

Biofouling Control of a Forward Osmosis Membrane during Single-pass Pre-concentration of Wastewater

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1 **Abstract**

2 Pre-concentration of wastewater using a forward osmosis (FO) membrane prior to processing
3 by an anaerobic digester can enhance biogas production. However, biofouling caused by
4 microbes in wastewater remains a challenge. The study aimed to evaluate the efficacy of
5 chloramination in mitigating the biofouling of an FO membrane during a single-pass
6 concentration of primary wastewater effluent. Pre-disinfection at a chloramine dose of 22–
7 121 mg/L successfully alleviated membrane fouling. Bacterial cell counts in the feed and
8 concentrate showed that most of the bacterial cells in the wastewater were trapped on the
9 membrane surface or spacer. The FO membrane surfaces in non-
10 chloraminated/chloraminated systems were fully-covered by intact/damaged bacterial cells,
11 respectively, indicating that chloramination effectively mitigated biofouling. However, due to
12 high permeate-recovery and low cross-flow velocity in a single-pass concentration process,
13 organic fouling on the membrane surface (and possibly on the interior wall of the membrane-
14 pores) appeared to cause a gradual reduction in permeate-flux. This study demonstrated
15 successful biofouling control using chloramination during a single-pass and high-recovery
16 pre-concentration of primary wastewater effluent.

17 **Keywords:** Biocide; biofouling; FO membrane; chloramine; wastewater treatment.

18

19 **1. Introduction**

20 Reclamation of biogas by treating municipal wastewater is an attractive approach in reducing
21 overall energy consumption. Anaerobic biogas at a wastewater treatment plant is typically
22 produced through a digester that can generate methane gas from organic substances in
23 wastewater (Appels et al., 2011). High concentrations of organic substances in wastewater
24 lead to higher methane gas production, whereas typical municipal wastewater has low
25 organic concentrations. Therefore, deploying a pre-concentration process prior to anaerobic
26 digestion is crucial in improving feasibility of biogas production from municipal wastewater.
27 As an effective pre-concentration technology, forward osmosis (FO) membrane treatment has
28 attracted considerable research interest (Lutchmiah et al., 2011; Lutchmiah et al., 2014;
29 Ansari et al., 2015; Onoda et al., 2016b; Ansari et al., 2017; Onoda et al., 2017). FO
30 membranes, typically made of polyamide (PA) or cellulose triacetate (CTA), allow water
31 transportation from a low-salinity solution (feed solution, e.g., wastewater) to a high-salinity
32 solution (draw solution), concentrating the low-salinity solution (Wang et al., 2014). Cities
33 near the ocean have an advantage of utilizing abundant seawater as the draw solution. Pre-
34 concentration of wastewater using FO membranes has been successfully demonstrated in
35 literature (Chen et al., 2014; Zhang et al., 2014; Ansari et al., 2018), but it still faces a
36 challenge of reduction in permeate-flux caused by membrane fouling. In addition to organic
37 fouling (Mi and Elimelech, 2008, 2010; Onoda et al., 2016a; Chun et al., 2017; Ly et al.,
38 2019), biofouling is a dominant fouling mechanism (Qasim et al., 2015).

39 Biofouling of the FO membrane during pre-concentration of wastewater can be controlled by
40 disinfecting feed-water prior to the FO process (Firouzjaei et al., 2019), e.g., continuous
41 chlorination of wastewater effectively slows down biofouling on the FO membrane surface
42 (Xue et al., 2016). However, in ammonia-containing wastewater, continuous chlorination

43 with free chlorine can only be performed at high chlorine doses, as free chlorine can be
44 present only after exceeding breakpoint chlorination, which depends on ammonia
45 concentrations. Other potential chemicals include hydrogen peroxide (H_2O_2) and ozone,
46 which oxidize and destroy the microbial community in wastewater (Wang et al., 2017;
47 Firouzjaei et al., 2019). All these strong oxidants (i.e., chlorine, H_2O_2 , and ozone) need to be
48 quenched prior to the FO membrane to avoid oxidative damage; thus, disinfecting effects
49 cannot remain on the FO membrane surface.

50 Chloramine, a weak disinfectant, is known to be continuously applied to both PA- and CTA-
51 based membranes without notable damage to their performance. In water recycling systems
52 comprising reverse osmosis (RO) membranes, chloramination has been established as a
53 standard pre-disinfection process for biofouling mitigation (Fujioka et al., 2012; Farhat et al.,
54 2018). Our previous study (Fujioka et al., 2018) applied chloramination to alleviate FO
55 membrane fouling during the concentration of primary wastewater effluent. Despite its
56 successful application, biological growth in closed-loop recirculation systems could differ
57 considerably from full-scale systems, as they are typically based on single-pass
58 configurations to treat the continuous inflow of wastewater. Almost all other studies have
59 used similar recirculating systems to address the limited volume of actual wastewater
60 available in laboratories (Yang et al., 2019; Jung et al., 2020). Therefore, the feasibility of
61 chloramination as a pre-disinfection technique for wastewater pre-concentration must be
62 evaluated to simulate a single-pass treatment system.

63 This study aimed to evaluate the efficacy of chloramination in mitigating biofouling of a
64 CTA FO membrane during a single-pass concentration of primary wastewater effluent. The
65 effect of chloramination on biofouling mitigation was evaluated based on permeate-flux, feed
66 water quality (bacterial concentrations and organic characteristics), and bacterial state on the

67 membrane surface. The ultimate aim of this study was to establish a feasible disinfection
68 approach for wastewater pre-concentration prior to anaerobic digestion.

