Title: Clinical significance of autoantibodies in PBC

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Summary (140 words)

Anti-mitochondrial, anti-gp210, anti-sp100, and anti-centromere antibodies are specifically detected in PBC. In clinical practice, they are useful for the diagnosis of PBC or for evaluating disease severity, clinical phenotype, and long-term outcome. In the typical or classical form of PBC which shows slow progressive loss of small bile ducts with a parallel increase in liver fibrosis, anti-gp210 antibodies are a strong risk factor for progression to jaundice and hepatic failure, while the presence of anti-centromere antibodies is a risk factor for progression to cirrhosis and portal hypertension. Of note, the autoimmune repertoire, which is established during the early stage of the disease process, can influence the clinical phenotype and the long-term prognosis of PBC. Since the natural course of PBC is being altered by treatment with ursodeoxycholic acid, the clinical significance of these PBC-specific autoantibodies awaits re-evaluation in various ethnicities.

Key words

Anti-mitochondrial antibodies (AMAs)

Anti-nuclear antibodies (ANAs)

Anti-gp210 antibodies

Anti-centromere antibodies (ACAs)

Primary biliary cirrhosis (PBC)

I. Introduction

Primary biliary cirrhosis (PBC) is a chronic, progressive cholestatic autoimmune liver disease characterized by destruction of intrahepatic bile ducts, portal hypertension, and development of cirrhosis and hepatic failure [1]. Although the prognosis of PBC has improved markedly since the introduction of ursodeoxycholic acid (UDCA), disease progression remains highly variable. Approximately 10 to 20% of patients do not respond to treatment and eventually progress to end-stage hepatic failure **[2,3,4]**.

More than 60 distinct autoantibodies have been identified in PBC [5-9]. Some of them are specific to PBC and are useful not only for the diagnosis of PBC, but also for the assessment of disease severity, clinical phenotype, and long-term prognosis (Table 1) [5-11]. Some autoantibodies are specific for concomitant autoimmune diseases such as Sjogren's syndrome, chronic thyroiditis, systemic sclerosis (SSc), and autoimmune hepatitis (AIH) [5-7]. Other autoantibodies seem to be less important in clinical practice because of their low specificity or low sensitivity [6,7,8].

In the present review article, we focus on several PBC-specific autoantibodies, including anti-mitochondrial antibodies (AMAs) and anti-nuclear antibodies (ANAs) in a nuclear envelope (NE) staining pattern, multiple nuclear dot (MND) pattern, and centromere pattern (CENP) (**Table 1 and Figure 1**). We discuss their clinical significance in association with disease phenotype and long-term outcome [12].

II. Anti-mitochondrial antibodies (AMAs)

AMAs are highly PBC-specific autoantibodies that have been detected in

more than 90% of PBC patients but less than 1% of normal controls (**Table 1 and Figure 1A, 1B**) **[5,6,7]**. AMA-positivity constitutes one of the three major diagnostic criteria for PBC **[2,3,4]**.

The autoantigens targeted by AMAs consist of E2 components of the 2-oxoacid dehydrogenase family of enzyme complexes (2-OACD), including pyruvate dehydrogenase complex (PDC-E2), branched chain 2-oxoacid dehydrogenase complex (BCOADC-E2), 2-oxo-glutarate dehydrogenase complex (OGDC-E2), the E1 α subunit of PDC, and E3 binding protein (protein X) [7,13-16]. These enzymes are located on the inner mitochondrial membrane and catalyze the oxidative decarboxylation of keto-acid substrates [7]. In PBC, PDC-E2 is the primary autoantigen to which more than 90% of the antibodies in the patient's serum react [7]. In addition, approximately 50–80% of PBC patients have serum that reacts to BCOADC-E2, 20–60% react to OGDC-E2, 5–25% react to E1 α , and 10% react to E3 binding protein (**Table 1**) [13-16].

