse monoclonal anti-T-T dimer antibody (Kyowa Medex,  
196 Tokyo, Japan). The sequence of sense and antisense oligo-DNAs used is listed in Table 1;  
197 each consisted of 45 base pairs representing a portion of rat mRNA. Positive cells were

198 identified by measurement of the staining density over the negative control (anti-sense) probe  
199 using Image J software. The number of positive cells was counted and the ratio of positive  
200 cells to total cell number (excluding vascular endothelial cells) in the posterior capsule was  
201 calculated. More than 300 cells per section and 2 sections per rat were used for analysis. The  
202 individual performing the analysis was blinded to the group to which the rats belonged.

203

#### 204 **Immunohistochemical Analysis**

205 Five rats per group at each time point were provided for immunohistochemical analysis.  
206 Sections (6- $\mu$ m thick) from left knee joint samples of each hind limb were subjected to an  
207 antigen retrieval step by incubating 2 mg/mL hyaluronidase from bovine testes (Sigma, St.  
208 Louis, MO, USA ) at 37°C for 30 min. Endogenous peroxidase was inactivated with 0.3%  
209 H<sub>2</sub>O<sub>2</sub> in methanol for 10 min at room temperature (RT; 22-25°C). The sections were blocked  
210 with 5% bovine albumin in PBS for 60 min and incubated overnight at 4°C with a mouse  
211 monoclonal anti- $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) primary antibody (1:1000; Exalpha  
212 Biologicals Inc., Shirley, MA, USA). The sections were rinsed in PBS and incubated with a  
213 biotinylated goat anti-mouse immunoglobulin G (1:1000; Vector Laboratories, Burlingame,  
214 CA, USA) for 60 min at RT. Each section was stained using avidin-biotin complex method  
215 (Vectastain Elite ABC kit; Vector Laboratories) for 60 min at RT. Sections were then  
216 visualized with a metal enhanced DAB substrate kit (Thermo Fisher Science Inc., MA, USA).  
217 After the final washing step, each section was stained with 1% methyl green. Each section

218 was examined with an optical microscope and the entire posterior capsule was photographed  
219 at 400× magnification with a digital camera. The number of total cells and  $\alpha$ -SMA-positive  
220 cells in the posterior capsule were counted (10 areas per section). The ratio of  
221  $\alpha$ -SMA-positive cells to the total number of cells (excluding vascular endothelial cells) was  
222 then calculated. This analysis was performed using Photoshop v. 6.0. Vascular areas were  
223 omitted from the analysis. Two sections per rat and 10 microphotographs per section were  
224 used for analysis. The individual performing the analysis was blinded to the group to which  
225 the rats belonged.

226

## 227 **Statistical Analysis**

228 All data are presented as mean  $\pm$  standard deviation (SD). The difference between groups for  
229 each immobilization period was assessed using a non-paired t-test, whereas differences within  
230 the same group were assessed using 1-way analysis of variance (ANOVA), followed by  
231 Scheffe's post hoc test. Differences were considered significant at  $p < 0.05$ .

232

## 233 **RESULTS**

234

### 235 **ROM and Arthrogenic Contribution to Total Contracture**

236 ROM in knee extension in the immobilization group was significantly less than that in the  
237 control group for each time point and decreased significantly in a time-dependent manner.

238 Joint contractures due to immobilization developed and progressed with immobilization time.  
239 Arthrogenic contracture started at 11.5° at 1 week and progressed to 43.5° at 4 weeks.  
240 Arthrogenic contribution to total contracture was 40.0% at 1 week and increased to 63.0% at  
241 4 weeks. Arthrogenic contracture and arthrogenic contribution to total contracture at 2 and 4  
242 weeks of immobilization were significantly greater than those at 1 week (Table 2).

243

#### 244 **Histological Analysis**

245 The results of microscopic observation of all H&E-stained specimens of hind limb knee  
246 joints did not reveal any abnormal findings such as cellular infiltration in either the control or  
247 immobilization groups (data not shown). In addition, the posterior capsule thickness was  
248 greater in the immobilization group compared to the control group, and this change  
249 progressed with the period of immobilization (Figure 1).

