1	Anti-tumor effect of the mammalian target of rapamycin inhibitor
2	everolimus in oral squamous cell carcinoma
3	Tomofumi Naruse, Souichi Yanamoto, Shin-ichi Yamada, Satoshi Rokutanda, Akiko
4	Kawakita, Goro Kawasaki, Masahiro Umeda
5	
6	Department of Clinical Oral Oncology, Unit of Translational Medicines, Nagasaki University
7	Graduate School of Biomedical Sciences, 1-7-1 Sakamoto, Nagasaki 852-8588, Japan
8	
9	Key words: oral cancer, mTOR, everolimus, hypoxia
10	Running title: Anti-tumor effect of the mammalian target of rapamycin inhibitor
11	everolimus in oral squamous cell carcinoma
12	
13	Corresponding author. Tel.: + 81 95 819 7698 fax: + 81 95 819 7700.
14	E-mail: naruse12@nagasaki-u.ac.jp (T. Naruse)
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	

1 Abstract

Objectives: The mammalian target of rapamycin (mTOR) has recently emerged as a promising target for therapeutic anti-cancer interventions in several human tumors. In present study, we investigated the expression of mTOR, and subsequently examined its relationship with clinicopathological factors and the anti-tumor effect of everolimus (also known as RAD001) in oral squamous cell carcinoma (OSCC).

7 Material and Methods: The expression of phosphorylated mTOR (p-mTOR) was 8 immunohistochemically evaluated in specimens obtained from 70 OSCC patients who 9 underwent radical surgery. The relationships between the expression of p-mTOR and clinicopathological factors and survival were determined. We also investigated the 10 effect of everolimus on the OSCC cell lines, SAS, HSC-2, HSC-3, HSC-4, OSC-20, 11 12SCC25 and Ca9-22 by the MTT assay. We further evaluated whether mTOR contributed to cell functions by blocking its activity with everolimus, and confirmed the direct target 1314by the Matrigel invasion assay, wound healing assay and Western blotting.

Results: p-mTOR was overexpressed in 37 tumors (52.8%), and correlated with the T classification, N classification, and survival rate (P<0.05). The treatment with everolimus significantly inhibited cell growth, and significantly reduced the expression of p-mTOR, downstream signaling proteins, and hypoxic related proteins as well as invasion and migration potentials (P<0.05).

20 Conclusions: The results of the present study suggest that everolimus may represent an 21 attractive approach for the future treatment of OSCC.

- 22
- 23
- 24
- 25

 $\mathbf{2}$

1 Introduction

Oral squamous cell carcinoma (OSCC) is the most common malignant tumor of the head and neck region, and its incidence has recently been increasing [1]. The current management and treatment of OSCC involves multimodal approaches comprising surgery, chemotherapy, and radiotherapy [2]. Despite recent advances in early detection, diagnosis, and treatment, the 5-year survival rate for patients with OSCC has remained at 50% for the past 30 years [3]. Because of the high prevalence and mortality rates of oral cancers, new treatment strategies are required.

9 The mammalian target of rapamycin (mTOR) is a 289-KDa serine/threonine kinase belonging to the phosphoinositide 3-kinase (PI3K)-related kinase family that regulates 10 cell growth, proliferation, and progression of the cell cycle [4]. mTOR is activated by 11 the phosphorylation of Ser2448 through the PI3K/AKT signaling pathway, and 12completes these functions by activating p70 ribosomal S6 kinase (p70^{S6K}) and 1314phosphorylating the eukaryotic initiation factor 4E binding protein 1 (4E-BP1) [4, 5, 7]. 15Moreover, this pathway promotes the translation of hypoxia-inducible factor-1 α 16(HIF-1 α) mRNA coding for pro-oncogenic proteins and regulates its expression and activity [6, 7]. HIF-1 α is one of the main regulators of cellular adaptaion to hypoxia and 1718is known to be stabilized and translocated to the nucleus under hypoxic conditions, and induces the expression of the vascular endothelial growth factor (VEGF) and other 1920tumor growth factors [6-9]. Furthermore, several studies have indicated that the 21expression of HIF-1 α is associated with resistance to chemotherapy and radiotherapy 22[10-12]. Therefore, a more detailed examination of this pathway should be performed in OSCC. 23

