1	Imatinib	enhances	docetaxel-induced	apoptosis	through	inhibition	of	nuclear
2	factor- k B	activation	in anaplastic thyro	id carcinon	na cells			

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10				
11	Running title: Combination of Imatinib and Docetaxel in ATC cells			
12				
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14				

1 Abstruct

Background: We previously reported the partial effectiveness of imatinib (also known as STI571, Glivec, or Gleevec) on anaplastic thyroid cancer (ATC) cells. Imatinib is a selective tyrosine kinase inhibitor which has been used for various types of cancer treatments. Recently, several reports have demonstrated that imatinib enhanced the sensitivity of cancer cells to other anti-cancer drugs. In this study, therefore, we investigated whether imatinib enhances anti-tumor activity of docetaxel in ATC cells.

8

Methods: Two ATC cell lines, FRO and KTC-2 were treated with imatinib and/or
docetaxel. Cell survival assay and flow cytometry for annexin V were used to assess the
induction of apoptosis. Changes of pro- and anti-apoptotic factors were determined by
Western blot. NF-κB activity was measured by DNA-binding assay. Tumor growth was
also investigated *in vivo*.

14

15 **Results:** The combined treatment significantly enhanced apoptosis compared to single 16 treatment. ATC cells themselves expressed high levels of anti-apoptotic factors, XIAP 17 and survivin. The treatment with docetaxel alone further increased their expressions; 18 however, the combined treatment blocked the inductions. Although imatinib alone had 19 no effect on NF-kB background levels, combined treatment significantly suppressed the 20 docetaxel-induced NF- κ B activation. Furthermore, the combined administration of the 21 drugs also showed significantly greater inhibitory effect on tumor growth in mice 22 xenograft model.

23

Conclusions: Imatinib enhanced anti-tumor activity of docetaxel in ATC cells.
Docetaxel seemed to induce both pro- and anti-apoptotic signaling pathways in ATC cells, and imatinib blocked the anti-apoptotic signal. Thus, docetaxel combined with imatinib emerges as an attractive strategy for ATC treatment.

1 Introduction

Anaplastic thyroid cancer (ATC) is one of the most aggressive human malignant tumors. Multi-disciplinary treatments including radio- and chemotherapy still poorly control the progression of this disease, and its mean survival is less than one year after initial diagnosis (1). Therefore, there is a critical need to develop a novel approach to treat patients with ATC.

7

8 Docetaxel is an anticancer microtubule-stabilizing agent which induces apoptosis by 9 suppressing the microtubule dynamics of mitotic apparatus. Docetaxel also arrests cells 10 in G2/M phase, leading to increase of sensitivity of cancer cells to radio- and 11 chemotherapy (2-4). Although the effect of the drug alone was only modest against 12 ATC in a clinical trial (5), combined therapy with radiation and/or another type of drug 13 may be effective (6-8).

14

15 Imatinib (also known as STI571, Glivec, or Gleevec) is a selective tyrosine kinase 16 inhibitor and was originally developed to inhibit BCR/ABL fusion oncoprotein 17 expressed in chronic myelogenous leukemia (CML). It also cross-reacts with other 18 tyrosine kinases such as c-ABL, c-KIT, and platelet-derived growth factor receptors 19 (PDGFRs) and has been used for the treatment of gastrointestinal stromal tumor, small 20 cell lung cancer, ovarian cancer, and colorectal carcinoma (9-13). In preclinical cancer 21 models, we have already reported the partial effectiveness of imatinib on ATC cells 22 (14). We have also demonstrated that imatinib combined with ionizing radiation 23 enhanced senescence-like growth arrest (SLGA) (15). Very recently, a clinical trial 24 using imatinib as a single agent for the treatment of ATC has shown weak responses: no 25 complete response, 25% partial response and 50% stable disease at 8 weeks but the rate 26 of 6-month survival was only 45% (16).

27

Several reports have demonstrated that imatinib enhanced the sensitivity of various cancer cells to anti-cancer drugs (9, 17). A few studies have investigated the anti-cancer efficacy of imatinib/docetaxel combination in preclinical models. It was shown that the combination of imatinib and docetaxel was significantly more effective than either agent alone in the non-small cell lung carcinoma xenograft model. In this model,

1 imatinib, as an inhibitor of PDGF-R β , decreased microvessel density and interstitial 2 fluid pressure, and thereby improved subsequent delivery of docetaxel (18). Another 3 report showed that in human CML cells, the imatinib/docetaxel combination induced 4 apoptosis through decreasing mitochondrial membrane potential and increasing 5 caspase-3 enzyme activity (19). Kinsella et al. reported that imatinib combined with docetaxel strongly inhibited both proliferation and invasion, and had a pro-apoptotic 6 7 effect in glioma cells (20). So far, there has been no report studying the therapeutic 8 efficacy of the imatinib/docetaxel combination in ATC.