Various methods have been used for detecting AMAs, including IIF using sections of rat kidney, stomach, or liver or HEp-2 cells, as well as immunoblotting using purified or recombinant mitochondrial antigens [**17-20**]. To increase the sensitivity of AMA detection, sensitive ELISA systems have been developed using M2 antigens (recombinant PDC-E2), M3 antigens (recombinant proteins co-expressing the immunodominant epitopes of PDC-E2, BCOADC-E2, and OACD-E2), and a sensitive bead assay **[17,18,19]**. With advances in the methods for detecting AMAs, the proportion of AMA-negative PBC patients has dropped below 5%. Furthermore, approximately half of AMA-negative PBC patients are positive for at least one of the three PBC-specific ANAs, either anti-gp210, anti-SP100, or anti-promyelocytic leukemia (PML) antibodies **[20,21,22]**.

The prevalence of AMAs in the first-degree relatives of PBC probands is as high as 13.1%, whereas the prevalence is only 1% in controls matched by gender, age, race, and residence, suggesting that environmental and genetic risk factors are involved in the pathogenesis of AMA production [23]. In addition, AMAs are detected in the serum years before clinical manifestations or biochemical abnormalities, indicating that the immune response to mitochondrial antigens has been initiated before clinical manifestations of PBC develop [24,25]. Although the characteristics of AMAs found in healthy subjects may differ from those found in PBC patients [26], AMA positivity in healthy subjects is potentially a risk factor for future development of PBC [24,25].

AMA titers do not change over time and are not associated with disease severity or progression [27,28]. The clinical course of AMA-positive and AMA-negative PBC patients are similar [29,30,31]. These findings suggest that AMA is not useful for monitoring the severity or progression of PBC. On the other hand, there have been some reports indicating some differences between AMA-positive and AMA-negative PBC patients [32-34]. A large Japanese retrospective database study suggested that pruritus is less frequently observed in AMA-negative patients as compared to AMA-positive patients [33]. Levels of ALP and IgM were also significantly lower in patients in the AMA-negative group as compared to the AMA-positive group. The degree of bile duct damage around the portal area was significantly milder in the AMA-positive group [34].

In addition, Poupon et al reported that AMA titers decrease with treatment with UDCA [35]. We recently observed several cases of early-stage PBC in which AMA titers became negative after treatment with UDCA, indicating the presence of immunological complete remission after UDCA therapy (**unpublished data**). Further prospective studies are needed to clarify the clinical significance of AMA levels over time with UDCA or bezafibrate therapy and to determine whether immunological complete remission can be achieved with treatment during an early stage of the disease, especially in AMA-positive subjects without any biochemical abnormalities.

III. Anti-nuclear antibodies (ANAs)

ANAs are detected by IIF in 30-50% of PBC patients [5,6,8,9,36,37].

ANAs detected by IIF using HEp-2 cells show various staining patterns, depending on the corresponding nuclear antigens (Figure 1 and Table 1) [8,36,37]. These antigens include components of (1) the NE pore complex (gp210 and p62), which correspond to a dotted NE or rim-like/membranous pattern (Figure 1C); (2) lamin A, B, C, and lamin B receptor, which correspond to a smooth NE pattern (Figure 1F); (3) sp100, PML proteins, sp140, and small ubiquitin-related modifiers (SUMOs), which correspond to a multiple nuclear dot (MND) pattern (Figure 1D); and (4) centromere A, B, and C proteins, which correspond to a centromere (CENP) pattern (Figure 1E) [8,37].

1: Anti-gp210 antibodies

Gp210 is an integral glycoprotein of the nuclear pore complex consisting of three main domains: a large glycosylated luminal domain, a single hydrophobic transmembrane segment, and a short cytoplasmic tail [**38,39**]. The main gp210 epitope recognized by anti-gp210 autoantibodies is a 15 amino acid stretch in the cytoplasmic, carboxyl-terminal domain of the protein, which is widely used for the detection of anti-gp210 antibodies in ELISAs [**39,40,41**]. Antibodies to gp210 are highly specific for PBC and are detected in 20–40% of PBC patients [**39,40,41**]. Itoh et al were the first to report an association between anti-gp210 antibodies and disease severity in 1998 **[42]**. Subsequently, the clinical significance of anti-gp210 antibodies and antibodies to nuclear pore complexes in general has been described by several investigators **[43-48]**.