69 **2. Materials and methods**

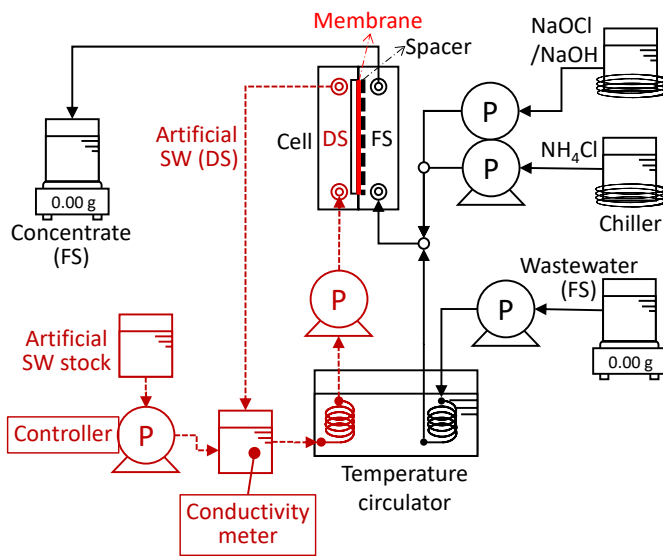
70 ***2.1 Chemicals***

71 The study made use of the chemicals NaCl, NH₄Cl, NaOH, NaOCl, and sodium bisulfite used
72 in this study were purchased from FUJIFILM Wako Pure Chemical Corporation (Osaka,
73 Japan). Artificial seawater was used as the draw solution (DS), prepared at 3.5 % weight by
74 weight (w/w) NaCl in pure water. Pure water was produced by filtering tap water by a reverse
75 osmosis treatment system (RTA-200W, AS ONE, Osaka, Japan). We used pre-filtered
76 primary wastewater effluent as feed solution (FS). The pre-filtered primary wastewater
77 effluent was prepared by filtering the primary effluent, collected at a municipal wastewater
78 treatment plant in Japan, using a cellulose filter-paper with retention particle size of 7 μm
79 (No. 5A, Advantec; Tokyo, Japan). Electrical conductivity, total organic carbon
80 concentration, and pH of the pre-filtered primary wastewater effluent were 1.4 mS/cm, 6.6
81 mg/L, and 7.5, respectively.

82 ***2.2 Membrane treatment system***

83 The flat sheet FO membranes used in this study were a CTA active layer embedded on a
84 polyester (PES) screen mesh (Fluid Technology Solutions; Albany, OR, USA). One CTA FO
85 membrane with an effective surface area of 60 cm² was enclosed in an acrylic membrane cell
86 (C10-T, Nitto Denko, Japan). The DS stream had an effective gap of 5.0 mm without a spacer.
87 The FS stream had a spacer between the membrane surface and membrane cell to maintain a
88 channel gap of 1.2 mm. A bench-scale FO system used in this study (**Figure 1**) comprised a
89 quantitative liquid-feed pump for feeding FS (MP-2000, Tokyo Rikakikai; Tokyo, Japan); a

90 diaphragm pump for feeding DS (DCP 8800, Aquatec International; CA, USA); an
 91 electromagnetic metering pump (EH-B10VC, IWAKI, Tokyo, Japan) with a control unit for
 92 dosing a NaCl stock solution in DS; a two hanger quantitative liquid-feed pump for feeding
 93 chemicals used for the formation of chloramine (MP-2000, Tokyo Rikakikai; Tokyo, Japan);
 94 two digital balances (EK-4100i and EK-610i, A&D Company; Tokyo, Japan) to measure the
 95 weight of feed and concentrate solutions; an 8-L styrofoam DS reservoir; a 2-L glass beaker
 96 as a feed storage; a 500-mL glass beaker for concentrate storage; and a temperature circulator
 97 (Thermax TM-1A, AS ONE; Osaka, Japan). Chloramine was pre-formed by mixing a
 98 solution of NH_4Cl and another containing NaOCl and NaOH , which were kept at $4\text{ }^\circ\text{C}$ using a
 99 chiller unit (ACE-1100, Tokyo Rikakikai Co. Ltd.; Tokyo, Japan). The monochloramine
 100 stock solution thus formed was dosed into the FS stream located prior to the entry of the
 101 membrane cell.



102
 103 **Figure 1.** Schematic diagram of the Forward osmosis (FO) system.

104 **2.3 Experimental protocols**

105 Disinfection tests at various chloramine doses (5, 10, 20, and 40 mg/L) were performed using
 106 the pre-filtered primary wastewater effluent at room temperature ($20\text{ }^\circ\text{C}$). After 10 min of
 107 reaction time, a sodium bisulfite solution was dosed at 2 mM to quench the residual

108 chemicals. Thereafter, the samples underwent heterotrophic plate counting of bacteria. The
109 chloramination period was determined to be 10 min, as it was the minimum retention time of
110 the feed solution in the membrane cell that achieved over 50 % permeate recovery in the FO
111 system.

112 Similar to previous studies (Yoon et al., 2013; Yang et al., 2019), this study evaluated the
113 effect of chloramination on membrane fouling during forward osmosis membrane treatment
114 in terms of permeate flux, feed water quality, and bacterial state on the membrane surface.
115 Prior to each treatment experiment, the system was operated to ensure stability using pure
116 water in FS and artificial seawater (3.5 % NaCl) in DS for 2 h. During stabilization, the pure
117 water permeate-flux was recorded. Thereafter, the FO treatment test was carried out by
118 replacing the FS from pure water with pre-filtered primary wastewater effluent. Unless
119 specified, each test was continued for 4 days. Throughout the tests, the cross-flow rates in the
120 FS and DS were maintained at approximately 1.1 mL/min and 500 mL/min, corresponding to
121 a cross flow velocity of approximately 0.5 and 46 mm/s in FS and DS, respectively.
122 Throughout the tests, conductivity in the DS was maintained constant at 57 ± 0.5 mS/cm by
123 dosing the NaCl stock solution into the DS reservoir. The temperature of the FS and DS was
124 maintained at 25 ± 1 °C using a temperature circulator. Pre-formed chloramine solution (or
125 pure water for control test) was continuously dosed at 0.1 mL/min, intended to achieve a
126 varied monochloramine dose of 0, 22, 51, or 121 mg/L in the FS stream. The chloramine
127 solution flow rate accounted for approximately 10 % of the overall FS flow rate. The FS in
128 the FS reservoir (i.e., pre-filtered primary wastewater effluent) was supplemented once a day.
129 After the end of each test, the FS was replaced from the treated wastewater with pure water,
130 and the system was operated for 1 h to measure pure water flux. Thereafter, each membrane
131 was removed from the membrane-cell for membrane surface characterization.