Activated mTOR has been associated with poor prognosis in various cancers including OSCC [13-16], and some researchers have indicated the effectiveness of mTOR

inhibitors in various cancers [16-20]. However, the anti-tumor effect of the mTOR 1 $\mathbf{2}$ inhibitor in OSCC under hypoxic conditions remains unclear. Everolimus (RAD001) is an orally bioavailable derivative of rapamycin and initially forms a complex with 12kDa 3 FK506-binding protein (FKBP-12). This complex then binds 4 to the FKBP-12-Rapamycin Binding (FRB) domain of mTOR, and inhibits the function of $\mathbf{5}$ 6 mTOR [21]. Everolimus has been approved for the treatment of metastatic renal cell 7 carcinoma [22], progressive neuroendocrine tumors of the pancreatic origin (PNET) [23], and advanced estrogen receptor (ER) positive, human epidermal growth factor 8 receptor-2 (HER2) negative breast cancer [24]. A phase 1 study of everolimus plus 9 10 weekly cisplatin in combination with intensity-modulated radiation therapy in head and neck cancer has very recently been conducted [25]. However, this study mainly 11 12evaluated pharyngeal and salivary gland cancers, with only a few cases of oral cancer 13being included. As chemosensitivity is known to differ between pharyngeal cancer, salivary gland cancer, and oral cancer, a further examination of only oral cancer is 1415needed.

In the present study, we selected patients who underwent radical surgery and examined the relationship between activated mTOR and clinical outcomes, and the antitumor activity of everolimus using OSCC cell lines.

19 Materials and Methods

20 Patients

Paraffin-embedded sections were obtained from the biopsy specimens of 70 patients with OSCC who underwent radical surgery in our Department between January 2000 and December 2007. The tumor stage was classified according to the TNM classification of the International Union Against Cancer [39]. The histological differentiation of tumors was defined according to the WHO classification [40]. The

1 pattern of invasion was classified according to Bryne's classification [41].

2 Immunohistochemical staining and evaluation

Deparaffinized sections in xylene were soaked in 10 mmol/l citrate buffer (pH 6.0) 3 and placed in an autoclave at 121°C for 5 min for antigen retrieval. Endogenous 4 peroxidase was blocked by incubating sections with 0.3% H₂O₂ in methanol for 30 min. $\mathbf{5}$ 6 Immunohistochemical staining was performed using the Envision system 7 (ENVISION+; DAKO, Glostrup, Denmark). The primary antibodies used were against phosphorylated mTOR (p-mTOR) and proliferating cell nuclear antigen (PCNA). The 8 sections were then washed in Dulbecco's phosphate buffered saline (PBS), followed by 9 10 incubation with the primary antibodies at 4°C overnight. The reaction products were visualized by immersing the sections in diaminobenzidine (DAB) solution, and the 11 12samples were counterstained with Meyer's hematoxylin and mounted. Results were 13evaluated by calculating the total immunostaining score as the product of the proportional score and intensity score. As described previously, the proportional scores 1415described the estimated fraction of positively-stained tumor cells (0, none; 1, <10%; 2, 16 10-50%; 3, 50- 80%; 4, >80%). The intensity score represented the estimated staining intensity (0, no staining; 1, weak; 2, moderate; 3, strong). Total scores ranged from 0-12. 1718 Positive sections were defined as those with a total score >4 [37]. Immunohistochemical defined greater 19overexpression was as a total score than 4 because immunohistochemical expression in samples showed a bimodal distribution with the 20discriminating nadir at a total score value of 3 to 4. 21

22 *Reagents and cell culture*

Everolimus was purchased from Selleck-chemicals (Houston, TX USA). It was dissolved in DMSO and adjusted to the final concentration with culture medium. All 7 human OSCC cell lines, SAS, HSC-2, HSC-3, HSC-4, OSC-20, SCC25, and Ca9-22,

 $\mathbf{5}$

used in this study were cultured in a 1:1 mixture of Ham's F-12/DMEM supplemented
with 10% FBS (Trace Scientific, Melbourne, Australia). The cells were exposed to
normoxia or hypoxia in the presence or absence of different doses of everolimus. All
cells were maintained under humidified 5% CO₂ and 19% O₂ incubation at 37°C
(normoxic conditions). Hypoxic conditions (0.1% O₂) were achieved using
AneroPack-Kenki (Mitsubishi Gas Chemical) and were monitored using an oxygen
indicator.

8 Cell proliferation assay

Cells were seeded in 96-well plates at a concentration of 1.5×10^3 per well and 9 10 incubated for 24h. Cells were exposed to everolimus doses ranging from 0.001nmol/L to 1000nmol/L. At the end of the treatment for 72h, cells were incubated with 0.5mg/ml 11 3-(4, 5-dimethylthiazol-2-yl)-2, 5-diphenyltetrazolium bromide (MTT; Sigma-Aldrich). 1213Four hours later, the medium was replaced with 100µl dimethylsulfoxide (DMSO; Sigma-Aldrich) and vortexed for 10 min. Absorbance was then recorded at 570nm using 1415a microplate auto reader (Multiskan FC, Thermo Fisher Scientific Inc). Cell viability 16(%) was calculated as a percentage of the absence of everolimus. The 50% of cell growth inhibition (IC₅₀) values were appropriately derived from the results obtained 1718 with the MTT assay.