Nakamura et al made serial ELISA measurements of serum levels of antibodies the terminal peptide of gp210-C 1863-1887 to (PTSPNALPPARKASPPSGLWSPAYASH) in a large Japanese cohort of PBC patients [41,48,49]. Results obtained so far indicate that (1) serum titers of anti-gp210 antibodies change from negative to positive or vice versa depending on disease activity or stage progression; (2) anti-gp210 antibody positivity is associated histologically with more severe interface hepatitis, lobular inflammation, and ductular reaction; (3) persistently positive anti-gp210 antibodies are a strong risk factor for progression to end-stage hepatic failure (jaundice-type progression); and (4) the prognosis is most favorable in PBC patients who were initially seropositive for anti-gp210 antibodies but became negative after UDCA treatment. A good immunological response, as determined by anti-gp210 antibody levels, is the most significant protective factor associated with disease progression, in addition to other recently well described protective factors based on a good biochemical response to UDCA treatment [50,51,52]. A significant association between anti-gp210 antibody status and severity or progression of PBC has

been supported by other recent cohort studies in Japan and China [22,53]. Since UDCA therapy was introduced into clinical practice for PBC, the natural course of PBC has changed dramatically in patients who are positive and negative for anti-gp210 antibodies. However, anti-gp210 antibody positivity remains the strongest risk factor for progression to hepatic failure in PBC patients in the latest Japanese cohort study [54]. To better understand the clinical significance of anti-gp210 antibodies, further study is needed in association with other factors that may influence anti-gp210 antibody production such as HLA, as well as environmental and genetic factors in patients of various ethnicities [49,55-57].

2: Anti-sp100 and anti-PML antibodies

The nuclear protein sp100 is a transcription factor that co-localizes with PML, another transcription coactivator [**37,38,39**]. Antibodies to sp100 and PML are detected in a dot-like distribution within the nucleus (MND pattern) with IIF (**Figure 1D**) [**8,37,58**]. Anti-sp100 and anti-PML antibodies are highly specific for PBC, with a prevalence of approximately 20–40% and 15–20%, respectively, in PBC patients (**Table1**) [**37,58-62**]. Antibodies to sp100 and PML typically co-exist in PBC; however, they do not cross-react [**58**]. In addition, approximately 74% of PBC patients with

urinary tract infections are positive for anti-sp100 antibodies, but only 4.8% of PBC patients do not have urinary tract infections [61]. These findings may support the hypothesis that some bacteria such as *Escherichia coli* are involved in the induction of autoantibodies in PBC [61]. Anti-sp100 or anti-PML antibody positivity is associated with disease severity and poor prognosis in European populations [59,60,62]. However, the significance of these antibodies remains to be determined in different ethnicities, including the Japanese population [48].

3: Anti-centromere antibodies (ACAs)

Kinetochore is the protein structure on the centromere, which is composed of more than 100 distinct proteins. It is the site to which spindle fibers attach during cell division to pull sister chromatids apart [9]. ACAs, which were originally found in patients with systemic sclerosis (SSc), are found in a discrete speckled pattern of nuclear staining in HEp-2 cells (Figure 1E) [9,37,63]. Kinetochore proteins, including CENP-A (17 kDa), CENP-B (~80 kDa), and CENP-C (140 kDa), are the major autoantigens recognized by ACAs [9]. ACAs are detected in approximately 90% of patients with limited cutaneous systemic sclerosis (LcSS, formerly known as CREST syndrome: calcinosis, Raynaud's phenomenon, esophageal dysmotility, sclerodactyly, and telangiectasia). ACAs are detected in up to 30% of patients with diffuse cutaneous SSc (dSSc) **[63,64]**. ACAs are also found in other autoimmune diseases including Sjogren's syndrome, systemic lupus erythematosus, rheumatoid arthritis, and PBC **[9]**.

Of note, ACA is detected in 10 to 30% of PBC patients without any apparent clinical manifestations of concomitant SSc [5,6,37,48,49,53,65]. The prevalence of ACAs in PBC patients is much higher than in patients with both PBC and SSC (1–2%) [66], indicating that ACAs are present in the serum of PBC patients without evidence of co-existing SSc. In addition, ACAs are significantly associated with the pathogenesis of PBC along with other PBC-specific autoantibodies such as AMA, anti-gp210, and anti-sp100.

