

1 Title: Protective role of MyD88-independent innate immune responses against prion infection

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3 Running title: Host defense machinery against prion infection

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16 Host defense

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18 **Abstract**

19 Despite recent progress in the understanding of prion diseases, little is known about the  
20 host-defense mechanisms against prion. Although it has long been thought that type I interferon  
21 (IFN-I) has no protective effect on prion infection, certain key molecules in innate immunity  
22 such as toll-like receptor (TLR) 4 seemed to be involved in the host response. For this reason  
23 we decided to focus on TLRs and investigate the role of a transcription factor, interferon  
24 regulatory factor 3 (IRF3), because the absence of MyD88, a major adaptor signaling molecule  
25 of TLRs, has no effect on the survival of prion infected mice. Intriguingly, survival periods of  
26 prion inoculated IRF3-knockout mice became significantly shorter than those of wild-type mice.  
27 In addition, IRF3 stimulation inhibited PrP<sup>Sc</sup> replication in prion persistently-infected cells, and  
28 a *de novo* prion infection assay revealed that IRF3-overexpression could make host cells  
29 resistant to prion infection. Our work suggests that IRF3 may play a key role in innate immune  
30 responses against invasion of prion pathogens. Activated IRF3 could up-regulate several  
31 anti-pathogen factors, including IFN-I, and induce sequential responses. Although the  
32 mechanism for the anti-prion effects mediated by IRF3 has yet to be clarified, certain interferon  
33 responsive genes might be involved in the anti-prion host-defense mechanism.

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35 **The hallmarks of prion disease**

36 Transmissible spongiform encephalopathies (TSEs) are fatal progressive neurodegenerative  
37 disorders which feature three major histopathological findings: spongiform change, neuronal  
38 loss and gliosis. Although TSEs were originally thought to be caused by slow-virus infections,  
39 no exogenous viral genome has been identified. The infectious agent, now called prion, is  
40 thought not to possess its own genome and to be composed uniquely of prion proteins, which  
41 are encoded by the host gene<sup>1</sup>. The infectious particles are composed mainly of proteinase K  
42 (PK)-resistant and  $\beta$ -sheet rich amyloid isoforms of prion protein (PrP<sup>Sc</sup>) which are generated by  
43 conformational conversion of PrP<sup>C</sup> via unknown post-translational modifications. Effective  
44 therapeutics have yet to be established, although several compounds are known to inhibit the  
45 conversion process. Virus-like interference between distinct prion strains has been reported, but  
46 little is known thus far about the host-defense mechanisms against prion. It has long been  
47 thought that the host immune system does not recognize prion, because the sequence of PrP<sup>Sc</sup> is  
48 identical to that of host PrP and also because the agent lacks its own genome, but several recent  
49 reports including ours suggest that the host defense system does indeed play at least a partially  
50 protective role against prion infection.

51 **Interference between distinct prion infections**

52 Biological diversity among prion strains is known to exist, with different strains producing

53 distinct symptoms, histopathological lesion profiles and incubation periods. These phenotypic  
54 traits are handed down through serial transmission<sup>2</sup>, and strain characteristics are maintained  
55 through serial passage in a variety of experimental animals and cell cultures. Interference is  
56 known to exist among prion strains. The pre-infection of mice with an attenuated strain (SY)  
57 featuring a long-incubation period significantly suppressed the effect of superinfection with a  
58 strong strain (FK) possessing a short incubation period<sup>3</sup>, in an in vitro pure cell culture system  
59 in the absence of immunocompetent cells<sup>4</sup>. One of the best studied mechanisms of viral  
60 interference is the anti-viral effect of type I interferon (IFN-I) which is induced following  
61 recognition of virus-derived nucleic acids or proteins by the host. It was not known, however,  
62 whether such IFN-responses were also evoked in host cells in the case of prion infection. As  
63 early as the 1970s, it was reported that the administration of IFNs and anti-interferon globulin  
64 had no therapeutic effect against goat-derived scrapie infection in animal models<sup>5-7</sup>. In another  
65 early study, IFNs were not detected in the serum, spleens, or brains of mice infected with  
66 scrapie<sup>8</sup>. More recently, IFN- $\beta$  mRNA was shown not to be increased in the brains of CJD  
67 patients<sup>9</sup> or in mice infected with ME7 prion strain<sup>10</sup>. On the other hand, IFN-stimulated genes  
68 (ISGs), such as Mx and 2'5'-OAS, were increased by 263K infection in hamsters<sup>11, 12</sup> and by  
69 139A, ME7 or Rocky Mountain Laboratory (RML) strains in mice<sup>12, 13</sup>. In the microglia of  
70 CJD-affected human brains, increases in interferon regulatory factor (IRF) family gene

71 expression were also documented<sup>9</sup>. These observations would suggest that although the initial  
72 activation of the innate immune system is slight, provoking only subtle IFN production, this  
73 may in turn stimulate more abundant IFN production. Further elucidation of the role of the  
74 innate immune system is needed to uncover the mechanisms behind this phenomenon.