19 Invasion assay

A Biocoat Matrigel invasion chamber containing an internal chamber with an 8- μ m porous membrane bottom coated with Matrigel (Becton Dickinson, Bedford, MA) was used for the invasion assay. Six-well cell culture inserts and a six-well multiwall companion plate were used for the experiment. The membranes were rehydrated with warm serum-free medium for 2h. The internal chamber was filled with 1.25×10^5 cells in medium containing 10% FBS as a chemoattractant. Cells were incubated for 72h

under normoxic conditions, non-invading cells were removed from the top of the wells 1 with a cotton swab, and cells that were transferred to the inverse surface of the $\mathbf{2}$ membrane were subjected to Diff-Quick staining. Cells were counted under a 3 microscope at $100 \times$ magnification. Cells that passed through a control chamber without 4 Matrigel were counted for the control. All experiments were performed in triplicate, and $\mathbf{5}$ 6 cell numbers were counted in at least 2 fields/well. The ratio of the cell count that 7 passed through the Matrigel chamber to the control cell count was defined as the 8 invasion index, and was expressed as a percentage.

9 Wound-healing assay

10 Cell migration was evaluated by a scratched wound-healing assay on plastic plate 11 wells. In brief, cells were grown to confluency and then wounded using a pipette tip. 12 Three wounds were made for each sample, and all were photographed at 0h and 13 subsequent time points. Cell migration was evaluated by measuring the width of the 14 wound at the same position.

15 Western blot analysis

16Cells were harvested by trypsinization, washed, and precipitated by centrifugation. The Mammalian Cell Extraction Kit (Biovison Research Products, Mountain View, CA) 1718 was used to extract proteins. All subsequent manipulations were performed on ice. The 19cells were incubated in Extraction Buffer Mix. Lysed cells were centrifuged at 15000rpm for 5min, and the resultant supernatant was used. The protein concentration 20of each sample was measured with micro-BCA protein assay reagent (Pierce Chemica. 2122Co., Rockford, IL). After the samples were denatured in SDS sample buffer, they were heated at 70 °C for 10mins and then loaded onto a 4-12% NuPAGE NOVEX bis-Tris 2324polyacrylamide gel or 3-8% NuPAGE NOVEX Tris-Acetate polyacrylamide gel. After electrophoresis, the separated proteins were transferred to iBlot polyvinylidene 25

difluoride membranes using the iBlot Dry Blotting System and signals were detected by
the Western Breeze Immunodetection Kit (life technologies, Tokyo, Japan). Antibodies
against mTOR, p-mTOR, p70^{S6K}, p-p70^{S6K}, 4E-BP1, p-4E-BP1 (Cell Signaling
Technology, Danvers, MA), HIF-1α (Abcam, Tokyo, Japan) and VEGF-C (life
technologies, Tokyo, Japan) were used at 1:1000 dilution. Anti-β-actin (Santa Cruz
Biotech, CA) was used as a blotting control.

7 Statistical analysis

8 The relationships between the sample expression of target molecules and clinicopathological features were assessed by Fischer's exact test. Continuous data were 9 10 given as means \pm standard deviation. Survival analysis was calculated using the Kaplan-Meier method and compared using the log-rank test. A multiple regression study 11 12was performed using Cox's proportional hazard analysis. Predictors that were not 13associated with the disease-specific survival (DSS) rate were not included in the multivariate analysis. Differences between groups were compared with the t-test. P 1415values less than 0.05 were considered significant.

16 **Results**

17 Expression of p-mTOR in OSCC

p-mTOR protein expression was absent or minimal in the cytoplasm of epithelial cells in normal oral tissue. However, p-mTOR was overexpressed in 37 (52.8%) out of 70 OSCC samples. p-mTOR was mainly expressed in the cytoplasm of tumor cells, ranging from low to strong intensities. p-mTOR expression was observed in tumor nests and the invasive front, and was stronger in the invasive front (Fig. 1).

23 Relationship between p-mTOR expression and clinicopathological factors and 24 survival.

25 p-mTOR expression levels in OSCC specimens were examined as a function of

clinicopathological factors. The expression of p-mTOR was correlated with the tumor
stage and regional lymph node metastasis. However, no correlation was observed
between oral cancer cell invasion and p-mTOR expression (Table 1).

The 5-year DSS rates were determined according to p-mTOR expression and other clinicopathological factors. Univariate analysis using the log-rank test and Kaplan-Meier method revealed a correlation between p-mTOR expression and 5-year DSS rates (Fig. 2, P<0.05). Predictors that were associated with 5-year DSS rates in univariate analysis were included in Cox's proportional hazard model, and this multivariate analysis showed that p-mTOR expression was not a significant independent predictor of 5-year DSS in OSCC (Table 2, p=0.397).