250 The number of intersection points with collagen increased  $1.2 \pm 0.1$ -fold at 1 week,  $1.3 \pm$   
251  $0.1$ -fold at 2 weeks and  $1.5 \pm 0.2$ -fold at 4 weeks in the immobilization group (Figure 2A). At  
252 each time point, the number of intersection points with collagen fibers was significantly  
253 higher in the immobilization group than in the control group. The number of intersection  
254 points with collagen fibers at 4 weeks of immobilization was significantly higher than at 1  
255 week and 2 weeks of immobilization. In the control group, the density of collagen per unit  
256 area ranged from  $59.3 \pm 7.2\%$  to  $59.9 \pm 6.7\%$ ; in the immobilization group, the density of  
257 collagen per unit area was  $63.4 \pm 4.8\%$  at 1 week,  $74.8 \pm 4.8\%$  at 2 weeks, and  $77.6 \pm 5.8\%$  at

258 4 weeks (Figure 2B). The density of collagen in the immobilization groups was significantly  
259 higher than in the control groups at the 2- and 4-week immobilization time points.  
260 Additionally, the density of collagen in the immobilization group increased significantly with  
261 increasing duration of immobilization (Figure 2).

262

### 263 **In situ hybridization**

264 First, we performed competitive testing to confirm each probe's specificity. In the presence  
265 of an excess of unlabeled antisense probe, the hybridization signal was essentially abolished  
266 for each type of collagen.

267 The ratio of type I collagen mRNA-positive cells to total cells in the posterior capsule  
268 ranged from  $20.1 \pm 7.1\%$  to  $22.2 \pm 10.4\%$  in the control group; in the immobilization group,  
269 the ratios were  $25.2 \pm 8.8\%$ ,  $28.8 \pm 11.5\%$ , and  $41.7 \pm 12.7\%$  at 1 week, 2 weeks, and 4 weeks  
270 of immobilization, respectively (Figure 3, 4A). The ratio of type I collagen mRNA-positive  
271 cells to the total cells in the posterior capsule was significantly higher in the immobilization  
272 group than in the control group at each time point. Furthermore, in the immobilization group,  
273 the ratio of type I collagen mRNA-positive cells to the total cells in the posterior capsule was  
274 significantly increased with prolongation of the immobilization period. On the other hand,  
275 there was no significant difference in the ratio of type III collagen mRNA-positive cells to  
276 total cells in the posterior capsule between the control and immobilization groups at any time  
277 point (Figure 3, 4B).

278

**279 Immunohistochemical Analysis**

280 The ratio of  $\alpha$ -SMA-positive cells to total cells in the posterior capsule ranged from  $3.3 \pm$   
281  $2.0\%$  to  $4.4 \pm 2.8\%$  in the control group. In the immobilization group, this ratio was  $7.3 \pm$   
282  $2.6\%$ ,  $10.2 \pm 2.8\%$ , and  $20.1 \pm 3.7\%$  at 1 week, 2 weeks, and 4 weeks of immobilization,  
283 respectively (Figure 5). The ratio of  $\alpha$ -SMA-positive cells to total cells in the posterior  
284 capsule was significantly higher in the immobilization group than in the control group for  
285 each time point. In addition, the ratio of  $\alpha$ -SMA-positive cells to total cells in the posterior  
286 capsule of the immobilization group significantly increased with increasing periods of  
287 immobilization.

288

**289 DISCUSSION**

290 Immobilization-induced contractures have been extensively studied. The joint capsule has  
291 been considered an important contributor to joint contractures after prolonged  
292 immobilization<sup>13-15; 17; 40</sup>. Reported changes in the capsule after immobilization include  
293 proliferation of connective tissues within the joint space and adhesions between the synovial  
294 folds and the surface of the articular cartilage<sup>15-18; 41</sup>. In addition, the changes in type I and III  
295 collagen, which are the main components of the joint capsule, have been examined to  
296 evaluate fibrotic changes induced by immobilization. Liu et al. reported that type I and III  
297 collagens in the shoulders of rats were increased after immobilization for 2 and 4 weeks<sup>42</sup>. In