One clinically relevant question is whether ACAs are a pre-clinical marker of future SSc in patients with PBC alone, or whether ACA-positive PBC patients have a subclinical form of the disease. To address this, ACA-positive PBC patients have been studied with regards to disease severity, prognosis, and clinical phenotype **[48,49]**. The results from one Japanese cohort so far indicate that (1) ACSs, in contrast to anti-gp210 antibodies, are detected before the onset of PBC, and ACA titers are stable (i.e., they do not change from positive to negative or vice versa over time); (2) PBC patients who are positive for ACAs are at high risk for progression to

cirrhosis and portal hypertension, but not to persistent jaundice and hepatic failure; (3) ACA positivity is histologically associated with more severe ductular reaction [48,49]. These findings are supported by additional studies [46, 53], but there are also reports indicating that ACA-positive PBC patients do not differ from ACA-negative PBC patients [65,66]. Since ACAs and anti-gp210 antibodies tend to be mutually exclusive, the significance of ACAs in the progression of PBC must be carefully evaluated in association with other risk factors including HLA and the presence of anti-gp210 antibodies [49]. SSc patients who are positive for ACAs invariably have Raynaud's phenomenon. ACAs are also associated with an elevated risk of pulmonary hypertension but not pulmonary fibrosis, indicating that ACAs can be a marker for differentiating between clinical phenotypes of SSc [63]. The mechanism of ACA production and its clinical significance as a marker for differentiating between clinical phenotypes in PBC remain important issues to be addressed.

4: Other ANAs detected in PBC

Autoantibodies to SUMOs and sp140, which appear in a MND pattern, and autoantibodies to p62 and lamin B receptor, which appear in a NE, are detected in 2–9%, 15%, 10–30%, and 2–9 %, respectively, of PBC patients in a disease-specific manner (**Table 1**) **[37,38,39,45,68,69**]. However, the clinical significance of these autoantibodies remains to be determined in patients of different ethnicities.

IV. Three types of PBC progression

Three major forms of PBC were identified by Poupon et al [2]: (1) the typical or classical form, represented by a slow, progressive loss of small bile ducts and parallel increase in liver fibrosis, leading to biliary cirrhosis over a period of 10 to 20 years; (2) fluctuating or persistent presence of AIH features associated with early development of liver fibrosis and liver failure, seen in 10–20% of patients; and (3) the so-called premature ductopenic variant seen in 5-10% of patients, represented by a very rapid onset of ductopenia and severe icteric cholestasis with progression to cirrhosis and liver failure in less than 5 years. Within the typical or classical variant of PBC described above, we found that there are three types of clinical evolution in a large cohort study in Japan: (1) minimum to very slow progression over time, (2) relatively slow progression to cirrhosis or portal hypertension without the development of persistent jaundice or hepatic failure (portal hypertension-type progression), and (3) relatively rapid progression to jaundice and hepatic failure (jaundice-type progression) (Figure 2) [10,11,48,49]. Based on this classification, anti-gp210 antibody positivity is the strong

risk factor for jaundice-type progression, while ACA positivity is a risk factor for portal hypertension-type progression (**Figure 2**) **[10,11,48,49]**. Since the natural course of PBC is being altered by treatment with UDCA, the clinical significance of these PBC-specific autoantibodies awaits re-evaluation in prospective studies in various ethnicities.

V. Conclusion

It is of note that the autoimmune repertoire as represented by autoantibody status, which is established during the early stages of the disease process, can influence the clinical phenotype and long-term prognosis of PBC. In addition, this autoimmune status can be normalized by treatment with UDCA, given that anti-gp210 antibodies can become negative with UCDA therapy; even AMAs can become negative with UDCA therapy in some patients in an early phase of the disease **[41,48,49,54]**. To understand the mechanisms of autoantibody production (i.e. AMAs, anti-gp210 antibodies, anti-sp100 antibodies, and ACAs) and its clinical significance, further studies are needed in association with environmental and genetic factors that might influence the production of these autoantibodies in PBC. The authors state that they have no Conflict of Interest (COI).