11 *Relationship between mTOR activity and the PCNA labeling index*

PCNA expression levels were immunohistochemically examined in cancer cells to determine the interaction between tumor cell proliferation and the function of mTOR. PCNA expression was detected immunohistochemically in the nuclei of tumor cells. The average PCNA labeling index (LI) was significantly higher in p-mTOR positive cases (51.595%) than in p-mTOR negative cases (23.379%) (P<0.001).

17 Inhibition of mTOR by everolimus suppressed cell growth in OSCC cell lines.

We evaluated the sensitivity of everolimus in the 7 different OSCC cell lines using 18 the MTT assay. Everolimus significantly inhibited cell proliferation in a dose-dependent 19manner in all cell lines tested (Fig. 3A, P<0.05). SAS was the most sensitive cell line, 20followed by HSC-2 (IC₅₀, 3.65, 7.38nM, respectively). We selected the most sensitive 2122cell line, SAS and analyzed the expression levels of the phosphorylated and non-phosphorylated forms of mTOR, p70^{S6K}, and 4E-BP1 by western blotting. The 23results obtained showed that the phosphorylation of p-mTOR, p70^{S6K}, and p-4E-BP1 24was inhibited in a dose-dependent manner (Fig. 3B). 25

1 Effect of everolimus on the migration and invasion of SAS cells

 $\mathbf{2}$ Cell migration and invasion are the basic characteristics of tumor growth and metastasis. We performed wound healing and Matrigel invasion assays on SAS cells to 3 examine the effects of everolimus on the migration and invasion potential of cells. The 4 evaluation of cell migration in the control condition, 1nM of everolimus, 10nM of $\mathbf{5}$ 6 everolimus, and 100nM of everolimus revealed that healing rate at 12h after wounding 7 was significantly decreased (Fig. 4A, P<0.05). The evaluation of invasion potential also 8 revealed a significant decrease in the invasion index (Fig. 4B, P<0.05). These results 9 indicated that everolimus suppressed the mobility of SAS cells in vitro.

10 *Effect of everolimus under hypoxic conditions*

We analyzed the effect of everolimus on the HIF-1 pathway to clarify the chemoresistance under hypoxic conditions. A comparison of the effects of the control condition, 1nM of everolimus, 10nM of everolimus, and 100nM of everolimus on the expression of HIF-1 α and VEGF-C revealed a dose-dependent decrease in expression levels (Fig. 5). These results indicate that everolimus may be effective both normoxic and hypoxic conditions.

17 **Discussion**

18 The goal of this study was to assess the relationship between activated mTOR and clinical outcomes, and the antitumor activity of everolimus using OSCC cell lines. We 1920here demonstrated that p-mTOR was overexpressed in 52.8% of OSCC. Monteiro et al. [13] reported the strong expression of p-mTOR in 63.9% of head and neck carcinomas, 2122while Clark et al. [26] reported its expression in 81.9%, and Brown et al. [27] reported 23its expression in 93%. Hirashima et al. [14] also confirmed the expression of p-mTOR in 49.7% of esophageal squamous cell carcinoma. Our expression data are equal or $\mathbf{24}$ lower than previously reported values. This may have been due to differences in the 25

anatomical locations of the tumors, methods used to evaluate mTOR phosphorylation, or cut-off values for p-mTOR positivity. Regarding the clinicopathological features and survival, the results of the present study are consistent with previous findings in which a close relationship was observed between elevated p-mTOR levels and poorer survival rates [13-16, 26, 27]. PCNA has been considered to be a potent cell proliferation maker and its clinical significance has been established in OSCC [28]. Our results suggest that mTOR expression levels could be a prognostic factor in OSCC patients.

In this context, we examined the antitumor activity of the mTOR inhibitor everolimus 8 in OSCC cell lines under normoxic and hypoxic conditions. We showed here that 9 10 mTOR inhibitor everolimus inhibited at the level of cell proliferation and protein expression of mTOR and its downstream signaling in a dose-dependent manner in 11 12OSCC. The discrepancy in IC_{50} values could be due to differences in the cell systems 13examined. Similar results have been reported for breast cancer, renal cell carcinoma, pancreatic neuroendocrine tumor, medullary thyroid carcinoma, gastric cancer, and 1415ovarian clear cell adenocarcinoma [17, 32-36]. These findings suggest that everolimus 16 as a single agent may have potent anti-tumor efficacy against OSCC cells.

Tumor invasion and metastasis in various cancers including OSCC are known to be 1718 regulated by various genetic instabilities [6, 7]. Previous studies demonstrated that the de novo overexpression of mTOR and downstream factors increased the invasion and 19migration potentials, whereas the inhibition of mTOR signaling by mTOR inhibitors 20decreased the invasion and migration potentials of esophageal squamous cell carcinoma 2122[7, 32]. The immunohistochemical staining of p-mTOR in the present study revealed strong positivity in the invasive front of tumors. However, p-mTOR expression was not 2324significantly correlated with an invasion pattern; therefore, we examined invasion and migration potentials in OSCC cell lines. We showed that everolimus inhibited invasion 25

and migration potentials in a dose-dependent manner, which was consistent with the
 findings of a recent study.