9

In this study, we demonstrate that imatinib enhanced anti-tumor activity of docetaxel in
 ATC cells. Docetaxel seemed to induce both pro-apoptotic and anti-apoptotic signaling
 pathways, and imatinib blocked the anti-apoptotic signal through inhibition of
 docetaxel-induced NF-κB activation.

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- 15

16 Materials and Methods

17 **Reagents**

18 Imatinib (Novartis, Basel, Switzerland) was dissolved in dimethylsulfoxide (DMSO) at 19 stock concentration of 7 mM for in vitro experiments, and for in vivo experiments, 20 imatinib tablets were dissolved in distilled water, and insoluble material was removed 21 by repeated centrifugation at 2,500 g as described previously (21). Docetaxel (Wako 22 Chemicals, Osaka, Japan) was dissolved in DMSO at a stock concentration of 1 mM. The antibodies to p65, survivin, and β -actin were obtained from Santa Cruz 23 24 Biotechnology (Santa Cruz, CA, USA); the antibodies to X-linked inhibitor of apoptosis 25 (XIAP), cleaved caspase-3, poly (ADP-ribose) polymerase (PARP), IkBa, and 26 anti-rabbit and anti-mouse HRP-conjugated antibodies were from Cell Signaling 27 Technology (Beverly, MA, USA).

28

29 Cell culture

Human ATC cell line, FRO was originally provided by Dr James A. Fagin (University
of Cincinnati College of Medicine, Cincinnati, OH, USA; currently Memorial

1 Sloan-Kettering Cancer Center, New York, NY, USA), and KTC-2 was from Dr.

- 2 Kurebayashi (Kawasaki Medical School, Kurashiki, Japan) (22). All cells were grown
- 3 in RPMI 1640 (Wako Chemicals) supplemented with 5% (v/v) fetal bovine serum and 1%
- 4 (w/v) penicillin/streptomycin (Wako Chemicals).
- 5

6 Cell growth assay

Cells were seeded onto each well of 24-well plate (500 μ L, 15 x 10³ cells per well) and incubated for 24 h before treatment. Solutions containing various concentrations of docetaxel and/or imatinib were added to each well in 55 μ L medium, with three wells used for each concentration. In the control wells, DMSO was added, and the final concentration of DMSO in any well did not exceed 0.2% (v/v). After incubations, the number of cells were counted with a Coulter counter (Beckman Coulter, Fuller, CA, USA).

14

15 Flow cytometry analysis with the annexin V/PI staining

16 Detection of apoptotic cells was performed with an Annexin V-PI apoptosis detection 17 kit (Wako Chemicals) according to the manufacturer's instructions. In brief, 4×10^5 cells 18 were double stained with FITC-conjugated Annexin V and propidium iodide (PI) for 15 19 min at room temperature in a Ca²⁺-enriched binding buffer and then analyzed on a 20 FACS Vantage SE (BD Biosciences, San Jose, CA, USA). FITC and PI emissions were 21 detected in the FL-1 and FL-3 channels, respectively. Analysis was done with Cell 22 Quest software (BD Biosciences).

23

24 Senescence-Associated β-Galactosidase (SA-β-gal) staining

25 The SA- β -gal staining was performed as described elsewhere (23). Briefly, after 26 experimental treatment, cells on plates were fixed with 2% (v/v) formaldehyde/0.2% 27 (v/v) glutaraldehyde, washed with PBS and assayed for SA- β -gal activity using X-gal 28 (5-bromo-4-chloro-3-indolyl β -D-galactosidase) at pH 6.0. SA- β -gal⁺ cells were 29 detected by bright-field microscopy.

30

31 Western blotting

Forty micrograms of protein were separated with SDS-PAGE and transferred onto
 PVDF membranes (Millipore Corp., Bedford, MA, USA). After incubation with an
 appropriate primary antibody, the antigen-antibody complexes were visualized using
 HRP-conjugated secondary antibody and a chemiluminescence system (Nacalai Tesque,
 Kyoto, Japan) Detection was performed using a LAS3000 imaging system (FUJIFILM,
 Tokyo, Japan).