#### 75 **Pattern-recognition receptor (PRR)-mediated innate immune responses to prion infection**

76 Generally, the invasion of pathogens is recognized initially by the innate immune system with  
77 the switching on of the cellular defense system in the lymphoid cells, leading to the production  
78 of cytokines and IFNs. The innate immune responses are initiated through both TLRs and  
79 intracellular sensor molecules such as retinoic acid inducible gene-I (RIG-I) and melanoma  
80 differentiation associated gene-5 (MDA5)<sup>14, 15</sup>. These molecules are termed PRRs as they can  
81 recognize characteristic structures, collectively known as pathogen-associated molecular  
82 patterns (PAMPs), in various types of foreign pathogens, such as bacterial cell wall components  
83 and viral envelope glycoproteins<sup>16</sup>. The various intracellular signaling cascades that follow PRR  
84 stimulation eventually converge to synthesize type I IFN ( $-\alpha$  and  $-\beta$ ), pro-inflammatory  
85 cytokines such as TNF- $\alpha$  and anti-inflammatory cytokines such as IL-10<sup>17</sup>, that are mediated by  
86 transcription factors of the IRF family (IRF3 and/or IRF7). The secreted IFNs stimulate cells in  
87 both an autocrine and paracrine manner to up-regulate various IFN responsive genes. Finally,  
88 chemoattractants induced by IFN render host cells resistant to further infection at sites of

89 foreign antigen infection and/or by proteins that directly interfere with viral replication<sup>15</sup>.

90 The role of conventional PAMPs in prion infection is puzzling. It has been reported that

91 pretreatment with innate immune activators, such as complete Freund's adjuvant (CFA)<sup>18</sup> and

92 unmethylated CpG DNA<sup>19</sup>, both of which are known to activate immune response-mediated

93 TLR2 and -9, delayed the onset of TSE in mice inoculated with RML strain. On the other hand,

94 LPS post-treatment, despite strongly activating innate immunity mediated TLR4 in lymphocytes,

95 exacerbated the pathology in mice following prion inoculation<sup>20</sup>, and Poly[I:C] post-treatment,

96 selectivity acting on TLR3, RIG-I and MDA5, showed similar effects on prion infection<sup>10</sup>.

97 Poly[I:C] pre-treatment also had no effect on survival times following scrapie agent infection<sup>8</sup>.

98 Collectively, prion pathogenesis was modified by the innate immune response of the host by the

99 stimulators under certain experimental conditions, but the molecular mechanism underlying

100 these complicated results remains to be elucidated.

101 Deletion of MyD88 gene, a major intracellular signal transducer in most TLRs, with the

102 exception of TLR3, did not significantly affect the incubation time in the same mouse RML

103 prion model<sup>21</sup>. On the other hand, mice expressing a refractory mutation of TLR4 showed

104 accelerated disease onset when they were infected with 139A and ME7 strains<sup>22</sup>. In addition,

105 mice deficient in CD40L, which is also located upstream of IRF3, readily succumbed to prion

106 disease<sup>23</sup>. As the signals following TLR4 stimulation will be transduced via both MyD88 and

107 TRIF, one can speculate that signal transduction mediated by TRIF-IRF3 might play a crucial  
108 role in the host defense system against prion infection.

109 Although the innate immune response to infectious agents in the central nervous system (CNS)  
110 has not been well studied, neurons were found to express most innate immunity-related genes  
111 and produce IFN-I in response to viral infection<sup>24</sup>. IRF3 is constitutively expressed in many  
112 CNS tissues and cells, including lymphocytes, glial cells, and neuroblastoma cells, as well as  
113 neurons<sup>25-27</sup>. Furthermore, it was recently reported that TLR3 and IRF3 have a role in herpes  
114 simplex encephalitis<sup>28</sup> and rabies<sup>29</sup>. Accordingly, we focused on IRF3, which is a key  
115 transcription factor in the MyD88-independent (ie, TRIF-dependent) pathway, and induces  
116 IFN-I. In our study, IRF3 knockout (IRF3<sup>-/-</sup>) mice died significantly earlier than wild-type  
117 (WT) mice following intra-peritoneal inoculation with 22L, Fukuoka-1 (FK-1), or a  
118 mouse-adapted BSE (mBSE) strain. The accumulation of PrP<sup>Sc</sup> in the spleens was detected  
119 earlier in the IRF3<sup>-/-</sup> mice compared to WT mice<sup>30</sup>. Although the pathological changes, such as  
120 the degree of degeneration and also the accumulation of PK resistant PrP in the brains of  
121 terminally ill mice were not obviously different between WT and IRF3<sup>-/-</sup>, innate immune  
122 responses mediated via IRF3 seemed to inhibit, in part at least, the disease progress. Using prion  
123 infected cell cultures, we were able to demonstrate that stimulation of IRF3 inhibits the  
124 production of PrP<sup>Sc</sup>, and expression levels of IRF3 bore an inverse relation to resistance to prion