HIF-1 α is significantly activated by hypoxia, and transactivates many genes, 3 including VEGF, involved in tumor development [6, 7]. Recent studies reported that 4 HIF-1a may be involved in chemoradioresistance. Moreover, the HIF-1a/VEGF $\mathbf{5}$ 6 pathway has been correlated with highly aggressive disease and poor prognosis in some 7 cancers [29-31, 36]. We also previously demonstrated that the mTOR/HIF-1a/VEGF pathway was associated with clinical outcomes [37]. VEGF-C was shown to induce 8 lymphangiogenesis and the formation of lymph node metastasis [29, 38]. We showed 9 10 here that everolimus inhibited the expression of HIF-1 α and VEGF-C under both normoxic and hypoxic conditions in a dose-dependent manner. Indeed, HIF-1a was 11 12efficaciously inhibited under hypoxic condition. These results indicated that the 13knockdown of HIF-1α expression may elevate sensitivity to various drugs under both normoxic and hypoxic conditions, and that everolimus could be useful for inhibiting 1415tumor progression and metastasis.

In summary, mTOR activation was observed in half of the OSCC tumors examined, which suggested that mTOR could be a promising target for the anti-tumor effect of everolimus under both normoxic and hypoxic conditions. Although further experimental studies are needed to confirm these results, the results of the current study suggest a potential treatment strategy for OSCC patients.

- 21
- 22 **Conflicts of interest statement**
- 23 None declared.
- 24
- 25 Acknowledgements

1		This study was partially supported by Grants 70549609 from the Ministry of			
2	Education, Culture, Sports, Science and Technology, Japan.				
3					
4	ŀ	References			
5	1.	Mao L, Hong WK and Papadimitrakopoulou VA (2004) Focus on head and neck			
6		cancer. Cancer Cell 5:311-6			
7	2.	Seiwert TY and Cohen EE (2005) State-of-the-art management of locally advanced			
8		head and neck cancer. Br J Cancer 92 (8):1341-8			
9	3.	Rogers SN, Brown JS, Woolgar JA, Lowe D, Magennis P, Shaw RJ, Sutton D,			
10		Errington D, Vaughan D (2009) Survival following primary surgery for oral cancer.			
11		Oral Oncol 45 (3):201-11			
12	4.	Shaw RJ, Cantley LC (2006) Ras, PI(3)K and mTOR signaling controls tumor cell			
13		growth. Nature 441 (7092):424-30			
14	5.	Liu FY, Zhao ZJ, Li P, Ding X, Zong ZH, Sun CF (2010) Mammalian target of			
15		rapamycin (mTOR) is involved in the survival of cells mediated by chemokine			
16		receptor 7 through PI3K/Akt in metastatic squamous cell carcinoma of the head and			
17		neck. Br J Oral Maxillofac Surg 48:291-6			
18	6.	Semenza GL. Targeting HIF-1 for cancer therapy. Nat Rev Cancer. Nat Rev Cancer			
19		2003;3:721-32			
20	7.	Laplante M, Sabatini DM (2012) mTOR signaling in growth control and disease.			
21		Cell 149 (2):274-93			
22	8.	Pouysségur J, Dayan F, Mazure NM (2006) Hypoxia signaling in cancer and			
23		approaches to enforce tumour regression. Nature 441:437-43			
24	9.	Hohwer N, Cramer T (2011) Hypoxia-mediated drug resistance: Novel insights on			
25		the functional interaction of HIFs and cell death pathways. Drug Resist Updat			

1 14:191-201

2	10. Brown LM, Cowen RL, Debray C, Eustace A, Erier JT, Sheppard FC, Parker CA,
3	Stratford IJ, Williams KJ (2006) Reversing hypoxic cell chemoresistance in vitro
4	using genetic and small molecule approaches targeting hypoxia inducible factor-1.
5	Mol Pharmacol 69:411-8
6	11. Liu L, Ning X, Sun L, Zhang H, Shi Y, Guo C, Han Z, Liu J, Sun S, Han Z, Wu K,
7	Fan D (2008) Hypoxia-inducible factor-1 alpha contributes to hypoxia-induced
8	chemoresistance in gastric cancer. Cancer sci 99:121-8
9	12. Yoshiba S, Ito D, Nagumo T, Shirota T, Hatori M, Shintani S (2009) Hypoxia
10	induces resistance to 5-fluorouracil in oral cancer cells via $G(1)$ phase cell cycle
11	arrest. Oral Oncol 45:109-15
12	13. Monteiro LS, Delgado ML, Ricardo S, Garcez F, do Amarai B, Warnakulasuriya S,
13	Lopes C (2013) Phosphorylated mammalian target of rapamycin is associated with
14	an adverse outcome in oral squamous cell carcinoma. Oral Surg Oral Med Oral
15	Pathol Oral Radiol 115(5):638-45
16	14. Hirashima K, Baba Y, Watanabe M, Karashima R, Sato N, Imamura Y Hiyoshi Y,
17	Nagai Y, Hayashi N, Iyama K, Baba H (2010) Phosphorylated mTOR expression is
18	associated with poor prognosis for patients with esophageal squamous cell
19	carcinoma. Ann Surg Oncol 17(9):2486-93
20	15. Bakarakos P, Theohari I, Nomikos A, Mylona E, Papadimitriou C, Dimopoulos AM,
21	Nakopoulou L (2010) Immunohistochemical study of PTEN and phosphorylated
22	mTOR proteins in familial and sporadic invasive breast carcinomas. Histopathology
23	56(7):876-82
24	16. Xu DZ, Geng QR, Tian Y, Cai MY, Fang XJ, Zhan YQ, Zhou ZW, Li W, Chen YB,