132 The bulk reverse salt flux J_S (g/m²h), a salt passage from the DS to the FS, was calculated
133 using the following formula:

$$134 \quad J_S = 0.46[(C_{FSc} \times F_{FSc}) - (C_{FS} \times F_{FS}) - (C_M \times F_M)]/A, \quad (1)$$

135 where C_{FSc} , C_{FS} , and C_M (mS/cm) are the conductivities while F_{FSc} , F_{FS} , and F_M (L/h) are the
136 flow rates of the FS concentrate, FS, and chloramine solution, respectively; A (m²) is the
137 membrane surface area; and 0.46 (g/L) is the conversion coefficient of conductivity (mS/cm).

138 **2.4 Analysis**

139 Conductivity and pH were measured using an Orion Star™ A325 (Thermo Fisher Scientific,
140 MA, USA). Total organic carbon (TOC) concentrations were analyzed using a TOC-VSH
141 analyzer (Shimadzu, Kyoto, Japan). Monochloramine concentrations were determined using a
142 portable colorimeter (DR900, Hach, Loveland, CO, USA) with Monochlor F reagent pillows.

143 The heterotrophic plate count (HPC) method was used to determine the number of total
144 viable bacteria. A diluted sample (1 mL) was added to the R2A medium (Nissui
145 Pharmaceutical Co., Tokyo, Japan). The plates were incubated at 35 °C and counted after
146 four days. Intact and damaged bacterial cells in the feed and concentrate in the FS stream
147 were counted using a fluorescence microscope (BZ-X800, Keyence Co., Osaka, Japan). Each
148 sample was stained with the LIVE/DEAD BacLight Bacterial Viability Kit (Thermo Fisher
149 Scientific, Waltham, MA, USA) for 15 min. The stain-kit used in this study contained
150 SYTO®9, a green fluorescent nucleic acid that only stains cells with intact membrane, and
151 propidium iodide, a red fluorescent nucleic acid that only stains cells with damaged
152 membranes. The stained sample was filtered using a track-etched polycarbonate MF filter
153 with 0.2 µm pore size (Merck, Tokyo, Japan). The filter sample was analyzed using a

154 fluorescence microscope using a green or red filter with Ex wavelengths of 470±40 nm and
155 545±25 nm and absorption wavelengths of 525±50 nm and 605±70 nm, respectively.

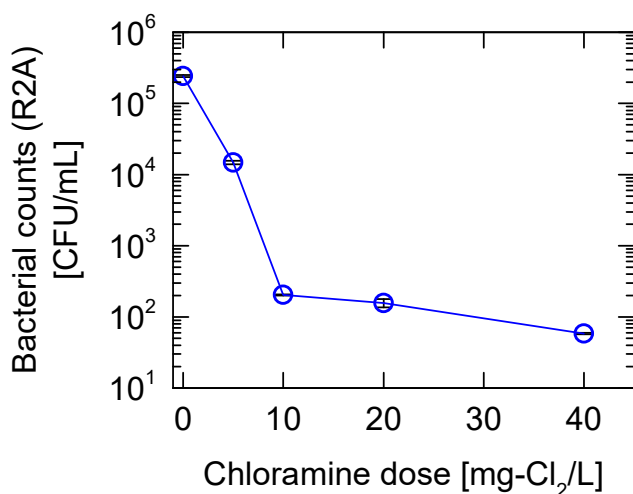
156 Fouled FO membranes were analyzed using a fluorescence microscope (BZ-X800, Keyence
157 Co., Osaka, Japan). The FO membranes were submerged in 2 mL of diluted LIVE/DEAD
158 BacLight Bacterial Viability Kit (Thermo Fisher Scientific, Waltham, MA, USA) for 15 min,
159 and analyzed using the same green and red filters as described above. Organics in the FS and
160 DS were evaluated using the excitation emission matrix (EEM) fluorescence spectra using an
161 RF-6000 spectrophotometer (Shimadzu Co., Kyoto, Japan). Solutions of FS, FS concentrate,
162 and DS were directly analyzed without pre-treatment. EEM fluorescence spectra of
163 membrane foulants were obtained after removing the foulants from the membrane surface
164 and rigorously mixing with a 10 mL solution for analysis.

165 **3. Results**

166 ***3.1 Pre-disinfection***

167 Chloramination of the pre-filtered primary wastewater effluent at monochloramine doses of
168 5–40 mg/L showed that chloramination is a powerful disinfection technique for treating
169 primary wastewater effluent. Chloramination at the lowest chloramine dose (10 mg/L)
170 reduced viable bacterial counts from 2.4×10^5 to 2.0×10^2 CFU/mL, achieving > 99.9 % (3-log)
171 inactivation efficiency (**Figure 2**). Higher chloramine doses of 20 or 40 mg/L chloramine
172 doses achieved further reduction in bacterial counts to 1.6×10^2 or 58 CFU/mL, respectively.
173 Thus, a chloramine dose of > 20 mg/L was determined as the minimum chloramine dose in
174 this study, and a varied chloramine dose of 22, 51, or 121 mg/L was applied during a single-
175 pass concentration of the pre-filtered primary wastewater effluent in the following section. It
176 should be noted that elimination of bacteria (i.e., 0 CFU/mL) was not achieved with decent

177 concentrations of chloramine in water treatment (< 40 mg/L). The biofilm layer on the
178 membrane surface can form as a result of bacterial attachment and their multiplication
179 (Mansouri et al., 2010). Unless complete bacterial inactivation flowing into the membrane
180 surface is continuously achieved, the remaining bacteria can trigger biofilm formation
181 (Flemming et al., 1997). As chloramine, which damages nucleic acids or DNA of bacterial
182 cells, inactivates bacteria slowly (Fetner, 1962; Shih and Lederberg, 1976), rapid
183 proliferation of bacteria and consequent biofouling is expected to be inhibited by maintaining
184 residual chloramine concentrations at the FO membrane surface. Therefore, the effect of
185 chloramine concentrations on biofouling mitigation during a pre-concentration of primary
186 wastewater effluent was evaluated at three different chloramine doses (i.e., 22, 51, and 121
187 mg/L).