298 contrast, Hagiwara et al. demonstrated that not every type of collagen was increased in the  
299 knees of rats after prolonged immobilization<sup>22; 23</sup>. Onoda et al. reported that  
300 immunoreactivity of collagen types I and III did not change in the joint capsule of a rat  
301 immobilized-bleeding model<sup>43</sup>. The results of each study were different and  
302 immobilization-induced fibrotic changes in joint capsules have remained unclear. Nearly all  
303 previous reports analyzed fibrotic changes with immunohistochemical scores of staining  
304 intensity. Previous reports demonstrated that type I collagen accounted for 83% of the total  
305 collagen in the synovial capsule<sup>21</sup>, which means that an increase in the proportion of type I  
306 collagen would be minor. Thus, it may be difficult to detect an increase in type I collagen  
307 using immunohistochemical staining. In a reverse transcription polymerase chain reaction  
308 (RT-PCR), contamination by other tissues (e.g., ligament, periosteum, and muscle) can occur,  
309 because the joint capsule is too small to extract. This may be one of the reasons for  
310 contradictory results. In the current study, we used a simple, semiquantitative histological  
311 method to clarify immobilization-induced joint capsule fibrosis, using the amount and  
312 density of collagen as indicators of fibrosis according to immobilization time. Additionally,  
313 type I and III collagen mRNA-positive cells in the joint capsule were detected by in situ  
314 hybridization.

315 Moreover, we immunohistochemically examined the change in the number of  
316 myofibroblasts, which synthesize high levels of ECM, particularly type I and III collagen,  
317 labeled by  $\alpha$ -SMA in the joint capsule.

318 This study showed that ROM for knee extension in the immobilization group was  
319 significantly lower than that in the control group at 1 week after immobilization, and  
320 continued to decrease gradually with immobilization time. The arthrogenic contribution to  
321 limitation of ROM increased in a time-dependent manner. These results indicate that  
322 immobilization induces arthrogenic contractures that progress with immobilization time,  
323 similar to the findings described in a previous report<sup>13</sup>. Furthermore, Richard and Wright  
324 found that 47% of the total resistance to midrange movement in a normal cat wrist joint was  
325 accounted for by the capsule, representing the highest contribution among the periarticular  
326 soft tissues; in comparison, the tendons account for only about 10%<sup>44</sup>. Accordingly, we  
327 surmise that the joint capsule contributes more to arthrogenic contracture than other  
328 periarticular components.

329 On gross and microscopic observation, hypertrophic changes in the posterior capsule were  
330 detected in immobilized knee joints, and this change progressed in a time-dependent manner.  
331 Semiquantitative analysis showed that the number of intersection points with collagen fibers  
332 in the posterior capsule increased as early as 1 week after immobilization and progressed until  
333 4 weeks after immobilization. This result indicates that collagen fibers in the posterior capsule  
334 increased as a result of immobilization. Previous studies<sup>15-18</sup> have shown an  
335 immobilization-related increase in connective tissue, which is consistent with our results. The  
336 reduction of ROM in the immobilized knee joint accompanied by synovial hypertrophy in the  
337 mouse model has been demonstrated<sup>20</sup>. Moreover, previous studies found that the

338 extensibility of the joint capsule depends on its thickness<sup>45; 46</sup>. Thus, it is presumed that  
339 hypertrophy of the joint capsule contributes to immobilization-induced arthrogenic  
340 contracture. At 2- and 4-week time points, the density of collagen in the posterior capsule of  
341 the immobilization groups was higher than that in the control groups. This change might have  
342 resulted from an increase in collagen fibers; however, other factor might have been involved.  
343 Previous research has shown that biochemical changes occur in the composition of  
344 periarticular fibrous connective tissues including the joint capsule after immobilization, with  
345 notable reduction of water and glycosaminoglycans<sup>47-50</sup>. These changes may then result in  
346 narrowed collagen interfascicular spaces, altered plasticity and pliability of connective tissue  
347 matrices, and reduced lubrication efficiency. We suggest that similar changes occurred in the  
348 posterior capsule in the immobilization group. Although several previous studies have  
349 analyzed various aspects of immobilization-induced joint capsule fibrosis, quantitative  
350 changes in collagen with immobilization time have not yet been reported. In this study, we  
351 showed longitudinal changes in the collagen content during development of  
352 immobilization-induced joint capsule fibrosis. These results were the most important findings  
353 in this study.