7

8 **DNA-binding assay**

9 The multiwell colorimetric assay for active NF- κ B was performed as described 10 previously (24). Briefly, equal amount of nuclear extracts were incubated in 96-well 11 plate coated with immobilized oligonucleotide containing a NF- κ B consensus binding 12 site. NF- κ B binding to the target oligonucleotide was detected with primary antibody 13 specific for p65 subunit and HRP-conjugated secondary antibody. For quantification of 14 activity, ODs were measured at 450 nm using a microplate reader 2030 ARVO X 15 (PerkinElmer Inc., Waltham, MA, USA).

16

17 In vivo xenograft model

18 All mice were maintained at Nagasaki University animal facility, and all animal 19 experiments described in this study were conducted in accordance with the principles 20 and procedures outlined in the Guide for the Care and Use of Laboratory Animals of Nagasaki University. FRO cells (3×10^6) resuspended in RPMI 1640 were injected s.c. 21 22 into both flanks of 6-week-old male BALB/c nu/nu mice (CLEA Japan, Tokyo, Japan), 23 five animals per group. Then they were randomly assigned into four groups. The tumor 24 sizes were measured every three days with calipers, and tumor volumes were calculated according to the formula: $a^2 \times b \times 0.4$, where a is the smallest tumor diameter and b is the 25 26 diameter perpendicular to a. Imatinib solution in sterile water/PBS (ratio 1:1) was 27 injected *i.p.* daily for 2 weeks at a dose of 50 mg/kg beginning from day 9 after tumor 28 implantation. Docetaxel, diluted in PBS/DMSO (ratio 1:1), was injected *i.p.* at a dose of 29 5 mg/kg on day 9 and 16. Combined treatment mice were given both drugs. Control 30 group mice received vehicle injections only. For 27 days, tumor size was monitored, 31 and body weight, feeding behavior, and motor activity of each animal were monitored

- 1 as indicators of general health.
- 2

3 Statistical Analysis

All data were expressed as the mean \pm SD. Differences between groups were examined for statistical significance with ANOVA followed by Tukey's post test. A *p* value not exceeding 0.05 was considered statistically significant.

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- 8

9 **Results**

10 Inhibition of cell growth by docetaxel and/or imatinib

11 To investigate the effect of docetaxel and/or imatinib, cell growth assay was done. Our 12 previous experiments (7, 14); (unpublished data) demonstrated that moderate cell 13 growth inhibition by imatinib and docetaxel was observed at concentrations of 7 µM 14 and 1~2 nM in FRO cells, respectively. Thus, we conducted the experiments using 7 15 µM of imatinib and 1 nM of docetaxel. As shown in Fig. 1, both docetaxel and imatinib 16 had moderate inhibitory effect on the growth of FRO cells, and combined treatment 17 significantly reduced the cell number compared to single treatment (Fig. 1). We also 18 used another ATC cell line, KTC-2 cells, in which the growth inhibition by imatinib 19 was less effective compared to FRO cells, and the potency of docetaxel was more 20 pronounced. The combined treatment similarly inhibited the cell growth, almost 21 completely (Fig. 1). The rates of growth reduction at 4 days in docetaxel, imatinib, and 22 combined treatment were 47.3%, 68.5%, and 96.0% in FRO cells and 76.1%, 29.6%, 23 and 99.5% in KTC-2 cells, respectively (Fig. 1).

24

25 Apoptotic changes in cells treated with the drugs

Although the degree of cell detachment was more notable in the combined treatment than in single treatment group, we first explored the possibility of involvement of senescence-like terminal growth arrest (SLGA) because we previously demonstrated 1 that imatinib plus radiation therapy enhanced SLGA in ATC cells (15). Neither single 2 treatment nor combined treatment did induce SA-β-gal activity in both FRO and KTC-2 3 cell lines, suggesting that the growth inhibitory effect was not due to SLGA (data not 4 shown). Next, we examined whether the effect was associated with apoptosis. Cells 5 were treated with the drugs for 16 hours and then double stained with FITC-conjugated 6 annexin V and PI to look at early apoptotic response (right lower quadrant in each dot 7 plot in Fig. 2) and subsequent cell death (right upper quadrant). Imatinib barely caused 8 apoptosis, and docetaxel moderately induced it. On the other hand, the combined 9 treatment further increased apoptosis (Fig. 2).