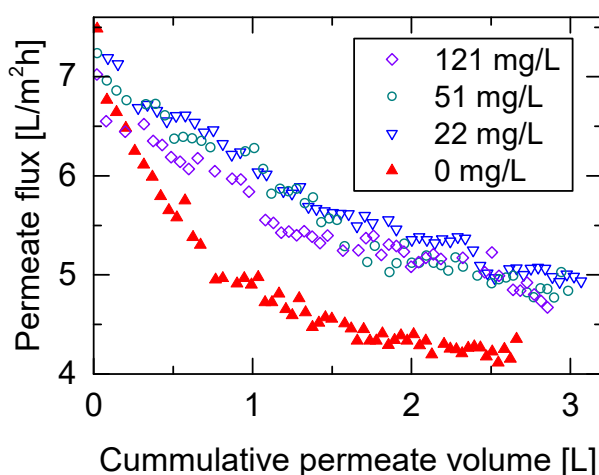


188
189 **Figure 2.** Bacterial counts as a function of chloramine doses (reaction time of 10 min).

190 ***3.2 Fouling mitigation during single-pass concentration***

191 Fouling mitigation levels by chloramination were evaluated by tracking a reduction in
192 permeate-flux (i.e., permeate volume per unit area and time) in the non-chloraminated and
193 chloraminated FO systems. As the speed of membrane fouling can change according to the
194 volume permeated through the membrane rather than the treatment time, the permeate-flux

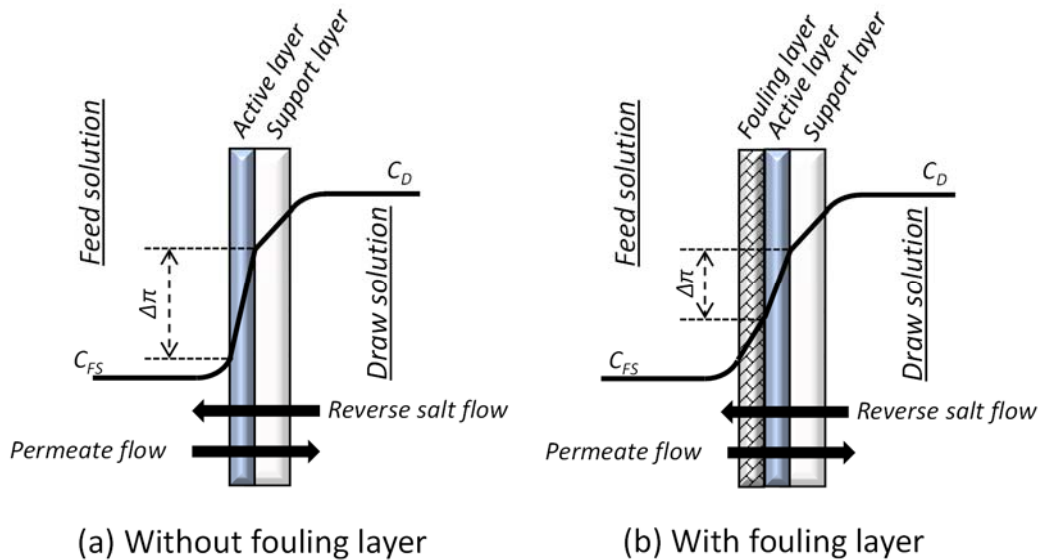
195 reduction levels were evaluated at the cumulative permeate volume. Over the course of the
196 four-day test, membrane fouling in the non-chloraminated FO system progressively occurred
197 **(Figure 3)**. After a cumulative volume permeation of 2.5 L, the permeate-flux decreased
198 from 7.5 to 4.2 L/m²h, which accounted for a 44 % flux reduction. In contrast, the FO system
199 at a chloramine dose of 22 mg/L showed a lesser reduction in permeate-flux from 7.5 to 4.9
200 L/m²h, which accounted for 35 % flux reduction.



201
202 **Figure 3.** Changes in permeate-flux as a function of cumulative permeate volume during the
203 pre-concentration of the pre-filtered primary effluent by the forward osmosis (FO) membrane
204 with different chloramine doses (plot every 2 h).

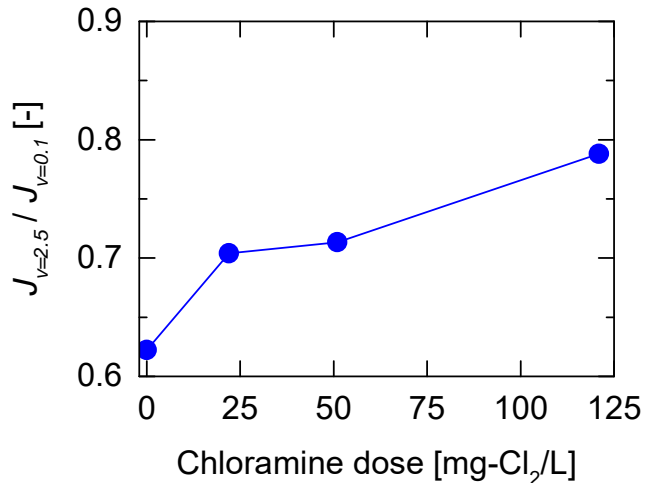
205 Membrane fouling inhibited the permeation of water through the FO membrane; thus,
206 permeate recovery in the non-chloraminated and chloraminated FO systems progressively
207 decreased over the course of the four-day test **(Figure S1)**. The decreased permeate recovery
208 from 80 % to 45–47 % corresponds to a decreased concentration ratio from 4–5-fold to
209 approximately 2-fold. Higher chloramine doses of 51 and 121 mg/L showed fouling trends
210 similar to that of 22 mg/L, indicating that a 22 mg/L chloramine dose may be sufficient for
211 controlling membrane fouling for this specific primary wastewater effluent. The reduction in
212 permeate-flux by membrane fouling was likely caused by the reduced effective driving force
213 of water transport from the FS to DS—osmotic pressure difference ($\Delta\pi$). The fouling layer
214 deposited on the membrane surface can induce the external concentration polarization of salts