25 Sun XW, Guan YX, Li YF, Lin TY (2010) Activated mammalian target of

1	rapamycin is a potential therapeutic target in gastric cancer. BMC Cancer 10:536
2	17. Del Bufalo D, Ciuffreda L, Trisciuoqlio D, Desideri M, Coqnetti F, Zupi G, Milella
3	M (2006) Antiangiogenic potential of the Mammalian target of rapamycin inhibitor
4	temsirolimus. Cancer Res 66:5549-54
5	18. Matsumoto K, Arao T, Tanaka K, Kaneda H, Kudo K, Fujita Y, Tamura D,
6	Aomatsu K, Tamura T, Yamada Y, Saijo N, Nishio K (2009) mTOR signal and
7	hypoxia-inducible factor-1 alpha regulate CD133 expression in cancer cells. Cancer
8	Res 69:7160-4
9	19. Okui T, Shimo T, Fukazawa T, Kurio N, Hassan NM, Honami T, Takaoka M,
10	Naomoto Y, Sasaki A (2010) Antitumor effect of temsirolimus against oral
11	squamous cell carcinoma associated with bone destruction. Mol Cancer Ther
12	9:2960-9
13	20. Okui T, Shimo T, Fukazawa T, Mohammad Monsur Hassan N, Honami T, Ibaragi S,
14	Takaoka M, Naomoto Y, Sasaki A (2013) Novel HSP90 inhibitor NVP-AUY922
15	enhances the anti-tumor effect of temsirolimus against oral squamous cell carcinoma.
16	Curr Cancer Drug Targets 13:289-99.
17	21. Huang S, Houghton PJ (2003) Targeting mTOR signaling for cancer therapy. Curr
18	Opin Pharmacol 3:371-7
19	22. Motzer RJ, Escudier B, Oudard S, Hutson TE, Porta C, Bracarda S, Grunwald V,
20	Thompson JA, Figlin RA, Hollaender N, Urbanowitz G, Berg WJ, Kay A, Lebwohl
21	D, Ravaud A; RECORD-1 Study Group (2008) Efficacy of everolimus in advanced
22	renal cell carcinoma: a double-blind, randomized, placebo-controlled phase III trial.
23	Lancet 372:449-56
24	23. Pavel ME, Hainsworth JD, Baudin E, Peeters M, Hörsch D, Winkler RE, Klimovsky
25	J, Lebwohl D, Jehl V, Wolin EM, Oberg K, Van Cutsem E, Yao JC; RADIANT-2

1	Study Group (2011) Everolimus plus octreotide long-acting repeatable for the					
2	treatment of advanced neuroendocrine tumours associated with carcinoid syndrome					
3	(RADIANT-2): a randomised, placebo-controlled, phase 3 study. Lancet					
4	378:2005-12					
5	24. Burris HA 3 rd , Lebrun F, Rugo HS, Beck JT, Piccart M, Neven P, Baselga J,					
6	Petrakova K, Hortobagyi GN, Komorowski A, Chouinard E, Young R, Gnant M,					
7	Pritchard KI, Bennett L, Ricci JF, Bauly H, Taran T, Sahmoud T, Noguchi S (2013)					
8	Health-related Quality of Life of Patients with Advanced Breast cancer Treated With					
9	Everolimus Plus Exmestane Versus Placebo Plus Exemestane in the Phase 3,					
10	Randomizd, Controlled, BOLERO-2 Trial. Cancer 119(10):1908-15					
11	25. Fury MG, Lee NY, Sherman E, Ho AL, Rao S, Heguy A, Shen R, Korte S, Lisa D,					
12	Ganly I, Patel S, Wong RJ, Shaha A, shah J, Haque S, Katabi N, Pfister DG (2013)					
13	A phase 1 study of everolimus + weekly cisplatin + intensity modulated radiation					
14	therapy in head and neck cancer. Int J Radiat Oncol Biol Phys 87(3):479-86					
15	26. Clark C, Shah S, Herman-Ferdinandez L, Ekshyyan O, Abreo F, Rong X, McLarty J,					
16	Lurie A, Milligan EJ, Nathan CO (2010) Teasing out the best molecular marker in					
17	the AKT/mTOR pathway in head and neck squamous cell cancer patients.					
18	Laryngoscope 20(6):1159-65					
19	27. Brown RE, Zhang PL, Lun M, Zhu S, Pellitteri PK, Riefkohl W, Law A, Wood GC,					
20	Kennedy TL (2006) Morphoproteomic and pharmacoproteomic rationale for mTOR					
21	effectors as therapeutic targets in head and neck squamous cell carcinoma. Ann Clin					
22	Lab Sci 36(3):273-82					
23	28. Myoung H, Kim MJ, Lee JH, Ok YJ, Paeng JY and Yuun PY (2006) Correlation of					
24	proliferative markers (Ki-67 and PCNA) with survival and lymph node metastasis in					
25	oral squamous cell carcinoma: a clinical and histopathological analysis of 113					