354 We observed that the ratio of type I collagen mRNA-positive cells in the posterior capsule  
355 was increased at 1 week after immobilization and increased in a time-dependent manner. This  
356 indicates that immobilization may evoke an increase in cells with the ability to produce type I  
357 collagen. In contrast, the type III collagen mRNA-positive cells did not increase. These results

358 suggest that the increase in the amount of collagen in the posterior capsule may derive from  
359 overexpression of type I collagen. Schollmeier et al. found that type I collagen was increased  
360 at sites of fibrosis and connective tissue proliferation<sup>24</sup>. Furthermore, in other fibrotic  
361 conditions such as idiopathic pulmonary fibrosis and cirrhotic liver, type I collagen was found  
362 to be disproportionately increased, whereas there was minimal change in type III collagen<sup>51; 52</sup>.  
363 Thus, it is surmised that type I collagen plays a major role in fibrotic conditions in a variety of  
364 tissues. The same seems true of immobilization-induced joint capsule fibrosis; however, type  
365 III collagen mRNA and protein levels were increased in the joint capsule after immobilization  
366 following traumatic surgery<sup>34</sup>. This discrepancy of results for type III collagen increase after  
367 immobilization may be explained by the traumatic condition of the joint. In any case, the  
368 reasons for the increase in type I collagen mRNA-positive cells remains unclear and detailed  
369 studies are needed to fill in this gap in the knowledge.

370 In this study, the  $\alpha$ -SMA-positive cells in the posterior capsule significantly increased in the  
371 immobilization group from 1 week after immobilization, and varied with immobilization time.  
372 We used  $\alpha$ -SMA as a marker of myofibroblasts. Previous studies suggested that reduction in  
373 cyclic mechanical stimulation is a key factor promoting differentiation of fibroblasts into  
374 myofibroblasts<sup>36; 37; 53</sup>. Joint immobilization may reduce the mechanical stimulation to  
375 fibroblasts in the joint capsule, and this change may be a trigger of fibroblast differentiation  
376 into myofibroblasts. Another possible cause of an increase in myofibroblasts might be  
377 explained by hypoxia. Research has shown that  $\alpha$ -SMA protein expression increases in

378 hypoxic fibroblasts, indicating that hypoxia promotes fibroblast differentiation into  
379 myofibroblasts<sup>37; 54</sup>. In addition, Yabe et al. reported that joint immobilization induced  
380 hypoxic conditions in the rat knee joint capsule<sup>25</sup>. Thus, hypoxia due to joint immobilization  
381 might promote fibroblast differentiation into myofibroblasts. Immobilization might have a  
382 direct and/or indirect influence on the increase in myofibroblasts in the joint capsule.  
383 Furthermore, myofibroblasts produce large amounts of collagen and play a pivotal role in  
384 tissue fibrosis<sup>36; 55</sup>. Our results indicate that type I collagen mRNA-positive cells and  
385  $\alpha$ -SMA-positive cells in the posterior capsule increased in a time-dependent manner; greater  
386 increases in  $\alpha$ -SMA-positive cells compared to type I collagen mRNA-positive cells were  
387 noted at all time points from 1 to 4 weeks of immobilization. Thus, type I collagen  
388 mRNA-positive cells in the posterior capsule might be myofibroblasts that increased due to  
389 immobilization. Therefore, we believe that immobilization-induced increases of  
390 myofibroblasts are strongly related to the development of joint capsule fibrosis.

391 There are some limitations to this study. First, the changes in type I and III collagen protein  
392 concentrations were not investigated. The joint capsule of the rat knee is too small to facilitate  
393 the examination of collagen levels using biochemical and molecular biological methods. In  
394 addition, a large part of the capsule is composed of type I collagen, which makes it more  
395 difficult to perform semiquantitative analysis using immunohistochemistry. This limitation  
396 could be overcome by using larger animals such as rabbits or canines. Another limitation of  
397 this study is that we were unable to completely elucidate the underlying pathophysiology of

398 immobilization-induced joint capsule fibrosis; moreover, hypoxic conditions were not  
399 evaluated. Honda et al. reported that immobilization-induced muscle fibrosis was associated  
400 with hypoxia, as well as with upregulation of interleukin-1 $\beta$  and transforming growth  
401 factor- $\beta$ <sup>37</sup>. Future studies are needed to determine the relationship between these factors and  
402 development of joint capsule fibrosis, as well as the pathophysiology of arthrogenic  
403 contractures.