215 due to the hindered back-diffusion from the membrane surface to bulk FS solution (Lee et al.,
216 2010; Li et al., 2012). It enhances the osmotic pressure at the interface between the fouling
217 layer and membrane surface; thus, the effective osmotic pressure difference is reduced
218 **(Figure 4)**.



219 **Figure 4.** Conceptual images of osmotic pressure differences (a) Without fouling layer and
220 (b) With fouling layer (adapted from (Li et al., 2012)).
221

222 To evaluate the impact of chloramine doses on fouling mitigation, the ratio of permeate-flux
223 recorded at a cumulative permeate volume of 0.1 L ($J_{v=0.1}$) and 2.5 L ($J_{v=2.5}$), corresponding
224 to initial and late stages of the test, respectively, was also compared **(Figure 5)**. The fouling
225 levels at chloramine doses of 22 and 51 mg/L were almost equivalent. The highest
226 chloramine dose of 121 mg/L resulted in the highest normalized permeate-flux of 0.8 (i.e.,
227 least fouling). Although chloramine doses of less than 22 mg/L may effectively inhibit
228 biofouling, the optimization of chloramine doses for this specific pre-filtered primary
229 wastewater effluent was beyond the scope of this study. Disinfection efficiency of
230 chloramination can vary depending on the operating conditions such as contact time,
231 concentration, and temperature (Wolfe et al., 1984). Therefore, the optimization of
232 chloramine doses will be conducted at the pilot scale in our future study.

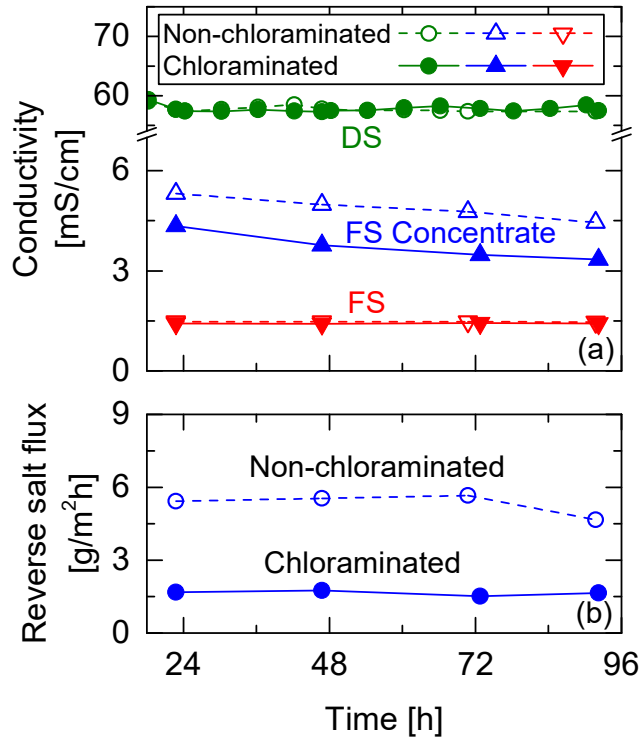


233

234 **Figure 5.** Normalized permeate-flux expressed as the ratio between the cumulative permeate
 235 volume (v) of 2.5 L ($J_{v=2.5}$) and 0.1 L ($J_{v=0.1}$) as a function of chloramine dose.

236 **3.3 Water quality in the concentrate**

237 The conductivity of the FS concentrate is dependent on the concentration effect of the FS and
 238 the reverse salt flux. The chloraminated FO system showed a higher permeate recovery (i.e.,
 239 more concentrated) and underwent an addition of chloramine; thus, the FS concentrate
 240 conductivity in the chloraminated FO system was expected to be higher than that in the non-
 241 chloraminated FO system. However, at 23 h when the fouling development had already
 242 occurred, FS concentrate conductivity in the non-chloraminated FO system (5.3 mS/cm) was
 243 higher than that in the chloraminated FO system with a chloramine dose of 22 mg/L (4.4
 244 mS/cm) (**Figure 6a**). The non-chloraminated FO system showed a reverse salt flux of 5.4
 245 g/m²h, higher than the chloraminated FO system (1.7 g/m²h) at 23 h (**Figure 6b**). Minor
 246 changes in reverse salt flux were observed over the course of the last three days of the test. It
 247 is important to note that salinity in the FS concentrate can negatively affect biological activity
 248 in the following biogas digester. Thus, the chloraminated FO system has two advantages over
 249 the non-chlorinated FO system: higher permeate-flux (i.e., higher concentration of organics)
 250 and lower salinity in the FO concentrate.