1 patients. Int J Oral Maxillofac Surg 35(11):1005-10

2 29. Dorević G, Matusan-Ilijas K, Babarović E, Hadzisejdić I, Grahovac M, Grahovac B,
Jonjic N (2009) Hypoxia inducible factor-1alpha correlates with vascular
endothelial growth factor A and C indicating worse prognosis in clear cell renal cell
carcinoma. J Exp Clin Cancer Res 20; 28-40

30. Cao D, Hou M, Guan YS, Jiang M, Yang Y, Gou HF (2009) Expression of
HIF-1alpha and VEGF in colorectal cancer: association with clinical outcomes and
prognostic implications. BMC Cancer 9:432

9 31. Oh SY, Kwon HC, Kim SH, Jang JS, Kim MC, Kim KH, Han JY, Kim CO, Kim SJ,
10 Jeong JS, Kim HJ (2008) Clinicopathologic significance of HIF-1alpha, p53, and
11 VEGF expression and preoperative serum VEGF level in gastric cancer. BMC
12 Cancer 8:123

32. Juengel E, Engler J, Natsheh I, Jones J, Mickuckyte A, Hudak L, Jonas D, Blaheta
 RA (2009) Combining the receptor tyrosine kinase inhibitor AEE788 and the
 mammalian target of rapamycin (mTOR) inhibitor RAD001 strongly inhibits
 adhesion and growth of renal cell carcinoma cells. BMC Cancer 9:161

33. Zitzmann K, De Toni EN, Brand S, Göke B, Meinecke J, Spöttl G, Meyer HH,
Auernhammer CJ (2007) The novel mTOR inhibitor RAD001 (everolimus) induces
antiproliferative effects in human pancreatic neuroendocrine tumor cells.
Neuroendocrinology 85(1):54-60

34. Grozinsky-Glasberg S, Rubinfeld H, Nordenberg Y, Gorshtein A, Praiss M, Kendler 21E, Feinmesser R, Grossman AB, Shimon I (2010) The rapamycin-derivative 2223RAD001 (everolimus) inhibits cell viability and interacts with the 24Akt-mTOR-p70S6K pathway in human medullary thyroid carcinoma cells. Mol Cell Endocrinol 315:87-94 25

1	35. Nishi T, Iwasaki K, Ohashi N, Tanaka C, Kobayashi D, Nakayama G, Koike M,					
2	Fujiwara M, Kobayashi T, Kodera Y (2013) Phosphorylation of 4E-BP1 predicts					
3	sensitivity to everolimus in gastric cancer cells. Cancer Lett 331(2):220-9					
4	36. Miyazawa M, Yasuda M, Fujita M, Kajiwara H, Hirabayashi K, Takekoshi S,					
5	Hirasawa T, Murakami M, Ogane N, Kiguchi K, Ishiwata I, Mikami M, Osamura					
6	RY (2009) Therapeutic strategy targeting the mTOR-HIF-1alpha-VEGF pathway in					
7	ovarian clear cell adenocarcinoma. Pathol Int 59:19-27					
8	37. Naruse T, Kawasaki G, Yanamoto S, Mizuno A and Umeda M (2011)					
9	Immunohistochemical study of VEGF expression in oral squamous cell carcinomas:					
10	correlation with the mTOR- HIF-1 α pathway. Anticancer Res (12):4429-37.					
11	38. Otrock ZK, Makarem JA and Shamseddine AI (2007) Vascular endothelial growth					
12	factor family of ligands and receptors: review. Blood Cells Mol Dis 38(3):258-68					
13	39. Sobin LH, Wittekind C (2002) UICC TNM classification of malignant tumours, 6 th					
14	edn. Wiley, New York					
15	40. Pinborg JJ, Reichart PA, Smith CJ, van der Waal I (eds) (1997) World Health					
16	Organization histological typing of cancer and precancer of the oral mucosa, 2 nd edn.					
17	Springer, New York					
18	41. Bryne M, Boysen M, Alfsen CG, Abeler VM, Nesland JM, Kristensen GB, Piffko J,					
19	Bankfalvi A (1998) The invasive front of carcinomas. The most important area for					
20	tumour prognosis? Anticancer Res 18(6B):4757-64					
21						
22	Figure legends					
23	Figure 1. Representative immunohistochemical staining for p-mTOR. Negative					