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Abbreviations

ACAs	anti-centromere antibodies				
AMAs	anti-mitochondrial antibodies				
ANAs	anti-nuclear antibodies				
BCOADC-E2	E2 component of branched chain 2-oxoacid dehydrogenase				
	complex				
CENP	centromere protein				
dSSc	diffuse cutaneous systemic sclerosis				
IIF	indirect immunofluorescence				

MND	multiple nuclear dot				
LcSSc	limited cutaneous systemic sclerosis				
NE	nuclear envelope				
NPC	nuclear pore complex				
Nup	nucleoporin				
OGDC-E2	E2 component of the 2-oxo-glutarate dehydrogenase complex				
	E2				
РВС	primary biliary cirrhosis				
PDC-E2	E2 component of the pyruvate dehydrogenase complex				
PML	promyelocytic leukemia protein				
SUMO	small ubiquitin-related modifier				
UDCA	ursodeoxycholic acid				

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Figure Legends

Figure 1 AMAs and ANAs detected in PBC.

A: AMAs detected by IIF using sections of rat kidney and stomach. Positive immunofluorescence is observed in the mitochondria-rich kidney (left) and the parietal cells of the stomach (right), but not in the smooth muscle of the stomach (middle).

B: AMA detected by IIF in HEp-2 cells. The mitochondria in the cytoplasm are positively stained.

C: Nuclear envelope (NE) pore complexes (gp210, p62) are positively stained in a NE pattern.

D: Nuclear proteins (sp100, PML, sp140, SUMO) are positively stained in a multiple nuclear dot (MND) pattern.

E: Centromere proteins (centromere protein A, B, C) are positively stained in a discrete speckled pattern (centromere pattern).

F: Nuclear lamina (lamin A, B, C, and lamin B receptor) are positively stained in a smooth rim-like pattern.

Figure 2 Three types of PBC progression.

Anti-gp210 antibody positivity is a risk factor for hepatic failure-type progression, while anti-centromere antibody positivity is a risk factor for portal hypertension-type progression in Japanese cohort studies **[10,11,41,48,49]**.

Figure 1





Figure 2

Clinical significance of autoantibodies detected in PBC

Autoantibody	IIF	Sensitivity	Specificity	Other	Clinical significance
Autoantibouy	pattern	for PBC	for PBC	diseases	
Anti-mitochondrial antibodies (AMAs)	- MIT	90–95 %	- - high -	none	Dx of PBC No difference in clinical features between AMA-positive and AMA-negative patients
Anti-PDC-E2 (74 kDa)		80-90 %			
Anti-PDC-E3BP (50 kDa)		10%			
Anti-PDC-E1a (41 kDa)		5-25 %			
Anti-OGDC-E2 (48 kDa)		20-60 %			
Anti-BCOADC-E2 (52 kDa)		50-80 %			
Anti-nuclear antibodies (ANAs)		40-50 %	Depends on target antigen		
Anti-gp210		10-40 %	very high	none	Dx of AMA-negative PBC, hepatic failure-type progression
Anti-p62	NE	10-30 %	high	SjS	Dx of AMA-negative PBC, more severe disease
Anti-lamin B receptor		2–9 %	high	none	Dx of AMA-negative PBC, association with clinical features ?
Anti-sp100	MND	20-40 %	high		Dx of AMA-negative PBC, faster progression
Anti-PML		15-20 %	high		
Anti-sp140		15%	high		Dx of AMA-negative PBC, association with clinical features ?
Anti-SUMO-1,2		2-6 %	high		
Anti-centromere A, B, C	CENP	10-30 %	not high	SSc	Portal hypertension-type progression

MIT: mitochondria pattern, NE: nuclear envelope (rim-like/membranous), MND: multiple nuclear dot, CENP: centromere pattern, Dx: diagnosis, IIF: indirect immunofluorescence with HEp-2 cells SjS: Sjogren's syndrome, SSc: systemic sclerosis