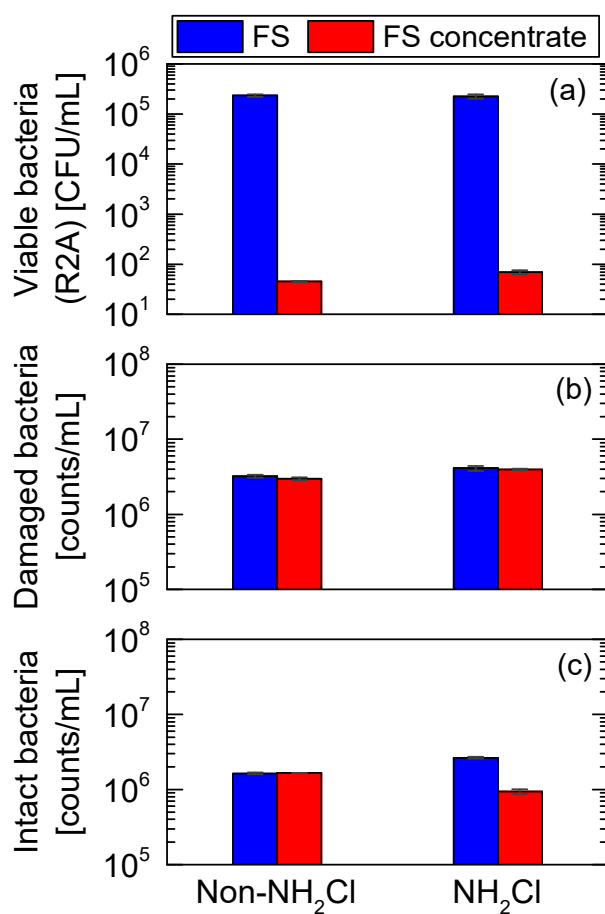
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252 **Figure 6.** (a) Conductivity in the feed solution (FS), FS concentrate, and draw solution (DS)
 253 in the non-chloraminated and chloraminated (dose = 22 mg/L) forward osmosis (FO) systems,
 254 and (b) Their reverse salt flux.

255 **3.4 Membrane fouling**

256 The formation potential of a biofouling layer on the FO membrane surface was evaluated
 257 based on the difference in bacterial counts between the inflow (FS feed) and outflow (FS
 258 concentrate) of the membrane cell. For this evaluation, another set of tests with non-
 259 chloraminated and chloraminated FO systems (chloramine dose = 21 mg/L) were conducted
 260 **(Figure S2)**, and the samples for bacterial counts were collected at a sampling period of 18 h.
 261 As a result, viable bacterial counts in the non-chloraminated FO system considerably
 262 decreased from 2.4×10^5 (FS feed) to 45 CFU/mL (FS concentrate) **(Figure 7a)**. Viable
 263 bacterial counts in the FS concentrate of the chloraminated FO system (70 CFU/mL) were
 264 equivalent to that of the non-chloraminated FO system. The results indicate that a majority of
 265 viable bacteria in their feed stream were trapped in the membrane cell. It should be noted that
 266 the plate counting method covers only bacteria forming a colony on the R2A medium, but a

267 vast majority of bacterial species do not form a colony. To cover almost all bacteria in water,
 268 epifluorescence microscopy was also used. As a result, the concentrations of damaged and
 269 intact bacterial cells exiting from the membrane cell (in the FO concentrate) were equivalent
 270 at $1.0\text{--}4.1 \times 10^6$ counts/mL (**Figures 7b and 7c**). Considering the concentration factor of two
 271 in both systems, the results indicate that an equivalent number of bacteria had been trapped
 272 on the membrane surface or spacer implying that the occurrence of biofouling in FO systems
 273 depend on the bacterial state (active or inactive) on the membrane surface.

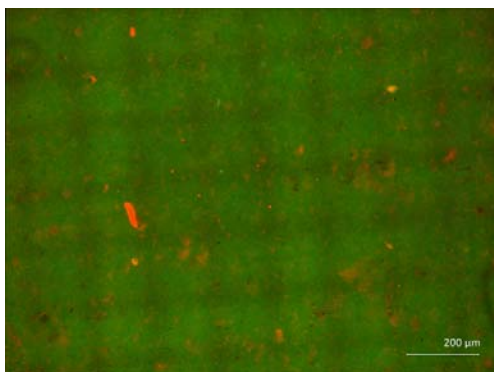
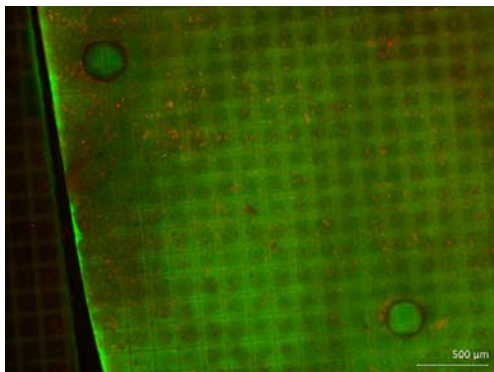


274
 275 **Figure 7.** Concentrations of (a) viable bacteria, (b) damaged bacteria, and (c) intact bacteria
 276 in the feed solution (FS) and FS concentrate that were collected during the pre-concentration
 277 of primary wastewater effluent in the non-chloraminated and chloraminated (21 mg/L dose)
 278 forward osmosis (FO) systems at 18 h. FS feed in the chloraminated FO system was collected
 279 prior to chloramine addition.

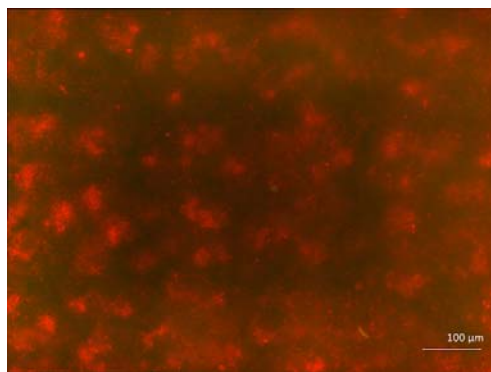
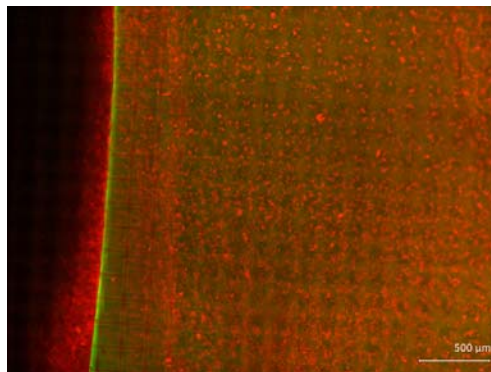
280 The state of bacteria on the membrane surface was analyzed by differentiating intact and
 281 damaged bacterial cells deposited on the membrane surface. The FO membrane surface

282 collected from the non-chloramine FO system was fully covered by intact bacteria (green)
283 with a minor number of damaged bacteria (red) across the membrane surface (**Figure 8a**).
284 Although the pre-filtered primary wastewater effluent contained both intact and damaged
285 bacteria at similar ratios (**Figure 7**), intact bacteria were more apparent than damaged
286 bacteria, indicating the potential of bacterial growth (i.e., proliferation of intact bacteria) on
287 the membrane surface. In contrast, the FO membrane surface collected from the chloramine
288 system was fully covered by damaged bacteria (red) across the surface (**Figure 8a**),
289 indicating that chloramine effectively damaged the membrane cells of bacteria deposited on
290 the membrane surface.