125 infection<sup>30</sup>. These results, therefore, indicate that IRF3 in the MyD88-independent pathway  
126 signaling cascades is a key molecule in the host defense mechanism against prion pathogenesis.

127 **How does IRF3 suppress prion pathogenesis?**

128 The fact that activated IRF3 up-regulates mainly IFN-I in most cell types raises the possibility  
129 that ISGs such as Mx and OAS, which are located downstream of IFN signaling, have some  
130 kind of protective role against prion infection. Indeed, these ISGs have been reported to be  
131 up-regulated in the brains of prion-infected animals<sup>11-13</sup> and CJD<sup>9</sup>. Although evidence of the  
132 increased secretion of IFN in prion-infected tissue or cells remains elusive, it is possible that the  
133 IFN produced at low levels by infected cells sets up a positive feedback loop that results in  
134 enhanced signals to infected and adjacent cells<sup>31</sup>. Recently, it was reported that this constitutive  
135 weak IFN signaling is crucial for the immune responsiveness that subsequently produces a  
136 strong IFN signal at the time of invasion of foreign pathogens<sup>32</sup>, and also has a cell-intrinsic role  
137 that prevents cells from transformations leading to cancer<sup>33</sup>. Consequently, even subtle IFN  
138 secretion provoked by basal activity of IRF3 might have a role in the host defense machinery  
139 against prion invasion or propagation in the brain. In addition, evidence that the disease onset is  
140 accelerated in IL-10- or TNF- $\alpha$  gene-deficient mice<sup>34,35</sup> support our hypothesis that signals via  
141 PRRs may have a protective role against prion infection. Moreover, expression of TNF- $\alpha$  and  
142 IL-6 was induced in macrophages of WT mice following exposure to PrP<sup>Sc</sup>-mimicking peptides,



143 but not in mice with TLR4 dysfunction<sup>22</sup>. It is likely that host cells respond to prion invasion  
144 through TLR4 signal transduction which induces not only IFN-I but also NF- $\kappa$ B, resulting in the  
145 production of both pro-inflammatory and anti-inflammatory cytokines (Fig. 1). It also remains  
146 to be determined whether IRF3-mediated signaling directly suppresses the production of PrP<sup>Sc</sup>  
147 or facilitates its degradation. Moreover, we are currently investigating what types of host  
148 molecules induced by IRF3 can help protect cells from prion. Given these results, we believe  
149 that it would be of great value to reassess the effect of exogenous IFN-I treatment using purified  
150 recombinant interferons ( $-\alpha$ -2a,  $-\alpha$ -2b and  $-\beta$ -1a) on prion infection.

#### 151 **In conclusion**

152 We demonstrated that the transcription factor IRF3 has a protective role against prion infection.  
153 To further elucidate the host defense machinery against prion infection, the relationship between  
154 prion infection and IRF3 signaling should be studied, using, for example, conditional transgenic,  
155 neuron-specific IRF3-deficient, neuron-specific IRF3-expressing or IRF3-constitutively  
156 activated animals. It is our hope that IRF3 signaling-based prophylaxis and therapeutics against  
157 prion could one day dramatically help individuals suffering from this mysterious and deadly  
158 disease.

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257 **Legend**

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259 Fig. 1. Schema of the host factors involved in innate immune responses against prion.

260 The figure shows prion infection-related innate immune signal transductions from  
261 ligands to Type I IFN and inflammatory cytokines. Molecules relating closely to prion  
262 infection, as cited in previously published papers, are indicated in bold type.

263 Well-defined pathways of signal transduction in innate immune responses are shown as  
264 solid lines, and probable pathways as dashed lines. We speculate that not only TLR4 but  
265 also TLR3 and RIG-I/MDA5 might be involved in prion infection. Additionally, it  
266 might be possible that type I IFN and inflammatory cytokines such as IL-10 might  
267 suppress prion infection, by an undetermined mechanism.

268

Figure 1