404 In conclusion, this study demonstrated that joint immobilization induces an increase in the  
405 number of myofibroblasts in the joint capsule from early stages of immobilization in the  
406 absence of traumatic or inflammatory conditions. Upregulation of myofibroblasts may  
407 promote expression of type I collagen, which subsequently induces fibrosis in the joint  
408 capsule, resulting in an increase in the amount and density of collagen. These changes  
409 represent a portion of the pathophysiology underlying immobilization-induced arthrogenic  
410 contractures. In addition, this study suggests that treatment targeting accumulation of type I  
411 collagen by limiting the increase in myofibroblasts would be beneficial in preventing  
412 immobilization-induced contractures.

413

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## 574 FIGURE LEGENDS:

575 Figure 1. Picrosirius red staining of the knee joint. The arrowhead shows the posterior capsule.

576 The posterior capsule in the immobilization group was thicker than that in the control group.

577 This change progressed as the immobilization period lengthened. F, femur; T, tibia; scale bar

578 = 1 mm.

579

580 Figure 2. Semiquantitative results for collagen in the posterior capsule. The number of

581 intersection points with collagen fiber (A) and the density of collagen in the posterior capsule

582 (B). Data are expressed as mean  $\pm$  SD. \*P < 0.05 vs. control; #P < 0.05 vs. 1-wk

583 immobilization group; †P < 0.05 vs. 2-wk immobilization group.

584

585 Figure 3. In situ hybridization for type I collagen mRNA (upper panels) and type III collagen

586 mRNA (lower panels). Control was a 16-wk-old rat and immobilization was for 4 wk. Arrows

587 indicate normal cells and arrowheads indicate collagen mRNA-positive cells.

588 The bars next to each photograph show the threshold level; cells were classified as collagen

589 mRNA-positive based on this threshold level. S, sense probe; AS, antisense probe; scale bar =

590 50  $\mu$ m.

591

592 Figure 4. The ratio of type I collagen (A) and type III collagen (B) mRNA-positive cells to



593 total cells. Data are expressed as mean  $\pm$  SD. \*P < 0.05 vs. control; #P < 0.05 vs. the 1-wk  
594 immobilization group; †P < 0.05 vs. the 2-wk immobilization group.

595

596 Figure 5. Immunohistochemical staining for  $\alpha$ -SMA in the posterior capsule (upper panels).

597 Controls are 16-wk-old rats. Arrowheads indicate  $\alpha$ -SMA-positive cells. Scale bar =100  $\mu$ m.

598 The figure under the panel shows the ratio of SMA-positive cells to the total number of cells.

599 Data are expressed as mean  $\pm$  SD. \*P < 0.05 vs. control; #P < 0.05 vs. the 1-wk

600 immobilization group; †P < 0.05 vs. the 2-wk immobilization group.

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TABLE 1: Sequences of probes used for in situ hybridization

Object gene	Arrangement	Gene bank No.
CoL1 $\alpha$ 1		
Sense	5'-AGACTGGCAACCTCAAGAAGTCCCTGCTCCTCCAGGGCTCCAACG-3'	BC133728.1
Antisense	5'-CGTTGGAGCCCTGGAGGAGCAGGGACTTCTTGAGGTTGCCAGTCT-3'	
CoL3 $\alpha$ 1		
Sense	5'-ACTGTCCCGCGGAAGCACTGGTGGACAGATGCTGGTGCTGAGAAG-3'	BC087039.1
Antisense	5'-CTTCTCAGCACCAGCATCTGTCCACCAGTGCTTCCGCGGGACAGT-3'	
28S rRNA		
Sense	5'-GCCGCCGCAGGTGCAGATCTTGGTGGTAGTAGCA-3'	NR046246.1
Antisense	5'-TGCTACTACCACCAAGATCTGCACCTGCGGCGGC-3'	