(a) Non-chloraminated FO



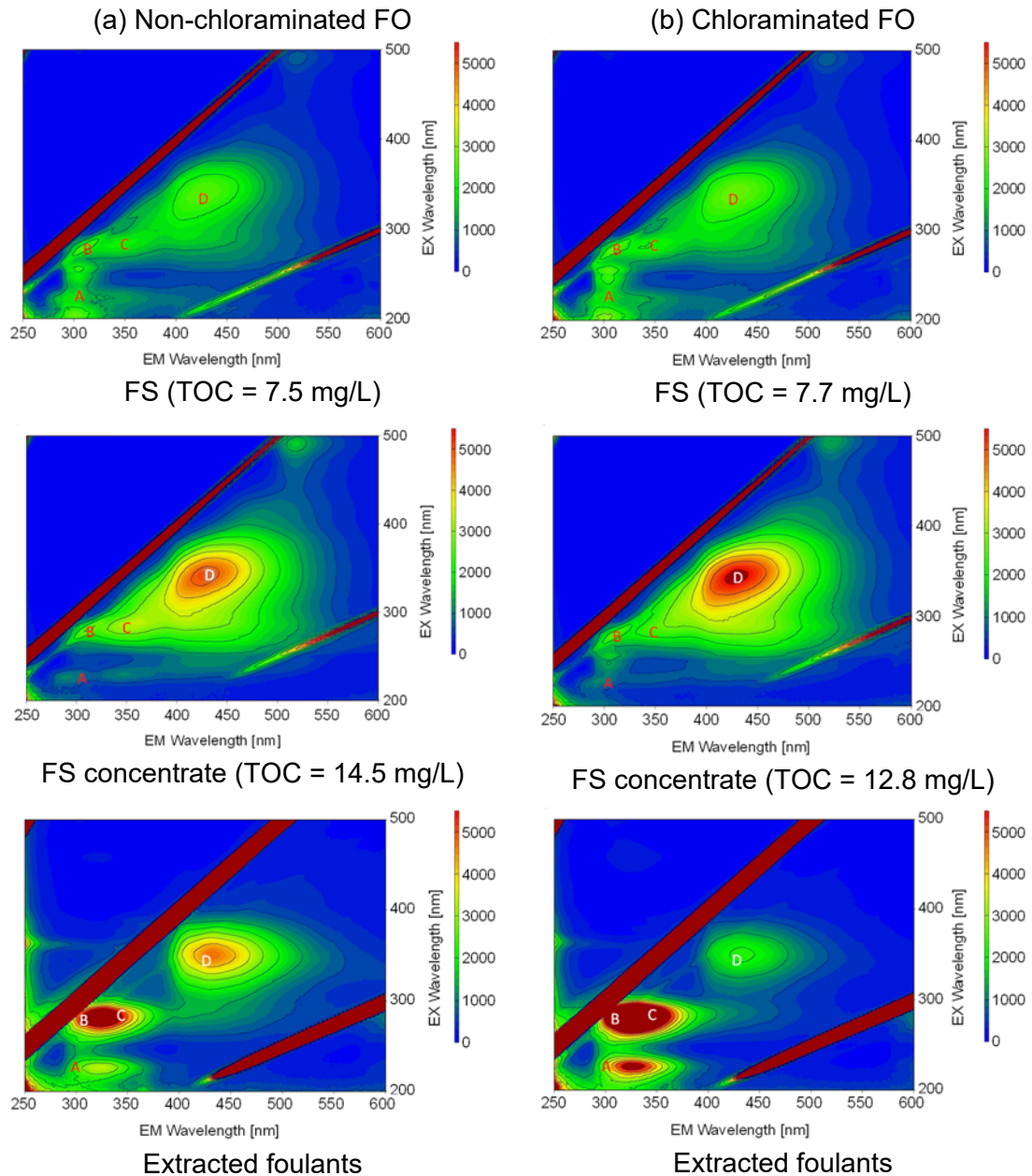
(b) Chloraminated FO



291 **Figure 8.** Surface images of forward osmosis (FO) membranes stained by BacLight staining:
292 (a) Non-chloraminated and (b) Chloraminated (21 mg/L dose) systems after 40 h of treatment.
293 The black area on the left side is the membrane surface without staining.

294 Despite the effective disinfection of the membrane surface, a gradual reduction in permeate-
295 flux (i.e., membrane fouling) occurred in the chloraminated FO system, indicating the