staining of p-mTOR is shown in the normal oral epithelium (A). Moderate p-mTOR
cytoplasmic expression (staining index of 8) was observed in squamous cell carcinoma

- with a Bryne's score of 2 (B). Strong p-mTOR cytoplasmic expression (staining index
 of 12) was observed in squamous cell carcinoma with a Bryne's score of 3 (C).
- 3

Figure 2. Kaplan-Meier survival curve of 5-year disease-specific survival (DSS) rates.
The 5-year DSS rates of p-mTOR positive patients were significantly shorter than those
of p-mTOR negative patients (P<0.05).

7

Figure 3. Effect of everolimus on cell proliferation and mTOR signaling in OSCC cell lines. Seven OSCC cell lines were exposed to doses of everolimus ranging from 0.001nM to 1000nM. The percentage cell viability (%) and dose of the drug that inhibited cell growth by 50% (IC₅₀) were calculated (A). SAS cells were exposed to everolimus at the indicated concentrations and subsequently assessed for protein expression and phosphorylation by western blotting (B).

14

15Figure 4. Effect of everolimus on invasion and migration potentials in the SAS cell line. 16Invasion in SAS cells (left) and the percentage of invaded cells (right) were determined, as described in the Materials and Methods (A). The graph shows a significant decrease 1718 in the invasion index of SAS cells (58.87%, 35.28%, 19.06%, and 16.68%, respectively. p<0.05). The wound healing process was photographed 0, 3, 6, and 12 h after wounding 19(left), and healing rates were determined, as described in the Materials and Methods (B). 2021The graph shows a significant decrease in the wound healing rate in SAS cells (83.33%, 2268%, 51.84%, and 44.09%, respectively. p<0.05).

23

Figure 5. Effect of everolimus on the expression of HIF-1 α and VEGF-C in the SAS cell line. Western blot analysis of HIF-1 α and VEGF-C protein expression in SAS cells

1	exposed to normoxic and hypoxic conditions for 24h in the presence or absence of
2	everolimus at the indicated concentrations.
3	
4	Table 1. Relationship between the overexpression of p-mTOR and clinicopathological
5	features and survival.
6	
7	Table 2. Multivariate analysis (Cox regression) of DSS rates in OSCC.
8	

Fig 1. Representative immunohistochemical staining for p-mTOR.









Fig 3. Effect of everolimus on cell proliferation and mTOR singanling in OSCC cell lines.

Fig 3. Effect of everolimus on cell proliferation and mTOR singanling in OSCC cell lines.

B





Fig 4. Effect of everolimus on invasion and migration potential in SAS cell line.



Fig 4. Effect of everolimus on invasion and migration potential in SAS cell line.





Fig 5. Effects of everolimus on HIF-1 α and VEGF-C expressions in SAS cell line.



		r		D 1		
	-	p-mTOR		<i>P</i> -value	5-year DSS (%)	<i>P</i> -value
		—	+			
Normal epithelium		10	0			
SCC		33	37	<0.001		
Gender	Male	21	20	0 472	73.7%	0 301
	Female	12	17	0.472	82.7%	0.301
Age	67≧	11	15	0.623	83.5%	0.439
	67<	22	22	0.025	76.2%	0.437
T classification	T1+T2	29	22	<0.05	86.2%	0.02
	T3+T4	4	15	<0.05	60.9%	0.02
N classification	N0	30	25	<0.05	87.3%	<0.001
	N1+N2	3	12	(0.05	48.6%	0.001
Differentiation	well	31	33	0.676	80.8%	0 303
	moderate. poor.	2	4	0.070	66.7%	0.000
Pattern of invasion	Grades 1/2	26	24	0 289	89.6%	<0.001
	Grades 3/4	7	13	0.207	52.9%	

Parameter	Hazard ratio	95% CI	<i>P</i> value
T classification (T1 +T2 versus T3 + T4)	1.6271	0.4464-5.93	0.461
N classification (N0 versus N1 + N2)	2.7115	0.6774-10.85	0.158
Pattern of invasion (Grades 1/2 versus Grades 3/4)	6.7596	1.9943-22.91	0.002
p-mTOR overexpression (negative versus positive)	1.9025	0.43-8.42	0.397

Table 2: Multivariate analysis (Cox regression) of DSS rates in OSCC.