10

11 Effects of docetaxel and imatinib on pro-apoptotic and anti-apoptotic factors

12 We next examined the status of several key proteins involved in apoptosis by Western 13 blotting. After ATC cells were treated with docetaxel, the cleavages of caspase-3 (19 14 kDa and 17 kDa) and PARP (89 kDa) levels were induced (Fig. 3). The cleaved PARP 15 and caspase-3 were further increased by the combined treatment, suggesting stronger 16 apoptotic change (Fig. 3). XIAP and survivin belong to the human inhibitors of 17 apoptosis (IAP) family, and their overexpression in cancer cells suggests an important 18 role for these proteins in cancer progression. We tested whether docetaxel and/or 19 imatinib modulate the expression of these anti-apoptotic gene products by Western 20 blotting. As shown in Fig. 3, although ATC cells themselves expressed high levels of 21 XIAP and survivin, docetaxel further increased the levels (Fig. 3). Single treatment of 22 imatinib did not change their background levels; however, the increased 23 docetaxel-induced XIAP and survivin expression was suppressed in the combination 24 treatment (Fig. 3).

25

26 Docetaxel induces NF-KB activation, and imatinib inhibits the effect

1 Since we already reported that the expression of those anti-apoptotic factors was 2 regulated through NF- κ B signaling pathway in ATC cells (7), we next performed 3 DNA-binding assay using nuclear extracts to assess NF-KB activity. In both FRO and 4 KTC-2 cell lines, the binding activity of nuclear p65 was increased by docetaxel treatment. Although imatinib alone had no effect on NF-kB background levels, in the 5 6 combined treatment, imatinib significantly suppressed the docetaxel-induced NF-KB 7 activation (Fig. 4A). A similar trend was observed in the amount of nuclear p65 (Fig. 8 4B). We also checked IkBa expression. As shown in Fig. 4B, the combined treatment 9 reduced IkB α protein level, consistent with our previous studies (7, 25). NF-kB is 10 known to bind the I κ B α promoter and activate its synthesis, and therefore the inhibition 11 of NF-kB probably suppressed *de novo* synthesis of IkBa. Presumably, for the same 12 reason, $I\kappa B\alpha$ expression after docetaxel treatment was not changed (Fig. 4B).

13

14 In vivo effects of the combined treatment with docetaxel and imatinib

15 To explore the effects of the combined treatment in vivo, we used an animal xenograft 16 model inoculated with FRO cells, and the treatments were done as described in 17 Materials and Methods section. As shown in Fig. 5, the mean tumor size of 18 imatinib-treated group was smaller than that of control, but there was no statistical 19 difference. Although the docetaxel treatment alone was able to delay tumor growth 20 moderately, the effect of the combined treatment with docetaxel and imatinib was far 21 greater. The body weight and physical activity of mice exposed to drugs were not 22 significantly affected.

23

24

25 Discussion

We and other groups have reported that taxanes (including paclitaxel and docetaxel) induce both pro- and anti-apoptotic signaling pathways, and the anti-apoptotic factors

1 are induced through NF- κ B activation (7, 26, 27). NF- κ B is a transcription factor that 2 regulates genes involved in cellular proliferation and survival (28). In most cases, 3 NF-kB suppresses apoptosis by up-regulation of anti-apoptotic proteins, including 4 inhibitor of apoptosis (IAP), such as c-IAP, BCL-xL, XIAP, and survivin (29, 30). In 5 addition, basal NF-KB activity is often increased in various types of human cancers, 6 which causes chemotherapy resistance (31). Under certain conditions, cytotoxic drugs 7 such as taxanes induce NF-kB activation in different types of malignant cells (26, 8 32-36). The present data also showed that docetaxel induced NF-κB activation and then 9 up-regulated anti-apoptotic factors in two ATC cell lines, FRO and KTC-2.

10

11 In our experiments, imatinib seemed to enhance apoptosis presumably through the 12 inhibition of docetaxel-induced NF-kB activation. There are several reports proposing 13 the mechanism by which imatinib inhibits the docetaxel-induced NF-KB activation. The 14 PI3K/AKT pathway has been associated with cancer cell resistance to chemotherapeutic 15 drugs including paclitaxel (34, 37). Possible mechanisms of such resistance may be due 16 to the activation of the NF-KB pathway via PI3K/AKT activation (38). Qian et al. 17 compared the gene expression profiles in individual human prostate cancer specimens 18 before and after chemotherapy and showed that docetaxel treatment increased CCL2 19 expression (39). They also showed that up-regulation of CCL2 contributed to 20 chemotherapy resistance through stimulating MAP kinase and PI3K/AKT signaling 21 pathways. Several other reports have demonstrated that imatinib inhibited the 22 PI3K/AKT pathway, resulting in the inhibition of NF-κB activation in cancer cells. 23 Fang et al. reported that imatinib induced apoptosis in BCR-ABL-positive human 24 leukemia cells in association with the down-regulation of anti-apoptotic factors such as XIAP through the inhibition of AKT and NF-B activities (40). Xu *et al.* reported that 25 imatinib inhibited IR-induced RelB nuclear translocation by decreasing the 26 27 phosphorylation levels of PI3K (Tyr458) and AKT (Ser473) in androgen-independent prostate cancer cells (41). They showed that imatinib inhibited PI3K tyrosine phosphorylation, leading to the down-regulation of the AKT/IKKα-activated NF- κ B pathway. We examined whether docetaxel and/or imatinib modulate the phosphorylation level of AKT. However, we could detect neither the activation of AKT by docetaxel treatment nor the inhibition of AKT by imatinib (data not shown). Further experiments are necessary to clarify the exact mechanism by which imatinib inhibits the docetaxel-induced NF- κ B activation in ATC cells.