296 occurrence of membrane fouling other than biofouling. Thus, the potential of organic fouling
297 was evaluated through characterization of the wastewater (**Figure 9**). The FS feed (i.e., pre-
298 filtered primary wastewater effluent) showed four major peaks: Ex/Em of 230/300 nm
299 (aromatic protein including tyrosine, denoted by “A”), Ex/Em of 270/300 nm (protein-like
300 substances containing tryptophan, denoted by “B”), Ex/Em of 280/340 nm (protein-like
301 substances related to microbes, denoted by “C”), and Ex/Em of 340/425 nm (humic-like
302 substances, denoted by “D”) (Chen et al., 2003; Nam and Amy, 2008). The peaks of regions
303 B, C, and D were intensified in the FS concentrate, meaning that organics in these regions
304 were concentrated by the FO membrane. However, fractions of these organics, particularly in
305 regions B and C, remained on the membrane surface, as can be seen in the EEM of the
306 extracted foulants (**Figure 9**). The results indicate that foulants on the membrane surface may
307 be composed of protein-like substances. It is important to note that a small fraction of
308 protein-like substances in regions A and B were detected in the DS of the non-chloraminated
309 FO system, although the DS of the chloraminated FO system showed no peaks in the same
310 regions (**Figure S3**). Substances in these regions include small hydrophilic chemicals such as
311 tryptophan ($C_{11}H_{12}N_2O_2$) and tyrosine ($C_9H_{11}NO_3$) with molecular weights of 204 g/mol and
312 181 g/mol, respectively which are equivalent to the molecular weight cut off of typical CTA
313 FO membranes (200 g/mol) (Valladares Linares et al., 2011). Thus, these small chemicals
314 may have been deposited on the interior surface of the membrane pore in both non-
315 chloraminated and chloraminated FO systems, inducing pore-blocking and consequently
316 reducing membrane permeability.



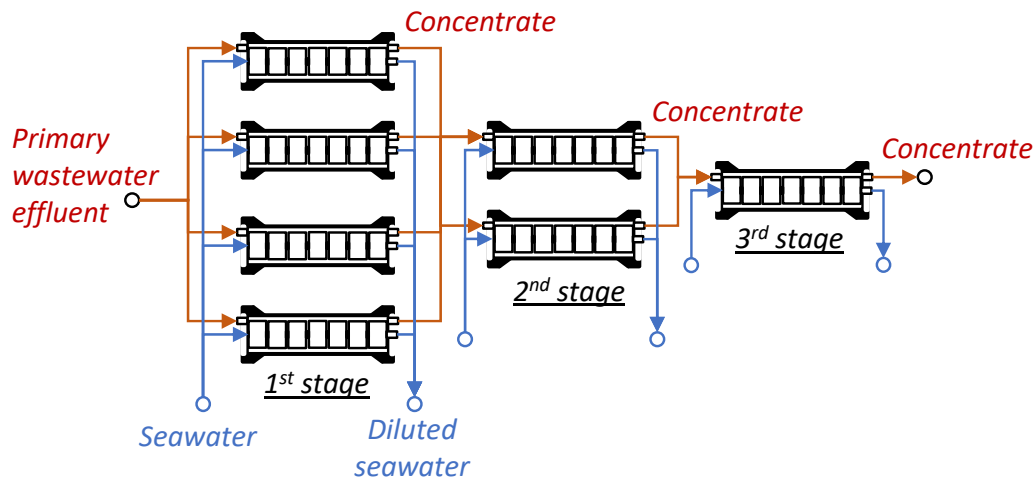
317 **Figure 9.** Excitation emission matrix (EEM) fluorescence spectra and total organic carbon
 318 (TOC) concentrations of the feed solution (FS) and FS concentrates, and foulants extracted
 319 from the fouled membrane surface: (a) Non-chloraminated and (b) Chloraminated (21 mg/L
 320 dose) forward osmosis (FO) systems.

321 **3.5 Implications for full-scale systems**

322 This study successfully demonstrated the effectiveness of pre-chloramination in mitigating
 323 biofouling during a single-pass concentration (i.e., without recirculation) of the pre-filtered
 324 primary wastewater effluent. For long-term operation at full scale, the permeate-flux

325 reduction observed in this study may deteriorate the feasibility of this pre-concentration
326 technique. The occurrence of organic fouling could be attributed to the low cross-flow
327 velocity of FS (0.2–0.5 mm/s), applied to attain a high recovery of >50 % in a single-pass
328 orientation with a small membrane coupon. In theory, the reduction in organic fouling can be
329 achieved by increasing the cross-flow velocity that provides shear force and inhibits organic
330 deposition (Boo et al., 2013; Blandin et al., 2016; Lotfi et al., 2017; Lotfi et al., 2018). An
331 additional test conducted in this study showed that a high cross-flow velocity of FS (0.23
332 m/s) with a typical recirculation system showed minor membrane fouling (**Figure S4**),
333 although the momentary permeate recovery was reduced to only 2 %. The advantage of the
334 high cross-flow velocity was the increase in the baseline of the permeate-flux (>10 L/m²h
335 over the course of 20 h), induced by the reduction in salt concentration polarization at the
336 membrane interface.

337 At full scale, high cross-flow velocity with high-recovery can be achieved through a so-
338 called tree configuration typically employed in water recycling applications (**Figure 10**)
339 which has multiple stages, in which the number of membrane vessels are reduced in the
340 following stages in proportion to the reduction of feed flow, so that cross-flow velocity is
341 maintained and high-recovery is achieved. As spiral-wound membrane elements have a
342 limitation in the acceptable level of particles in the intake (e.g., silt density index of <5), the
343 pre-concentration of primary wastewater effluent using typical spiral-wound FO membrane
344 elements may not be viable. Therefore, different orientations of membrane elements (e.g.,
345 high feed spacer thickness or courser mesh) may be needed to maintain a high-recovery of
346 wastewater by FO membranes.



347

348 **Figure 10.** Conceptual flow diagram of a high concentration (recovery) system in tree
 349 configuration.

350 **4. Conclusions**

351 Chloramination during the single-pass concentration of pre-filtered primary wastewater
 352 effluent by a CTA FO membrane was found to alleviate biofouling. A chloramine dose of 22
 353 mg/L was found to mitigate membrane fouling, confirmed by the decreased reduction of
 354 permeate-flux and coverage of the membrane surface with damaged bacteria. However, other
 355 types of membrane fouling appeared to occur even with chloramination, and it gradually
 356 decreased permeate-flux. The permeate-flux reduction was attributed to organic fouling that
 357 occurred very likely due to the low cross-flow velocity. This study suggested the need to
 358 develop a new treatment configuration to maintain a high cross-flow velocity and achieve a
 359 high recovery.

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363 6. References

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