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TABLE 2: Range of motion and arthrogenic restriction

	ROM (°)		Total contracture (°)	Arthrogenic contracture (°)	Arthrogenic contribution to total contracture (%)
	Control	Immobilization			
1 wk	-27.5 ± 2.6	-54.0 ± 6.2*	29.0 ± 6.5	11.5 ± 2.5	40.0 ± 10.3
2 wk	-28.5 ± 2.4	-79.5 ± 12.1 * <sup>#</sup>	54.5 ± 12.1	31.0 ± 9.1 <sup>#</sup>	56.9 ± 6.9 <sup>#</sup>
4 wk	-28.0 ± 3.5	-94.0 ± 10.2 * <sup>#†</sup>	69.0 ± 10.7	43.5 ± 7.5 <sup>#</sup>	63.0 ± 6.3 <sup>#</sup>

10 Data are expressed as mean ± SD. \*P < 0.05 vs. control; #P < 0.05 vs. 1 wk immobilization  
 11 group; †P < 0.05 vs. 2 wk immobilization group.

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Figure 1

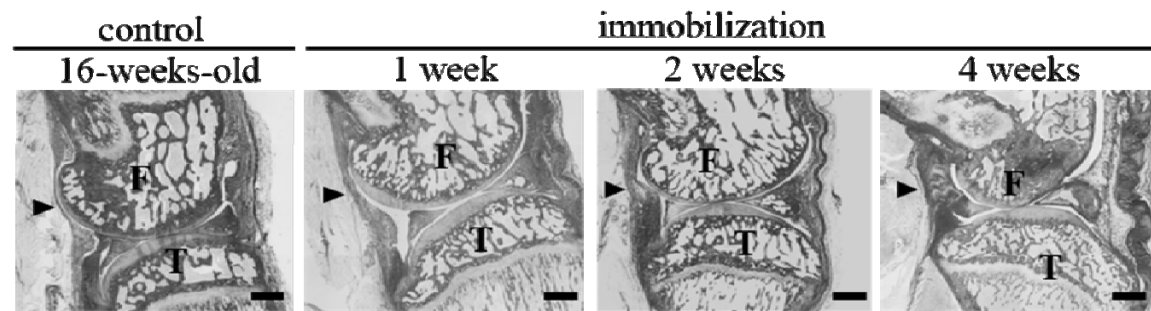


Figure 2

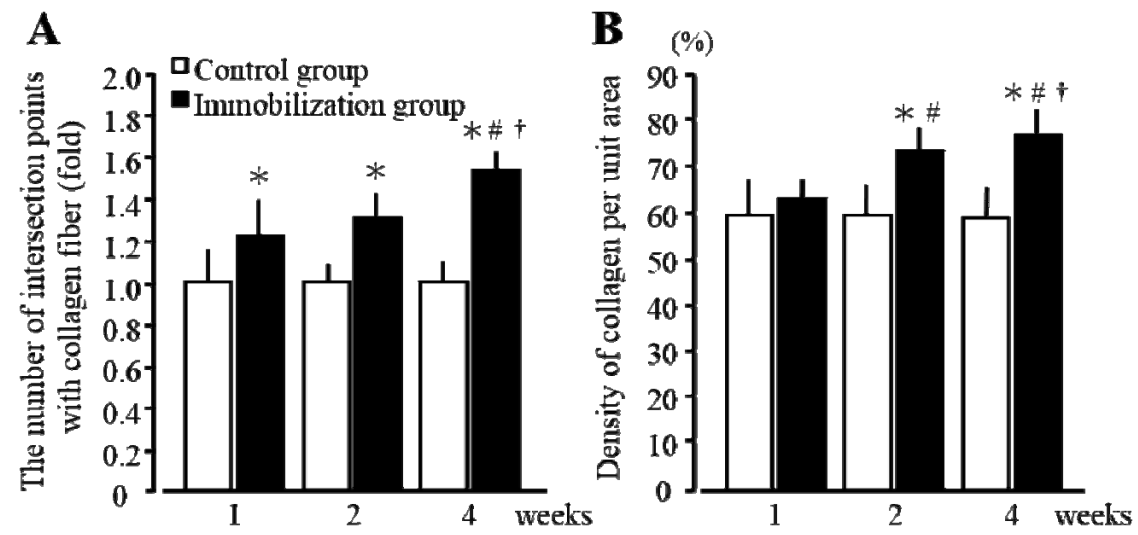


Figure 3

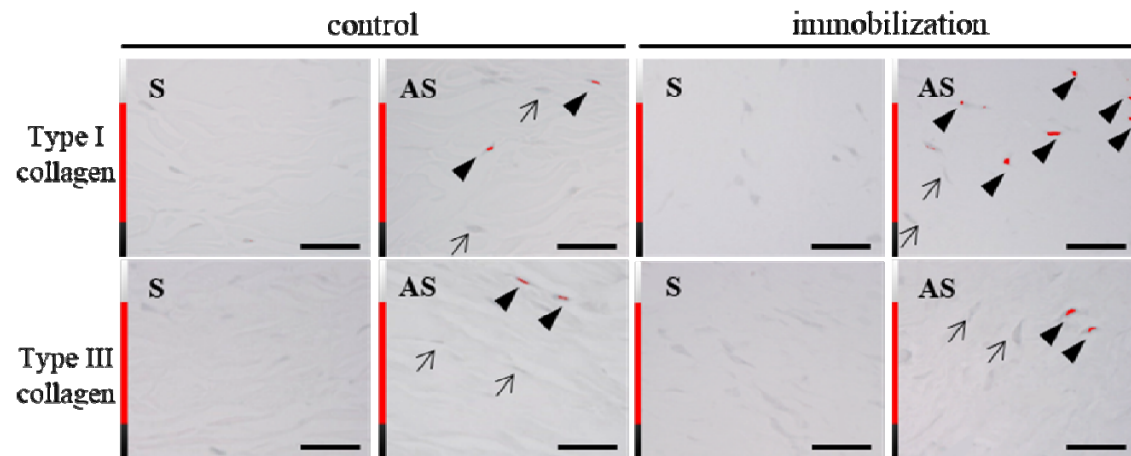


Figure 4

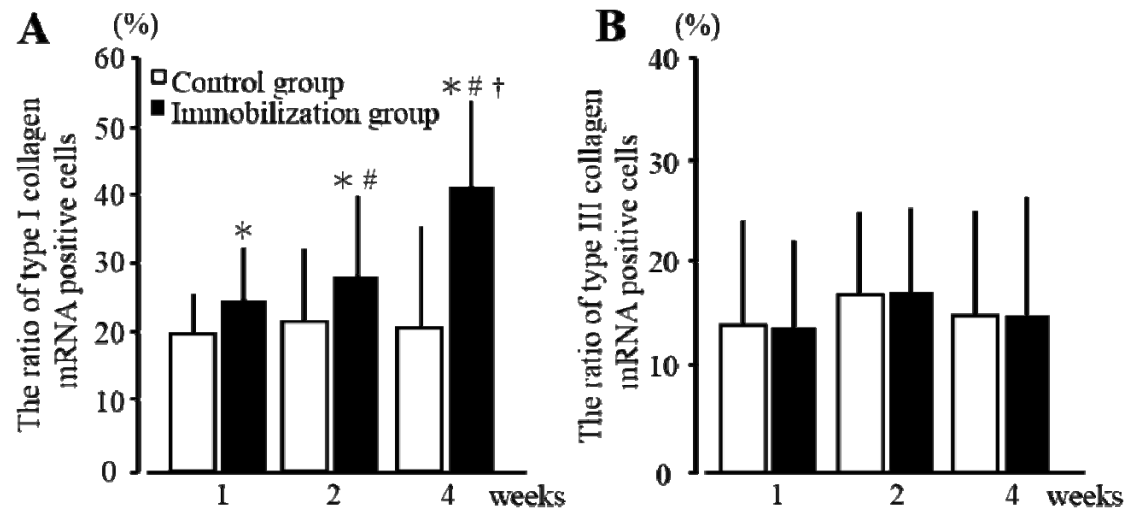


Figure 5