8

9 Data obtained in our experiments showed that combination of docetaxel and imatinib 10 effectively killed ATC cells, both in vitro and in vivo. Based on our and other's findings, 11 we propose a mechanistic scheme in Fig. 6. As previously shown, docetaxel activates 12 both pro-apoptotic and anti-apoptotic signals. In pro-apoptotic pathway, docetaxel binds 13 to microtubules, impairs mitosis, and induces apoptosis. In anti-apoptotic pathway, 14 docetaxel also induces NF- κ B activation and in turn increases the expression of 15 anti-apoptotic molecules. Imatinib inhibits the docetaxel-induced NF-KB activation (but 16 does not reduce the basal NF- κ B level, even though it is high). By this mechanism, 17 imatinib presumably modulates the balance between pro- and anti-apoptotic signals and 18 enhances docetaxel-induced apoptosis.

19

In conclusion, our present study demonstrates that imatinib enhanced anti-tumor activity of docetaxel in ATC cells, suggesting that this combination may be a promising approach for the treatment of ATCs. Since docetaxel and imatinib have already been approved and currently being used for other type of cancers, this combination strategy can be rapidly applied to clinical trial.

25 26

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1 Figure legends

Fig. 1. Cytotoxic effect of docetaxel and/or imatinib on anaplastic thyroid cancer cells

Growth of FRO and KTC-2 cells treated with 1 nM of docetaxel and/or 7 μ M of imatinib for 2 and 4 days were determined by cell count assay. Each point represents mean \pm SD. **p*<0.01 *vs.* any other group. Similar results were obtained in three independent experiments.

8

9 Fig. 2. Apoptotic changes in cells treated with drugs

10 FRO cells were treated with the drugs (1 nM docetaxel and/or 7 μ M imatinib) for 16 h 11 and then double stained with FITC-conjugated annexin V and PI, then analyzed on a 12 FACS Vantage SE. FITC and PI emissions were detected in the FL-1 and FL-3 13 channels, respectively. The cells in left lower, right lower, and right upper quadrant 14 represent viable cells, early apoptotic cells, and terminal stage of apoptotic or necrotic 15 cells, respectively. Data are representative of two independent experiments.

16

17 Fig. 3. Effects of docetaxel and imatinib on apoptotic factors

18 Cells were treated with the drugs (4 nM docetaxel and/or 7 μ M imatinib) for 16 hours 19 (XIAP, survivin) or 24 hours (caspase 3, PARP), and whole-cell lysates were examined 20 by Western blotting. β -actin was used as a loading control. Data are representative of at 21 least two independent experiments.

22

23 Fig. 4. Docetaxel induces NF-KB activation, and imatinib inhibits the effect

A, Cells were treated with 4 nM docetaxel and/or 7 μ M imatinib for 24 (FRO) and 48 hours (KTC-2). Nuclear extracts were prepared and subjected to DNA-binding assays. Bars represent mean \pm SD of three wells. **p*<0.001. B, FRO cells were treated with 4 nM docetaxel and/or 7 μ M imatinib for 16 hours, and then nuclear extracts (for nuclear p65) or total cell lysates (for IkB α) were examined by Western blotting. β -actin was used as a loading control. Similar results were obtained in at least two independent experiments.

1 I	Fig.5. Effect o	f docetaxel and	l imatinib in	FRO tumor	xenograft model
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FRO cells were implanted and imatinib was injected *i.p.* at a dose of 50 mg/kg/day for 14 days, beginning on day 9 after tumor implantation. Docetaxel was injected *i.p.* at a dose of 5 mg/kg on day 9 and 16. Combined treatment mice were given both drugs. Control group mice received vehicle injection only. Each point represents mean \pm SD of 10 tumors (in five mice). p<0.01 vs. any other group. Fig.6. A proposed mechanistic model of enhancement of docetaxel-induced apoptosis by imatinib





Annexin V







